

MINUTES OF THE SPECIAL MEETING OF THE BOARD OF TRUSTEES
SOUTHERN ILLINOIS UNIVERSITY
JULY 23, 2014

Pursuant to notice, a special meeting of the Board of Trustees of Southern Illinois University convened at 1:35 p.m., Wednesday, July 23, 2014, in the Lincoln Conference Room, Southern Illinois University School of Medicine, Springfield, Illinois. The meeting was called to order by Chair Randal Thomas. The following members of the Board were physically present:

MG (Ret) Randal Thomas, Chair
Dr. Donna Manering, Vice Chair
Hon. Don Lowery, Secretary
Dr. Roger Herrin
Mr. Mitch Morecraft
Mr. Joel Sambursky
Ms. Marquita Wiley

The following member was absent:

Dr. Shirley Portwood

Also present for the duration of the meeting were Dr. Randy J. Dunn, President; and Mr. Lucas Crater, Interim General Counsel. Dr. Duane Stucky, Senior Vice President for Financial and Administrative Affairs; Mr. John Charles, Executive Director for Governmental and Public Affairs; and Ms. Misty Whittington, Executive Secretary of the Board, attended a portion of the meeting.

Executive Director Charles reviewed funding in the FY 2015 Higher Education Budget related to the University and other budgetary issues of importance. Further, he provided the Board with legislative highlights from 2014.

Vice President Stucky reviewed with the Board the FY 2015 change in general operating budget for each campus.

At 3:00 p.m., Trustee Morecraft moved that the Board go into closed session to consider imminent and/or pending court proceedings against or on behalf of the Board; appointment, employment, compensation, discipline, performance, or dismissal of specific employees; collective bargaining matters; and minutes of meetings lawfully closed under the Open Meetings Act. The relevant sections of the Open Meetings Act Statute that allow for the closed session are 5 ILCS 120/2(c) (1), (2), (11), (21). The motion was duly seconded by Trustee Herrin. The motion carried by the following recorded vote: aye, Dr. Roger Herrin, Hon. Don Lowery, Dr. Donna Manering, Mr. Joel Sambursky, Maj. Gen. Randal Thomas, Ms. Marquita Wiley; nay, none.

No action was taken in closed session.

Trustee Herrin made a motion to adjourn the meeting. The motion was duly seconded by Secretary Lowery, and it passed unanimously by voice vote.

The meeting adjourned at 4:10 p.m.

Don Lowery, Secretary

MINUTES OF THE MEETING OF THE BOARD OF TRUSTEES
SOUTHERN ILLINOIS UNIVERSITY
JULY 24, 2014

Pursuant to notice, a regular meeting of the Board of Trustees of Southern Illinois University convened on Thursday, July 24, 2014, at 10:09 a.m. in the Auditorium of the Southern Illinois University School of Medicine, 801 North Rutledge, Springfield, Illinois. The meeting was called to order by Chair Randal Thomas. The following members of the Board were physically present:

MG (Ret) Randal Thomas, Chair
Dr. Donna Manering, Vice Chair
Hon. Don Lowery, Secretary
Dr. Roger Herrin
Mr. Mitch Morecraft
Mr. Joel Sambursky
Ms. Marquita Wiley

The following member was absent:

Dr. Shirley Portwood

Executive Officers present were:

Dr. Randy J. Dunn, President, SIU
Dr. Julie Furst-Bowe, Chancellor, SIU Edwardsville
Dr. Paul Sarvela, Acting Chancellor, SIU Carbondale and Vice President
for Academic Affairs
Mr. Lucas Crater, Interim General Counsel
Dr. Duane Stucky, Senior Vice President for Financial and Administrative
Affairs and Board Treasurer

Also present was Ms. Misty Whittington, Executive Secretary of the Board.

Chair Thomas led the group in the Pledge of Allegiance.

NOTE: Copies of all background documents furnished to the Board in connection with the following matters have been placed on file in the Office of the Board of Trustees.

The Executive Secretary reported and Chair Thomas determined that a quorum was physically present.

Chair Thomas welcomed Acting Chancellor Paul Sarvela and newly elected SIU Edwardsville Student Trustee Mitch Morecraft to the Board meeting.

The Chair announced that at the prior day's executive session meeting, the Board reviewed executive session meeting minutes excerpts for a period through 2013 and determined certain portions no longer required confidential treatment, and those releases would be made available for public inspection on the Board of Trustees website.

Chair Thomas appointed Mr. Morecraft to serve as a member of the Finance Committee and Architecture and Design Committee.

Trustee Herrin moved that the Minutes of the Meeting held May 7 and 8, 2014, June 24, 2014, and July 8, 2014, be approved. Trustee Sambursky seconded the motion, and the minutes were approved unanimously by voice vote.

Under Trustee Reports Chair Thomas reported that on the prior day he and President Dunn attended the Joint Trustee Committee meeting at the School of Medicine along with representatives of St. John's Hospital and Memorial Medical Center. The Chair noted that he learned of great collaborative efforts between the three entities and found the meeting to be very informative.

Under Committee Reports, Chair Thomas reported that the Executive Committee had not met since his last report to the full Board.

Under Executive Officer Reports, President Dunn reported that he was working on a 100-day plan. He went on to say that he was in the process of meeting with individuals and groups both internal and external to the system to get a good understanding of the state of facilities and operations. The President noted that some items such as the budget would be looked at in short order. He planned to provide a report of progress made at the Board's August retreat.

President Dunn reported that the Illinois Department of Commerce and Economic Opportunity (DCEO) passed a new Illinois Economic Development plan. He shared that to be something exciting for all universities to look at the way that DCEO divided the state into regions and identified economic and workforce clusters to provide most promise for future economic development. He noted SIU had opportunities in the area it serves to build upon some programs of study that are in place or emerging. He provided an example of an area of economic growth in infrastructure around transportation and logistics. Recently there was a proposal for a master's degree in supply chain logistics at the Carbondale campus. The President noted that the University would continue to have a strong investment in humanities and arts. However, as new programs are examined, matches would be looked for to respond to needs that have been identified by the state. The President noted that at the Carbondale campus efforts have been made on Guided Pathways to Success and the Illinois Board of Higher Education is using the effort as a positive example.

Chancellor Sarvela added that the effort was about increasing STEM work for Science, Technology, Engineering and Math with the goal of producing more graduates in those areas. He reviewed the efforts included the following for STEM

majors: (1) academic curricular mapping, (2) milestone courses, and (3) intrusive advising. He hoped the measures implemented would result in more students completing their degrees.

President Dunn reported on recent activity at the Federal level. Three component bills of the Higher Education Reauthorization Act were passed in the House. One of the pieces of legislation dealt with Pell Grants. It is uncertain whether any other action will occur before the end of the legislative session for the items but if so those would be important for the University.

The President reviewed recent U.S. Department of Education sexual violence reporting requirements for universities. Guidance has been provided on how to deal with the investigation and management of sexual assaulting incidents on campus. Coordinated efforts will be needed to satisfy the federal requirements and also be responsive to local police departments.

President Dunn reported on a decision that the Appeals Court upheld that the Supreme Court had established regarding the use of race in college admissions. He noted that historic tradition of the campuses has been the ability to use race as one indicator among many to ensure diversity is present and strongly supported in the admissions process. He further noted that while race cannot be the driver, this is another decision that says race can be taken into account.

The President noted the closing of Corinthian College, one of the largest for-profit providers of higher education in the country.

Dr. Julie Furst-Bowe, Chancellor, SIU Edwardsville, provided highlights from the following written report provided to the Board:

SOUTHERN ILLINOIS UNIVERSITY EDWARDSVILLE
CHANCELLOR'S REPORT

SIUE Fall 2014 Enrollment Update

Freshman applications for fall 2014 have reached 10,540. A year-to-date record high number of 6,559 freshmen have been admitted for the fall term. As of July 6, a record 2,110 freshmen are registered for fall classes. This represents a 9% or 168 student increase in the freshman class over same date last year. The mean ACT for these students is a record 23.5 compared to 23.0 in 2013 and 22.8 in 2012.

1033 transfer students are registered for fall which is 9% (93 students) over same date last year. We expect about 250 additional transfer students to enroll by census. New graduate student enrollment for fall is up 9% of 22 students over same date last year. 302 new international students have been admitted for fall which represents an increase of 86% or 140 students over the same date last year.

The first-to-second year retention rate for the fall 2013 first-time full-time freshman cohort is running 3% ahead of the previous year's cohort. Seventy-four percent of last year's freshman class is registered for fall 2014 coursework.

SDM 2014 Commencement

The Southern Illinois University School of Dental Medicine Commencement was held on Saturday, June 7. Fifty students received a doctor of dental medicine degree and a record 12 alumni participated in the Hooding Ceremony. Dr. Paul F. Kattner, an orthodontist with Kattner Orthodontics in Waukegan, Ill., served as keynote speaker.

Chancellor's Board Appointment

I have been appointed by U.S. Secretary of Commerce Penny Pritzker to serve on the Board of Overseers for the Malcolm Baldrige National Quality Award. The Award is the highest level of national recognition for performance excellence that a U.S. organization can receive. The Board of Overseers consists of approximately 11 members who serve three-year terms and are selected on a standardized basis for their expertise in the field of organizational performance excellence.*

Academic Awards and Achievements

The School of Pharmacy was one of four training sites selected nationally by the American Society of Health-System Pharmacists (ASHP) Research and Education

Foundation for the 2014 Pain and Palliative Care Traineeship program. Dr. Chris Herndon, associate professor of pharmacy practice, is the faculty lead for the SIUE campus training site.

Southern Illinois University Edwardsville and Rend Lake College have partnered on a "2+2" program allowing students to begin their studies at Rend Lake College and then transition to SIUE for degree completion. It will be managed by representatives at both schools and will lead to SIUE bachelor's of science degrees in mechanical, civil, electrical and industrial engineering.

Sixteen junior and senior high school students from the metropolitan area participated in the 2014 SIUE Healthcare Diversity Summer Camp (June 9-13, 2014). The camp exposes rising juniors and seniors to careers in pharmacy, nursing and dental medicine. This year the average GPA of the students in the camp is 3.8, the highest ever!

The SIUE School of Engineering has hosted a record 71 high school students during June for its annual Engineering Summer Camp. The program introduces high school freshmen, sophomores, and juniors to engineering, computer science, and construction. Campers design and program a video game, build robots, bridges, miniature hovercrafts and fuel-cell vehicles.

Grants

Graduate Studies & Research from the Department of Health and Human Services: \$825,300

- A funding extension for the months during which the East St. Louis Center awaits the new award for the Head Start/Early Head Start Program.

Graduate Studies & Research from the National Institutes of Health: \$433,656

- This NIH Biomedical/Biobehavioral Research Administrator award will increase the University's capacity for submitting Public Health Service grants.

Position Announcements

On July 1, Dr. Parviz Ansari was named Provost and Vice Chancellor for Academic Affairs at SIUE. He replaces Interim Provost Ann Boyle who has accepted a position at A.T. Still University in Mesa, Arizona.

Mr. Chad Martinez has been named Director of Equal Opportunity, Access and Title IX Coordination and began his duties on July 3. He replaces Paul Pitts who retired after 20+ years with SIUE from the Office of Institutional Compliance which was subsequently renamed.

Student Activities

SIUE students continue to seek international experiences to enhance their academic studies. For summer 2014, 16 students registered for semester-long study abroad experiences in a variety of countries. Another 97 students are participating in faculty-led travel study programs to Budapest, China, Costa Rica, France, Germany, Ghana, Italy, Panama, and a seven-country music program in Europe.

Advancement / Foundation

SIUE concluded its first-ever comprehensive campaign in June 2013 resulting in the funding of many programs, projects, and scholarships. Since that time, the Advancement structure has changed to a centralized model. For our next major fundraising endeavor, our College, Schools and programs will be launching “high impact campaigns” to run for 12-18 months. Each will have goals that are achievable within that time frame and will be launched separately beginning July 1, 2014. (please see the brochure)

New gifts/pledges: \$105,000 to Golf Facility, \$15,000 to The Gardens. The total YTD (FY14) giving is \$2,609,336. Several major gifts are pending, the largest being \$300,000 for Engineering.

* excerpts from:

<http://www.siu.edu/news/archives/ArchivesJUNE2014.shtml#SIUEsFurstBoweNamedtoMalcolmBaldrigeOverseers>

Dr. Paul Sarvela, Acting Chancellor, SIU Carbondale provided highlights

from the following written report provided to the Board:

SOUTHERN ILLINOIS UNIVERSITY CARBONDALE CHANCELLOR’S REPORT

Accomplishments

Two SIU Carbondale graduate students will enhance their education this fall as recipients of J. William Fulbright Scholarship awards. Andrew Barrette, a doctoral student in philosophy, will conduct research at the Katholieke Universiteit Lueuven in Belgium, where he will be developing his dissertation. Kristine Dizon, who earned her master’s degree in clarinet performance in May, will further her studies in clarinet and conducting at the Escola Superior de Musica Artes e Espectáculo in Portugal.

A research scientist at the School of Medicine has been awarded a two-year federal grant from the National Institutes of Aging, a division of the National Institutes of Health,

to study a potential aging gene. The total budget for the grant is \$147,500. Dr. Rong Yuan, assistant professor of geriatric research in the Department of Internal Medicine, is the principal investigator for the project. This is the third NIA grant awarded for Yuan's research, which focuses on aging and longevity.

Victory Media, whose publications include G.I. Jobs magazine, named SIU Carbondale a "Military Friendly School" for 2015. The list honors the top 20 percent of colleges, universities and trade schools in the United States. SIU Carbondale has earned that status in each of the six years Victory Media has published the rankings.

The men's and women's swimming and diving teams each received the Team Scholar All-American award from the College Swimming Coaches Association of America (CSCAA). SIU's women's team ranked 10th in the nation in grade point average (3.58), while the men were 14th nationally (3.31). The Team Scholar All-American Award is presented to college and university swimming and diving teams that have achieved a team grade point average of 3.0 or higher.

SIU Carbondale is the recipient of the 2014 Educational Partnership Award from Kaskaskia College. The university and Kaskaskia have articulation agreements in business, accounting, industrial technologies, network administration and web development, and a general associate degree agreement. The partners earlier this year signed an agreement that will help students working on an associate degree in engineering transfer those credits to the College of Engineering.

Donation

Marsha and Gary Bertrand, of Austin, Texas, recently presented SIU Carbondale with \$1 million to establish the Bertrand Foundation Scholarship Endowment. Awards will be presented after the endowment generates earnings and the Bertrands have made provisions to support the university through a deferred estate gift. Scholarships will be earmarked for middle-income families.

Summer Enrollment

2014 summer enrollment is basically flat for the first time in three years, signaling a potential turning point that may bode well for the future. Official 10th day enrollment figures are 7,227, down 4 students, or 0.1 percent compared to last year.

Among the highlights:

- Enrollment of new and transfer students is up 138 students, or 43 percent, over last summer.
- Enrollment of undergraduate and graduate international students is up by 283 students, or 43 percent, over last summer.

- Off-campus enrollment is up 192 students, or 8.4 percent over the previous year, while on-campus enrollment is down 196 students, or 4 percent below last year.
- This shift reflects an increase in online enrollment at SIU Carbondale and nationally as students work to complete their degrees in multiple formats while they work or complete internships.

Sustainability

The university has increased its commitment to sustainability with the construction of a new composting facility. It has the potential to annually take 87 tons of dining hall, livestock and landscape waste and turn it into saleable compost. The compost will be useful for local gardeners and possibly larger-scale organic farmers, and the facility also will be used for research. The student-supported Green Fund paid \$103,000 for the facility - now the largest in the Midwest – supplemented by an Illinois Department of Commerce and Economic Opportunity Grant of \$50,000.

The Chairman reviewed the procedure for the Public Comments portion of the agenda.

Executive Secretary Whittington reported that no persons had registered to speak.

Chair Thomas explained the procedure for the Board's omnibus motion, and the listing of items proposed for the omnibus motion was as follows:

REPORTS OF PURCHASE ORDERS AND CONTRACTS, APRIL AND MAY 2014, SIUC AND SIUE

In accordance with 3 Bylaws 1 and 5 Policies of the Board C, summary reports of purchase orders and contracts awarded during the months of April and May 2014, were mailed to the members of the Board in advance of this meeting, copies were placed on file in the Office of the Board of Trustees, and these reports are hereby submitted for information and entry upon the minutes of the Board with respect to the actions of the Executive Committee.

INFORMATION REPORT: APPROVAL OF NEW PROGRAMS,
REASONABLE AND MODERATE EXTENSIONS,
APPROVAL OF OFF-CAMPUS PROGRAM LOCATIONS, AND
ACADEMIC PROGRAM ELIMINATIONS AND SUSPENSIONS
JULY 1, 2013 THROUGH JUNE 30, 2014

New Program Additions

SIUC

None.

SIUE

Received authority to establish the Master of Science in Education degree in College Student Personnel Administration in the Department of Educational Leadership in the School of Education, approved by the Illinois Board of Higher Education, April 1, 2014.

Program Modifications

SIUC

Consolidated all majors in the Department of Languages, Cultures, and International Trade into one major, Languages, Cultures, and International Studies, in the College of Liberal Arts, approved by Illinois Board of Higher Education staff, October 31, 2013.

Renamed the Bachelor of Science, Master of Arts, and Doctor of Philosophy degrees from Speech Communication to Communication Studies in the College of Liberal Arts, approved by the President, January 14, 2014.

Received authority to disaggregate the Doctor of Philosophy degree in Education with a concentration in Educational Psychology in the College of Education and Human Services into three degrees: (1) Doctor of Philosophy in Education: Counselor Education; (2) Doctor of Philosophy in Education: Quantitative Methods; and (3) Doctor of Philosophy in Education: Special Education, approved by Illinois Board of Higher Education staff, March 12, 2014.

Converted the Bachelor of Science degree in Electronic Systems Technologies from a four-year baccalaureate degree to a two-year baccalaureate completion degree in the School of Information Systems and Applied Technologies in the College of Applied Sciences and Arts, approved by the President, March 21, 2014.

Renamed the specialization in Education, Training and Development to Organizational Training and Development in the Bachelor of Science degree in Workforce Education

and Development in the Department of Workforce Education and Development in the College of Education and Human Services, approved by the President, April 4, 2014.

Relocated the Bachelor of Arts/Bachelor of Science University Studies program from the College of Liberal Arts to University College and modified the curriculum for the degrees as necessary to make the program available in each of SIU Carbondale's colleges, approved by the President, May 20, 2014.

Relocated the multidisciplinary minor in Game Design and Development from the College of Mass Communication and Media Arts to the Department of Cinema and Photography and the Department of Radio, Television and Digital Media within the College of Mass Communication and Media Arts, approved by the President, May 20, 2014.

SIUE

Changed the degree designation of the Master of Science in Biotechnology Management to the Professional Science Master's in Biotechnology Management in the College of Arts and Sciences, approved by Illinois Board of Higher Education staff, July 22, 2013.

Changed the degree designation of the Master of Science in Environmental Science Management to the Professional Science Master's in Environmental Science Management in the College of Arts and Sciences, approved by Illinois Board of Higher Education staff, July 22, 2013.

Received authority to disaggregate the Master of Science in Education degree in Kinesiology in the Department of Kinesiology and Health Education in the School of Education into two degrees, a Master of Science in Education in Kinesiology and a Master of Science in Kinesiology, and to change the options to specializations: (1) Master of Science in Education degree in Kinesiology, with a specialization in Physical Education and Sport Pedagogy, and (2) a Master of Science degree in Kinesiology, with a specialization in Exercise Physiology and a specialization in Sport and Exercise Behavior, approved by Illinois Board of Higher Education staff, August 5, 2013.

Renamed the minor in English to Literature in the Department of English Language and Literature in the College of Arts and Sciences, approved by the President, December 16, 2013.

Renamed the specialization in Mathematical Studies to Pure Mathematics in the Bachelor of Arts and Bachelor of Science degrees in Mathematical Studies in the Department of Mathematics and Statistics in the College of Arts and Sciences, approved by the President, May 29, 2014.

Specializations/Concentrations/Options/Minors Added

SIUC

Added thirteen specializations to the new major in Languages, Cultures, and International Studies in the Department of Languages, Cultures, and International Trade in the College of Liberal Arts, approved by Illinois Board of Higher Education staff, October 31, 2013. Ten specializations were existing specializations under the eliminated programs, and three specializations were new.

Existing Specializations

1. French with Teacher Education (TEP)
2. French without TEP
3. German with TEP
4. German without TEP
5. Spanish with TEP
6. Spanish without TEP
7. African and Middle Eastern Studies
8. Asian and South Pacific Studies
9. European Studies
10. Latin American and Caribbean Studies

New Specializations

1. Classics
2. East Asian Language and Culture
3. Foreign Language and International Trade

Added a minor in American Sign Language in the Department of Languages, Cultures and International Trade in the College of Liberal Arts, approved by the President, November 6, 2013.

Added a specialization in Organizational Development to the Bachelor of Science degree in Technical Resource Management in the School of Information Systems and Applied Technologies in the College of Applied Sciences and Arts, approved by the President, November 7, 2013.

Added a minor in Art Education in the College of Liberal Arts, approved by the President, January 6, 2014.

Added a specialization in Parent Training to the Bachelor of Arts degree in Psychology in the Department of Psychology in the College of Liberal Arts, approved by the President, January 6, 2014.

Added a non-thesis option to the Master of Science degree in Physics in the Department of Physics in the College of Science, approved by the President, March 25, 2014.

Added a concentration in Ecological Urban Landscapes to the Master of Science degree in Plant, Soil, and Agricultural Systems in the Department of Plant, Soil, and Agricultural Systems in the College of Agricultural Sciences, approved by the President, March 25, 2014.

Added a minor in Cinema in the Department of Cinema and Photography in the College of Mass Communication and Media Arts, approved by the President, March 28, 2014.

Added a specialization in History Honors to the Bachelor of Arts degree in History in the Department of History in the College of Liberal Arts, approved by the President, March 28, 2014.

Added specializations in Dietetics/Pre-Nursing, Nutrition for Wellness, and Dietetics to the Bachelor of Science degree in Human Nutrition and Dietetics in the Department of Animal Science, Food and Nutrition in the College of Agricultural Sciences, approved by the President, March 28, 2014.

SIUE

Added a specialization in Public Relations to the Master of Arts degree in Speech Communication in the Department of Speech Communication in the College of Arts and Sciences, approved by the President, July 1, 2013.

Added eleven options to the Master of Science in Education degree in Curriculum and Instruction in the Department of Curriculum and Instruction in the School of Education, approved by the President, July 16, 2013. Nine options were transferred from the Master of Science in Education degree in Secondary Education, and two options were new.

Transferred Options

1. Secondary Education/Art
2. Secondary Education/Biology
3. Secondary Education/Chemistry
4. Secondary Education/Earth and Space Sciences
5. Secondary Education/English Language Arts
6. Secondary Education/Foreign Languages
7. Secondary Education/History
8. Secondary Education/Mathematics
9. Secondary Education/Physics

New Options

1. Advanced Pedagogy
2. STEM Education

Added a specialization in Biochemistry to the Bachelor of Science degree in Chemistry in the Department of Chemistry in the College of Arts and Sciences, approved by the President, December 4, 2013.

Added a minor in Meteorology and Climatology in the Department of Geography in the College of Arts and Sciences, approved by the President, December 16, 2013.

Added a minor in Rhetoric and Writing in the Department of English Language and Literature in the College of Arts and Sciences, approved by the President, December 16, 2013.

Added a specialization in Pediatrics within the Doctor of Pharmacy degree in the School of Pharmacy, approved by the President, December 19, 2013.

Added a specialization in Nurse Anesthesia within the Doctor of Nursing Practice degree in the School of Nursing, approved by the President, January 10, 2014.

Added an interdisciplinary minor in Urban Studies in the College of Arts and Sciences, approved by the President, February 17, 2014.

Added specializations in Environmental Engineering/Water Resources, Geotechnical Engineering, Transportation Engineering, and Structural Engineering to the Master of Science degree in Civil Engineering in the Department of Civil Engineering, approved by the President, February 26, 2014.

Added an interdisciplinary minor in Geographic Information Systems (GIS) in the Department of Geography in the College of Arts and Sciences, approved by the President, April 9, 2014.

Added an option in Teacher Leader to the Master of Science in Education degree in Educational Administration and to the Educational Specialist degree in Educational Administration in the Department of Educational Leadership in the School of Education, approved by the President, April 21, 2014.

Added an option in Adult Education to the Master of Science in Education degree in Curriculum and Instruction in the Department of Curriculum and Instruction in the School of Education, approved by the President, May 5, 2014.

Academic Unit Changes/AdditionsSIUC

Renamed the Department of Foreign Languages and Literatures to Department of Languages, Cultures, and International Trade in the College of Liberal Arts, approved by Illinois Board of Higher Education staff, October 31, 2013.

Renamed the Department of Speech Communication to Department of Communication Studies in the College of Liberal Arts, approved by the President, January 14, 2014.

Renamed the Department of Educational Psychology and Special Education to Department of Counseling, Quantitative Methods, and Special Education in the College of Education and Human Services, approved by Illinois Board of Higher Education staff, March 12, 2014.

SIUE

Renamed the School of Education to School of Education, Health and Human Behavior, approved by the President, May 5, 2014.

Organized Research and Service CentersSIUC

Eliminated the International Business and Cultures Center in the College of Business, approved by the President, February 11, 2014.

Created the Fermentation Science Institute, approved by Illinois Board of Higher Education staff, June 16, 2014.

SIUE

None.

Administrative UnitsSIUC

None.

SIUE

Renamed the Office of Institutional Compliance to the Office of Equal Opportunity, Access and Title IX Coordination, Office of the Chancellor, approved by the President, May 22, 2014.

Certificates

SIUC

None.

SIUE

None.

Off-Campus Program Approvals

SIUC

Approval to offer the Bachelor of Science degree in Aviation Management at Southwestern Illinois College, Belleville Campus, approved by Illinois Board of Higher Education staff, May 20, 2014.

SIUE

None.

Off-Campus Program Eliminations

SIUC

None.

SIUE

None.

Academic Program Suspensions/Eliminations

SIUC

Eliminated the Bachelor of Science degree in Physician Assistant in the College of Applied Sciences and Arts, approved by the President, July 8, 2013.

Eliminated nine majors in the Department of Languages, Cultures, and International Trade in the College of Liberal Arts, approved by Illinois Board of Higher Education staff, October 31, 2013.

1. Classics
2. French with Teacher Education (TEP)
3. French without TEP
4. German Studies with TEP
5. German Studies without TEP
6. Spanish with TEP
7. Spanish without TEP
8. Foreign Language and International Trade
9. International Studies

Eliminated three specializations in the Languages, Cultures, and International Studies major in the Department of Languages, Cultures, and International Trade in the College of Liberal Arts, approved by Illinois Board of Higher Education staff, October 31, 2013.

1. Chinese
2. Japanese
3. Foreign Language and International Public Service

Eliminated the minor in Russian in the Department of Languages, Cultures, and International Trade in the College of Liberal Arts, approved by the President, November 6, 2013.

Eliminated the Doctor of Philosophy degree in Education with a concentration in Educational Psychology in the College of Education and Human Services, approved by Illinois Board of Higher Education staff, March 12, 2014.

Eliminated specializations in Lodging Management, Food Service Management, and Tourism Management in the Bachelor of Science degree in Hospitality and Tourism Administration in the Department of Animal Science, Food and Nutrition in the College of Agricultural Sciences, approved by the President, March 28, 2014.

Eliminated the Master of Science in Education degree in Health Education, both the thesis option and the non-thesis option, in the Department of Health Education and Recreation in the College of Education and Human Services, approved by the President, June 11, 2014.

SIUE

Eliminated the Master of Science in Education degree in Secondary Education in the Department of Curriculum and Instruction in the School of Education, approved by the President, July 16, 2013.

Eliminated the minors in Coaching and Kinesiology in the Department of Kinesiology and Health Education in the School of Education, approved by the President, December 4, 2013.

CHANGES IN FACULTY-ADMINISTRATIVE PAYROLL – SIUC

The following changes in faculty-administrative payroll are submitted to the Board of Trustees for ratification in accordance with the Board Policy on Personnel Approval (2 Policies of the Board B). Additional detailed information is on file in the Office of the Chancellor. Where appropriate, salary is reported on a monthly basis and on either an academic year (AY) or fiscal year (FY) basis.

A. Continuing Appointment (If the person previously had a University appointment, it is so noted. Otherwise, the person is a new University employee.)

| | <u>Name</u> | <u>Rank/Title</u> | <u>Department</u> | <u>Effective Date</u> | <u>Salary</u> |
|----|------------------------|---|---|-----------------------|-----------------------------------|
| 1. | Borrenpohl, Jared M. | Senior Lecturer* (previously Assistant Instructor) | Health Education and Recreation | 07/01/2014 | \$ 4,992.00/mo \$ 59,904.00/FY |
| 2. | Brobst, Jennifer A. | Assistant Professor | School of Law | 08/16/2014 | \$ 9,000.00/mo \$ 81,000.00/AY |
| 3. | Campbell, Christina A. | Assistant Professor (75%) /Assistant Professor (25%) | Psychology/ Department of Criminology and Criminal Justice | 08/16/2014 | \$ 8,334.00/mo \$ 75,006.00/AY |
| 4. | Dallas, Tiffany H. | Senior Admissions Coordinator** | Undergraduate Admissions | 05/01/2014 | \$ 3,417.00/mo \$ 41,004.00/FY |
| 5. | Dasgupta, Debalina | Researcher II | Illinois Clean Coal Institute | 11/01/2014 | \$ 5,208.34/mo \$ 62,500.08/FY |
| 6. | Dawson, Edward C. | Assistant Professor | School of Law | 08/16/2014 | \$ 9,000.00/mo \$ 81,000.00/AY |
| 7. | Douglas, Janet B. | Associate Director, Mobile Applications*** (previously Associate Director) | Information Technology (previously University Communication) | 04/01/2014 | \$ 5,602.67/mo \$ 67,232.04/FY |

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|-----|-------------------|---------------------------------------|-----------------------------|------------|-----------------------------------|
| 8. | Fehr, Karla K. | Assistant Professor | Psychology | 08/16/2014 | \$ 7,634.00/mo \$ 68,706.00/AY |
| 9. | Hylin, Michael J. | Assistant Professor | Psychology | 08/16/2014 | \$ 7,634.00/mo \$ 68,706.00/AY |
| 10. | Ramos, Heidi E. | Visiting Clinical Assistant Professor | School of Law | 07/01/2014 | \$ 6,024.00/mo \$ 72,288.00/FY |
| 11. | Sanders, Marta L. | Senior Lecturer | Curriculum and Instruction | 08/16/2014 | \$ 3,453.00/mo \$ 31,077.00/AY |
| 12. | Speith, Gerald R. | Instructor | Office of Teacher Education | 08/16/2014 | \$ 3,215.00/mo \$ 38,580.00/AY |

*Change from term to continuing

**Promotion of Administrative Professional

***Change from term to continuing/ Promotion of Administrative Professional

B. Leaves of Absence With Pay Pending Tenure –

| | <u>Name</u> | <u>Type of Leave</u> | <u>Department</u> | <u>% of Leave</u> | <u>Date</u> |
|----|-----------------|--------------------------|--|-------------------|----------------------------|
| 1. | Bickel, Barbara | Professional Development | Women, Gender and Sexuality Studies/School of Art and Design | 100% | 01/01/2015 – 05/15/2015 |

Purpose: Professor Bickel will use the leave period to conduct interdisciplinary research and meet with faculty, directors, and students of WGSS programs and other affiliated programs at potentially 10 universities. She will strengthen the WGSS program at SIU by developing and enhancing networking relationships, observing/participating in discussions of programmatic development, and collaborating with colleagues in universities across the US. Dr. Bickel has identified potential site visits in Vancouver, BC; Edmonton, AB; Toronto, ON; Montreal, QC; Chicago, IL; Champaign/Urbana, IL; New Brunswick, NJ; and Portland, OR.

| | | | | | |
|----|----------------|------------|-------------------|------|---|
| 2. | McClurg, Scott | Sabbatical | Political Science | 100% | 01/01/2015 – 05/15/2015 (This leave supersedes previous leave reported on 08/16/2014-08/15/2015 at 50%) |
|----|----------------|------------|-------------------|------|---|

C. Awards of Tenure – None to be reported.

D. Promotions – None to be reported.

The following changes in faculty-administrative payroll at the School of Medicine/Springfield campus are submitted to the Board of Trustees for ratification in accordance with the Board Policy on Personnel Approval (2 Policies of the Board B). Additional detailed information is on file in the Office of the Dean and Provost. Where appropriate, salary is reported on a monthly basis and on either an academic year (AY) or fiscal year (FY) basis.

A. Continuing Appointment – (If the person previously had a University appointment, it is so noted. Otherwise, the person is a new University employee.)

| | <u>Name</u> | <u>Rank/Title</u> | <u>Department</u> | <u>Effective Date</u> | <u>Salary</u> |
|----|-----------------------------|---|---|-----------------------|-------------------------------------|
| 1. | Ashley, Melissa | Certified Nurse Practitioner* | Internal Medicine | 07/01/2014 | \$ 7,500.00/mo \$ 90,000.00/FY |
| 2. | Aziz, Saba | Assistant Professor of Clinical Internal Medicine | Internal Medicine | 08/04/2014 | \$ 7,083.34/mo \$ 85,000.08/FY |
| 3. | Bednarchik, Cynthia | Certified Nurse Practitioner* | Surgery | 04/01/2014 | \$ 5,833.33/mo \$ 69,999.96/FY |
| 4. | Bhattarral, Mukul | Assistant Professor of Clinical Internal Medicine | Internal Medicine | 07/01/2014 | \$ 10,833.34/mo \$ 130,000.08/FY |
| 5. | Branham, Amy | Certified Nurse Practitioner* | Surgery | 05/19/2014 | \$ 5,833.33/mo \$ 69,999.96/FY |
| 6. | Braundmeier-Fleming, Andrea | Assistant Professor | Medical Microbiology, Immunology and Cell Biology | 07/01/2014 | \$ 7,333.33/mo \$ 87,999.96/FY |
| 7. | Broccardo, Gabriella | Clinical Research Specialist* | Center for Clinical Research | 05/01/2014 | \$ 2,562.21/mo \$ 30,746.52/FY |
| 8. | Caga-Anan, REXANNE | Assistant Professor of Clinical Internal Medicine | Internal Medicine | 08/18/2014 | \$ 9,583.33/mo \$ 114,999.96/FY |
| 9. | Carlson, Douglas | Professor and Chair | Pediatrics | 09/29/2014 | \$ 35,416.67/mo \$ 425,000.04/FY |

| | | | | | |
|-----|---------------------|---|---|------------|-------------------------------------|
| 10. | Couri, Daniel | Assistant Professor of Clinical Internal Medicine | Internal Medicine | 07/14/2014 | \$ 33,333.33/mo \$ 399,999.96/FY |
| 11. | Cox, Diane | Physician Assistant-Certified* | Surgery | 07/01/2014 | \$ 6,800.00/mo \$ 81,600.00/FY |
| 12. | Frieze, Breanne | Certified Nurse Practitioner* | Internal Medicine | 07/01/2014 | \$ 7,500.00/mo \$ 90,000.00/FY |
| 13. | Fritz, Sally | Clinical Research Specialist* | Center for Clinical Research | 07/01/2014 | \$ 2,937.67/mo \$ 35,252.04/FY |
| 14. | Groepper, Daniel | Genetic Specialist* | Pediatrics | 07/01/2014 | \$ 5,000.00/mo \$ 60,000.00/FY |
| 15. | Harrison, Carrie | Research Laboratory Coordinator* | Surgery | 06/01/2014 | \$ 4,333.33/mo \$ 51,999.96/FY |
| 16. | Huettner, Franziska | Assistant Professor | Surgery | 08/01/2014 | \$ 12,500.00/mo \$150,000.00/FY |
| 17. | Knorr, David | Licensed Clinical Professional Counselor* | Family and Community Medicine/ Quincy | 07/01/2014 | \$ 2,374.39/mo \$ 28,492.68/FY |
| 18. | LaMontagne, Hillary | Licensed Clinical Professional Counselor* | Psychiatry | 07/01/2014 | \$ 4,166.66/mo \$ 49,999.92/FY |
| 19. | Lessen, Kristin | Outreach Coordinator* | Cancer Institute | 05/01/2014 | \$ 5,047.98/mo \$ 60,575.76/FY |
| 20. | Mathews, Wayne | Assistant Professor of Family & Community Medicine* | Family and Community Medicine/ Decatur | 07/01/2014 | \$ 7,360.00/mo \$ 88,320.00/FY |
| 21. | Monsivais, Jacob | Physician Assistant-Certified* | Surgery | 07/01/2014 | \$ 7,633.33/mo \$ 91,599.96/FY |

| | | | | | |
|-----|---------------------|---|---|------------|-------------------------------------|
| 22. | Olson, Michael | Assistant Professor | Medical Microbiology, Immunology and Cell Biology | 07/01/2014 | \$ 7,333.33/mo \$ 87,999.96/FY |
| 23. | Oren, Ron | Professor of Clinical Internal Medicine | Internal Medicine | 08/04/2014 | \$ 35,416.67/mo \$ 425,000.04/FY |
| 24. | Parker, Justin | Assistant Professor of Family & Community Medicine* | Family and Community Medicine/ Decatur | 07/01/2014 | \$ 8,333.34/mo \$ 100,000.08/FY |
| 25. | Pathak, Swati | Assistant Professor of Clinical Internal Medicine | Internal Medicine | 08/04/2014 | \$ 16,666.67/mo \$ 200,000.04/FY |
| 26. | Pence, David | Director of Clinical Finance | SIU HealthCare Core | 05/12/2014 | \$ 7,916.67/mo \$ 95,000.04/FY |
| 27. | Poola, Venkateswara | Assistant Professor | Surgery | 07/01/2014 | \$ 12,500.00/mo \$ 150,000.00/FY |
| 28. | Rogers, Laura | REI Lab Specialist | Obstetrics and Gynecology | 04/01/2014 | \$ 5,000.00/mo \$ 60,000.00/FY |
| 29. | Siddique, Sohail | Assistant Professor | Obstetrics and Gynecology | 05/19/2014 | \$ 16,666.67/mo \$ 200,000.04/FY |
| 30. | Stewart, Christie | Physician Assistant-Certified* | Family & Community Medicine/ Quincy | 07/01/2014 | \$ 6,885.00/mo \$ 82,620.00/FY |
| 31. | Torricelli, John | Researcher II* | Cancer Institute | 07/01/2014 | \$ 3,054.93/mo \$ 36,659.16/FY |
| 32. | Wilson, Teresa | Clinical Research Specialist* | Center for Clinical Research | 05/01/2014 | \$ 2,885.83/mo \$ 34,629.96/FY |

*Change from term to continuing

B. Leaves of Absence with Pay –

| | <u>Name</u> | <u>Type of Leave</u> | <u>Department</u> | <u>% of Leave</u> | <u>Date</u> |
|----|----------------|----------------------|-------------------|-------------------|----------------------------|
| 1. | Narayan, Prema | Sabbatical | Physiology | 100% | 01/01/2015 – 06/30/2015 |

Purpose: Received funding from the Found Animals Foundation for a new project in her laboratory. This is an exciting addition to the research focus in her laboratory and she plans on using the leave period to develop this new area of research. She will also visit laboratories at Indiana University and University of Illinois, Chicago, to develop expertise in new cell culture techniques. These techniques will permit her to establish testicular tumor cell lines in her laboratory. The availability of these cell lines is critical to the future success of her research on testicular cancer. She will write grant proposals in the areas of testicular cancer and infertility and manuscripts based on a backlog of previous work performed by graduate and undergraduate students in the laboratory.

C. Awards of Tenure –

| | <u>Name</u> | <u>Title on Effective Date of Tenure</u> | <u>Department</u> | <u>Effective Date</u> |
|----|------------------|--|-------------------|-----------------------|
| 1. | Carlson, Douglas | Professor and Chair | Pediatrics | 09/29/2014 |

D. Promotions – None to be reported.CHANGES IN FACULTY-ADMINISTRATIVE PAYROLL – SIUE

The following changes in faculty-administrative payroll are submitted to the Board of Trustees for ratification in accordance with the Board Policy on Personnel Approval (2 Policies of the Board B). Additional detailed information is on file in the Office of the Chancellor. Where appropriate, salary is reported on a monthly basis and on either an academic year (AY) or fiscal year (FY) basis.

A. Continuing Appointment (If the person previously had a University appointment, it is so noted. Otherwise, the person is a new University employee.)

| | <u>Name</u> | <u>Rank/Title</u> | <u>Department</u> | <u>Effective Date</u> | <u>Salary</u> |
|----|----------------|--------------------|--------------------|-----------------------|---------------------------------|
| 1. | Baietti, Scott | Community Director | University Housing | 7/01/2014 | \$2,486.76/mo \$29,841.12/FY |

| | | | | | |
|-----|--------------------|---|--------------------------------------|-----------|-----------------------------------|
| 2. | Behnen, Erin | Assistant Dean (previously: Director) | School of Pharmacy | 7/1/2014 | \$11,417.70/mo \$137,012.40/FY |
| 3. | Bitter, Robert | Assistant Professor | School of Dental Medicine | 7/1/2014 | \$9,834.00/mo \$118,008.00/FY |
| 4. | Burel, Melissa | Assistant Professor | Lovejoy Library | 5/19/2014 | \$3,708.34/mo \$44,500.08/FY |
| 5. | Cooper, Tristin | Academic Advisor | Academic Advising | 5/19/2014 | \$2,995.50/mo \$35,946.00/FY |
| 6. | Harbaugh, Jennifer | Associate Director | Admissions | 5/12/2014 | \$5,500.00/mo \$66,000.00/FY |
| 7. | Jenkins, Alyse | Academic Advisor | School of Engineering | 5/16/2014 | \$2,995.50/mo \$35,946.00/FY |
| 8. | Lopinot, Andrew | Academic Advisor | Academic Advising | 6/2/2014 | \$2,995.50/mo \$35,946.00/FY |
| 9. | Martinez, Chad | Director & Title IX Coordinator | Office of Equal Opportunity & Access | 7/1/2014 | \$8,333.32/mo \$99,999.84/FY |
| 10. | Mullen, Sally | Director (previously: Acting Director) | Student Financial Aid | 5/29/2014 | \$7,916.68/mo \$95,000.16/FY |
| 11. | Park, Go-Woon | Assistant Professor | Lovejoy Library | 7/1/2014 | \$4,541.68/mo \$54,500.16/FY |
| 12. | Poirier, Therese | Senior Scholar (previously: Associate Dean) | School of Pharmacy | 7/1/2014 | \$13,448.80/mo \$161,385.60/FY |
| 13. | Schoenherr, Mark | Academic Advisor | School of Engineering | 5/1/2014 | \$3,258.32/mo \$39,099.84/FY |
| 14. | Strickfaden, David | Assistant Athletic Director for Student Welfare | Intercollegiate Athletics | 6/16/2014 | \$4,997.32/mo \$59,967.84/FY |

| | | | | | |
|-----|-----------------|--|------------------------|-----------|---------------------------------|
| 15. | Taylor, Cathy | Director of Prospect Research & Special Projects | University Advancement | 7/1/2014 | \$6,049.32/mo \$72,591.84/FY |
| 16. | Weaver, Arielle | Community Director | University Housing | 7/1/12014 | \$2,486.76/mo \$29,841.12/FY |

PROPOSED REPLACEMENT OF THE BOARD OF TRUSTEES 5 POLICIES
J ELECTRONIC INFORMATION SYSTEMS PRIVACY ISSUES AND STATEMENT OF
ETHICS AND K INFORMATION TECHNOLOGY: OPERATIONS POLICY AT
SOUTHERN ILLINOIS UNIVERSITY
[REPLACEMENT OF 5 POLICIES OF THE BOARD J AND K]

Summary

This matter seeks approval by the SIU Board of Trustees to replace the current Board of Trustees Policies regarding Electronic Information Systems Privacy Issues and Statement of Ethics (5 Policies J) and Information Technology: Operations Policy at Southern Illinois University (5 Policies K) with a more comprehensive policy titled SIU System Information Security Plan.

Rationale for Adoption

The replacement of these Policies is necessary to satisfy an external audit finding. Specifically, the current policies have been incorporated into a more comprehensive policy which addresses the Information Security Plan for the SIU System. The policies have been developed to ensure the security of information the University creates, receives, maintains, and transmits by providing confidentiality, integrity and availability of that information, regardless of the medium in which it is held or transmitted.

Considerations Against Adoption

None. Adoption of these policies is necessary to ensure the security of University's information and to satisfy an external audit finding.

Constituency Involvement

Not Applicable.

Resolution

BE IT RESOLVED, By the Board of Trustees of Southern Illinois University, in regular meeting assembled, That:

(1) The Board of Trustees Policy on SIU System Information Security Plan is hereby adopted, and the newly adopted Policy shall replace the Policies of the Board on Electronic Information Systems Privacy Issues and Statement of Ethics (5 Policies J) and Information Technology: Operations Policy at Southern Illinois University (5 Policies K).

(2) The Board of Trustees Policy sections be reformatted as follows:

- ↳ K. Information Technology: University Internet Privacy Policy
- ↳ L. Trademark Policy
- ↳ M. Freedom of Information Act Policy
- ↳ N. Identity Theft Prevention Policy

SIU System Information Security Plan

I. Information Security Plan Charter

- A. Southern Illinois University has established this Information Security Plan to:
1. Ensure the security of information the University creates, receives, maintains, or transmits by providing for the confidentiality, integrity and availability of that information, regardless of the medium in which the asset is held or transmitted.
 2. Protect against reasonably anticipated threats or hazards.
 3. Protect against reasonably anticipated uses, disclosures, or losses that violate applicable laws, regulations, and/or policies.
 4. Identify roles and responsibilities for implementing this Information Security Plan.
- B. It is the collective responsibility of all users to ensure:
1. Compliance with the policies, guidelines and procedures contained within this Information Security Plan.
 2. Confidentiality of information which SIU is required to protect from unauthorized access.
 3. Integrity and appropriate availability of information stored and/or processed by SIU.
 4. Compliance with applicable laws, regulations, and policies governing information security and privacy protection.
- C. Failure to comply with this Information Security Plan shall subject users to disciplinary action consistent with University policies and any applicable laws and/or regulations.
- D. This Information Security Plan shall apply to the following:
1. All SIU information assets, including central and departmentally-managed computing resources.
 2. All employees of SIU, contractors, vendors or any other person *with access to SIU computing resources or information assets*. This includes non-SIU owned devices that may store protected¹ information.
 3. All SIU information assets regardless of medium (e.g. physical or electronic)
 4. All computing resources (e.g. networks, systems, applications, etc.) owned or managed by SIU.

II. Information Systems Privacy & Statement of Ethics

Southern Illinois University takes justifiable pride in the electronic information systems provided to its faculty, staff, and students. These resources include computer systems, software, data sets, and communications networks. Members of the University community may use these resources only for purposes related to their studies, instruction, the discharge of duties as employees, official business with the University, or other University-sanctioned activities. Any other use, unless specifically authorized, is prohibited. Access to the University's electronic information systems is a privilege to which all University faculty, staff, and students may be granted access to varying degrees. Certain responsibilities accompany

¹ Information that is protected from release by state and/or federal law/regulation or would require SIU to provide notice to individuals and/or government agencies if information is lost, stolen or compromised; examples include protected health information (PHI/HIPAA), credit card numbers (PCI), banking information (GLBA), and protected student information (FERPA)

that privilege; understanding them is important for all users. Those within the University community who make use of these resources are subject to high standards of ethics to insure the privacy, security, and proper use of data. Recognized as a primary educational, research, and administrative asset, the University's electronic information systems should be protected from unauthorized modification, destruction, disruption or disclosure-whether accidental or intentional.

1. User Responsibility for Security of Stored Information

The user is responsible for correct and efficient use of the tools each electronic information system provides for maintaining the security of stored information.

- a. Individual users to whom computer accounts, passwords, and other types of security authorizations have been assigned must obey any express restrictions on disclosure of such authorizations to others. No otherwise authorized disclosure may be made until the proposed recipient of the disclosure has demonstrated familiarity with the security requirements for usage of the authorizations and agreed to comply with them.
- b. The user must strive to understand the level of protection each electronic information system automatically applies to files and supplement that protection, if necessary, for sensitive information.
- c. The user must be aware of computer viruses and other destructive computer programs, and take steps to avoid being either their victim or propagator.
- d. Use of computers by individuals implies that they accept responsibility for protecting any information (processed and/or stored under directories or accounts assigned to them) which is restricted, licensed, proprietary or protected by law or regulation.

2. Confidentiality of Stored Information

- a. Information stored on electronic information systems is considered confidential, whether protected by the computer system or not, unless the owner intentionally, and with proper authority, makes that information available to other groups or individuals. The University assumes that computer users wish the information they store on central and campus shared computing resources to remain confidential.
- b. Requests for the disclosure of confidential information outside the University will be governed by the provisions of law, including but not limited to the Family Educational Rights and Privacy Act of 1974, the State Records Act, and the Illinois Freedom of Information Act. All such requests will be honored only when approved by university officials who are the legal custodians of the information requested, or when required by state or federal law, or court order.

3. Inappropriate Usage

Computing and networking resources may be used only in accordance with accepted University practice. Examples of inappropriate and unacceptable use of computing and networking resources include, without limitation:

- a. harassment;
- b. fraud or misrepresentation;
- c. destruction of or damage to equipment, software, or data belonging to the University or other computer and networking users;
- d. disruption or unauthorized monitoring of electronic communications;
- e. violations of computer system security;
- f. unauthorized use of computer accounts, access codes, or network identification numbers assigned to others;

- g. unauthorized use of computer and/or network facilities in ways that impede the computing activities of others;
- h. use of computing facilities for personal or business purposes unrelated to the mission of the University;
- i. violation of copyrights and software license agreements;
- j. violation of the usage policies and regulations of the networks of which the University is a member or has authority to use;
- k. violation of another user's privacy;
- l. academic dishonesty such as plagiarism or cheating;
- m. accessing, or attempting to access, another individual's or entity's data or information without proper authorization regardless of the means by which this access is attempted or accomplished;
- n. giving another individual access to data or information, or the means to access data or information, they are not authorized to access;
- o. obtaining, possessing, using, or attempting to use passwords or other information about someone else's account;
- p. inspecting, modifying, distributing, or copying data, mail messages, or software without proper authorization, or attempting to do so;
- q. concealing or misrepresenting user's name, affiliation or other identifier to mask irresponsible or offensive behavior or unauthorized use of identifier of other individuals or entities;
- r. Violations under the applicable student conduct code, applicable faculty or other constituency code of ethics or conduct, or other University policies;
- s. tapping phone or data lines.

4. Sanctions

Violation of the policies described herein for use of computing resources will be dealt with seriously. Violators are subject to disciplinary procedures of the University and, in addition, may lose computing privileges. Illegal acts involving the University's computing and networking facilities may also be subject to prosecution by local, state, and federal authorities.

III. Plan Oversight

Each campus shall:

- a. Identify an Information Security Officer;
- b. Assign joint responsibility for their information security plan to their Chief Information Officer and Information Security Officer or equivalent positions;
- c. Ensure appropriate University officers, offices or employees are appropriately authorized to implement, maintain, and enforce this plan;
- d. Implement guidelines, procedures and processes to address the requirements of this Information Security Plan;
- e. Regularly review their local information security plan materials;
- f. Maintain records of their information security plan activities in compliance with applicable University policies, regulations and guidelines, and local, state and federal law

The campus Information Security Officers, or their designates, shall meet annually to review the SIU System Information Security Plan and suggest modification as required.

IV. Plan Requirements

Each campus shall maintain an information security plan that, at minimum, addresses the following:

A. Guidelines

1. Responsible/Acceptable Use

Maintain acceptable or responsible use guidelines that include or address:

- a. Identification of appropriate university officers or offices/units responsible for decisions as to whether a particular use of computing and network resources conforms to University guidelines;
- b. General user responsibilities;
- c. User responsibilities for securing protected information;
- d. Impermissible use;
- e. Acceptable network and Internet use;
- f. Users expectations of privacy and confidentiality;
- g. Sanctions.

2. Security Awareness and Training

Ensure that workforce members receive training regarding:

- a. The acceptable use of computing resources;
- b. The regulatory requirements and liabilities of access to protected information;
- c. How to use information systems and security controls;
- d. Handling, storing and disposing of protected information;
- e. Individual responsibilities and roles related to maintaining institution integrity.

3. Data Classification

Maintain standards and guidelines for the classification of information the University creates, receives, maintains, or transmits.

4. Procurement

Maintain standards and guidelines for the procurement of computing resources. Ensure that systems handling protected information are compliant with relevant requirements of applicable law and this Information Security Plan.

B. Securing Systems, Hardware, Data, and Software

1. Infrastructure Configuration Standards

Maintain standards for the equipment, applications, and devices deployed to ensure predictable operability and security of those devices. These standards are mandatory for computing resources involved in the processing and storage of protected information.

2. Change Management

Ensure significant changes to computing resources are managed to establish that changes are reasonable and necessary; do not introduce unintended risk to the confidentiality, availability, or integrity of data; and are executed as planned.

3. Workstation Security

Ensure workstations are configured, maintained, and employed in a manner to ensure the confidentiality, integrity and available of the information they contain.

4. Patch Management Standards

Ensure information system software is regularly tested and updated to reduce risk of system vulnerability exploitation and malfunction.

5. Malware Protection

Ensure information systems and data are adequately protected from malware or other destructive computer programs.

6. Physical Safeguards

Maintain reasonable and appropriate protection for physical computing resources. Access to data centers, network closets, remote points of presence, etc. shall be appropriately restricted. Physical locations containing high-risk information assets shall receive additional protections. i.e. access logging, proximity badge access, video surveillance, etc.

7. Data Backup

Maintain standards for the backup, retention, recovery, and protection of critical data.

8. Disposal Standards

Maintain standards for reuse, destruction and disposal of computing devices and information. Disposal of physical assets and data must be done in accordance with applicable laws, regulations, and policies.

9. Data Handling

Maintain standards for the proper handling, tracking and disposal of protected information regardless of medium to prevent inadvertent disclosure.

10. Network Security

Maintain network standards that include:

- a. Network perimeter defense mechanisms including firewalls, virtual private networks, and intrusion detection/prevention
- b. Network partitioning mechanisms to ensure proper isolation of high-risk information
- c. Wireless network security and access control mechanisms

C. Access Control

1. Clearance & Authorization

Ensure employees, contractors, vendors or any affiliates with access to computing resources or information assets are appropriately vetted by the appropriate University officials, managers, supervisors, and data stewards.

2. Terminating Access

Ensure timely termination of user access to information systems, as appropriate, to protect the confidentiality and integrity of those systems.

3. Access Management

Provide users the least privilege access to information systems necessary and appropriate to conduct University business need and perform University job duties.

4. User Accounts and Passwords

Require individually assigned accounts and strong passwords to ensure access to information systems is appropriate and adequately logged.

5. Remote Access

Ensure remote network and application access is appropriate to individual users' roles and responsibilities.

D. Business Continuity and Disaster Recovery Planning

1. Disaster Recovery

Ensure business systems and business processes are prioritized by mission impact to establish criticality in the event of catastrophic failure. Assign appropriate backup and redundancy processes to critical systems. Maintain and regularly test a disaster recovery plan.

2. Business Continuity

As appropriate, maintain procedures to ensure that critical University processes can continue in the event an information system is unavailable.

E. Information Risk Management

1. Risk Analysis

Regularly undertake a formal analysis of the risks and vulnerabilities associated with the security of protected information contained in or accessed through University computing resources.

2. Information Incident Management

Investigate, document, report, and remediate information security incidents as appropriate and required.

3. Inventory

Maintain an inventory of systems and processes that store, process, manipulate or access protected information.

4. Exception Management

Ensure that exceptions to guidelines, policies and standards developed pursuant to this Information Security Plan are formally approved, documented and regularly reviewed in recognition of the balance between the rigidity and structure of standards with the necessity of effective operations and the limitations of available resources and technology.

PROPOSED REVISIONS TO 5 POLICIES OF THE BOARD G (TRAVEL REGULATIONS)

Summary

This matter seeks approval by the SIU Board of Trustees to amend 5 Policies of the Board Section G (Travel Regulations) regarding approval of travel related reimbursement for the President of Southern Illinois University.

Rationale for Adoption

From time to time, review of Board Policies suggests changes to clarify or improve the Policies or practices thereunder.

Under current practice, the President of the University seeks approval from the Board of Trustees' Executive Committee for travel related reimbursement. Upon recommendation of the Office of Internal Audit, this addition to 5 Policies of the Board Section G would simply memorialize that propriety approval should be obtained from the Board, or a committee or individual(s) it so designates, prior to reimbursement of the President's travel related expenditures.

Considerations Against Adoption

None.

Constituency Involvement

Not applicable.

Resolution

BE IT RESOLVED, By the Board of Trustees of Southern Illinois University in a regular meeting assembled, That:

5. Policies of the Board, Section G, is hereby amended to add Section 2, to read as follows:

G. Travel Regulations

1. The Higher Education Travel Control Board, as authorized by "An act in relation to State finance," sets travel regulations for all University employees. These regulations, and any changes that may be made, are considered as Board of Trustees travel policy. SIUC and SIUE, the Office of the President, and the Office of the Board of Trustees may have travel regulations that differ from these regulations so long as they are not inconsistent with the Higher Education Travel Control Board travel regulations.

2. Travel related expenditures for the President of Southern Illinois University shall be submitted to the Board of Trustee's Executive Committee, or other committee or individual(s) as designated by the Board, for approval, prior to reimbursement. (7/24/14)

APPROVAL OF PURCHASE:
INVESTMENT MANAGEMENT SERVICES,
SOUTHERN ILLINOIS UNIVERSITY

Summary

This matter seeks approval to purchase investment management services for a portion of the University's operating reserves. Investment management services include options for the management of intermediate-term funds, short-term funds, and related investment consulting. The cost of the service is contingent upon the size of the portfolio managed and type of the investment products proposed. It is estimated to be no more than \$1,500,000 over a maximum 9-year contract period.

Rationale for Adoption

SIU's current contract with Western Asset Management Company for management of the University's approximately \$105 million intermediate-term portfolio expires on August 31, 2014. Consistent with the State Procurement Code, a Request for Proposals (RFP) was issued to solicit bids from firms to provide investment management services. In addition to seeking a new contract for management of the intermediate-term portfolio, the University also used the RFP process as an opportunity to explore additional investment options for short-term investment of operating funds. Eighteen qualified vendors responded to the RFP, of which sixteen met the criteria for intermediate-term manager and twelve met the criteria for short-term manager.

A Selection Committee was established consisting of three members from the SIU Treasurer's Office and the principal of SIU's financial advisory firm. Firms were evaluated on the basis of the criteria set forth in the RFP including, but not limited to: organization and professional experience; assets under management; investment philosophy and process; implementation; and pricing (see attached Evaluation Criteria).

The Selection Committee selected a short list of five intermediate-term investment managers. The Committee then used the services of Treasury Strategies, a leading treasury consulting firm, to assist with additional analysis of the top five firms. As a result of this process, the Selection Committee's top choice was also supported by the analysis performed by Treasury Strategies as the firm that best met the needs of SIU. Therefore, approval is sought to select PFM Asset Management LLC to serve as the intermediate-term manager. PFM Asset Management LLC will manage the University's intermediate-term funds of approximately \$105 million.

As of May 30, 2014, SIU has \$173.8 million invested in the State Treasurer's pool and two money market accounts. These funds are used to meet operating needs and the balance available to invest fluctuates greatly over the course of an annual cash flow cycle. SIU would like to engage a short-term investment manager to provide additional opportunities for the investment of these short-term funds. The services of the short-term investment manager would be used to invest excess operating cash with the goal of enhancing returns. The Selection Committee reviewed proposals for short-term investment managers using the same criteria used for the intermediate proposals. Approval is sought to select PFM Asset Management LLC to serve as the short-term investment manager.

The award to PFM Asset Management LLC for management of intermediate-term funds, short-term funds and related consulting will be for a 3-year period, with the option to renew for 3 additional 2-year periods. The cost of the service is estimated at \$166,666 per year and will be paid from income earned on the funds under management.

Considerations Against Adoption

University officers are aware of none.

Resolution

BE IT RESOLVED, By the Board of Trustees of Southern Illinois University in regular meeting assembled, That:

(1) The purchase of investment management services be and is hereby approved at a cost of up to \$1,500,000 over a maximum 9-year contract period.

(2) The purchase of investment management services for the University's intermediate-term funds and related investment consulting be and is hereby awarded to PFM Asset Management LLC.

(3) The purchase of investment management services for the University's short-term funds and related investment consulting be and is hereby awarded to PFM Asset Management LLC.

(4) The cost for this service will be paid from income earned on the funds under management.

(5) The President of Southern Illinois University be and is hereby authorized to take whatever action may be required in the execution of this resolution in accordance with established policies and procedures.

Southern Illinois University

RFP - Investment Manager
Evaluation Criteria

| Evaluation Criteria | Weight |
|-----------------------------------|---------------|
| Organization and Professionals | 15.0% |
| Assets Under Management | 10.0% |
| Investment Philosophy and Process | 17.5% |
| Reports & Deliverables | 5.0% |
| Implementation | 10.0% |
| Compliance | 7.5% |
| Other Services | 5.0% |
| Cost for services provided | 30.0% |
| Total | <u>100.0%</u> |

REORGANIZATION OF OFFICE OF INSTITUTIONAL DIVERSITY AND INCLUSION
FROM OFFICE OF PROVOST AND VICE CHANCELLOR FOR ACADEMIC AFFAIRS
TO OFFICE OF THE CHANCELLOR, SIUE

Summary

This matter requests approval to move the Institutional Diversity and Inclusion function from the Office of the Provost and Vice Chancellor for Academic Affairs to the Office of the Chancellor.

Rationale for Adoption

Due to the importance and the impact of an inclusive and diverse environment on the welfare of the campus community, the Chancellor must have a close and communicative working relationship with the Diversity and Inclusion division. Adjusting the reporting structure to align the unit so that it is within the Chancellor's direct purview will more closely resemble the standard found among many other institutions of higher education and will better communicate SIUE's commitment to institutional diversity.

Considerations Against Adoption

University officials are aware of none.

Resolution

BE IT RESOLVED, By the Board of Trustees of Southern Illinois University in regular meeting assembled, That:

(1) Effective August 1, 2014, Institutional Diversity and Inclusion is hereby transferred to the Office of the Chancellor.

(2) The President of Southern Illinois University be and is hereby authorized to take all action required in the execution of this resolution in accordance with established policies and procedures.

REORGANIZATION OF EAST ST. LOUIS CENTER FROM OFFICE OF THE
PROVOST AND VICE CHANCELLOR FOR ACADEMIC AFFAIRS TO OFFICE OF THE
VICE CHANCELLOR FOR ADMINISTRATION, SIUE

Summary

In accordance with Statutes of the SIU Board of Trustees, Article II, Section 1, item 9, this matter requests approval to move the grant-funded programs at the East St. Louis Center from the Office of the Provost and Vice Chancellor for Academic Affairs to the Office of the Vice Chancellor for Administration.

Rationale for Adoption

The East St. Louis Center (ESLC) has for many years provided educational and community service programs for children, youth and adults. An internal review of the Center has determined that the grant-funded programs should be shifted to the Office of the Vice Chancellor for Administration so that the Vice Chancellor for Administration can be more directly engaged in the financial oversight of the center. The unit will continue to report to the Director of the East St. Louis Center. The Charter School would not be included in this reorganization and would remain the responsibility of the Dean of the School of Education.

The proposal is in the best interests of SIU as ESLC's fiscal, administrative, and strategic planning challenges can be addressed in the most effective manner. Implementing this move will tie the responsibility and accountability more closely to the Vice Chancellor for Administration. The effective date for the proposed move of the ESLC function would be August 1, 2014.

The Chancellor, the Provost and Vice Chancellor for Academic Affairs, and the Vice Chancellor for Administration, SIUE, have recommended this matter to the President.

Considerations Against Adoption

University officials are aware of none.

Resolution

BE IT RESOLVED, By the Board of Trustees of Southern Illinois University in regular meeting assembled, That:

(1) Effective August 1, 2014, the grant-funded programs at the East St. Louis Center are hereby transferred to the Office of the Vice Chancellor for Administration.

(2) The President of Southern Illinois University be and is hereby authorized to take all action required in the execution of this resolution in accordance with established policies and procedures.

AWARD OF CONTRACTS: HIGH SERVICE WATER PUMPING STATION, SIUE

Summary

This matter approves award of construction contracts in the amount of \$478,011 to upgrade the Edwardsville Water Pumping Station.

Rationale for Adoption

On February 13, 2014, the SIU Board of Trustees approved the project and budget for High Service Water Pumping Station, SIUE. The project will upgrade the electrical service, communications system, access to, and security of the primary potable water pumping station serving the Edwardsville campus.

The approved budget for the project is \$800,000 and will be funded from University Plant funds.

The bids received for the project are favorable and the award of contracts is now requested. A summary of bids received is attached for review. The Chancellor and the Vice Chancellor for Administration, SIUE, have recommended this matter to the President.

Considerations Against Adoption

University officials are aware of none.

Resolution

BE IT RESOLVED, By the Board of Trustees of Southern Illinois University in regular meeting assembled, That:

(1) The contracts to perform construction work required for the High Service Water Pumping Station, SIUE, be and are hereby awarded to the following:

- a. Electric Contractor
Guarantee Electrical Construction Co., Granite City, IL

| | |
|--------------|-----------|
| Base Bid | \$208,440 |
| Alternate #1 | \$ 37,070 |

- b. Asphalt Contractor
Keller Construction, Inc., Glen Carbon, IL

| | |
|----------|-----------|
| Base Bid | \$232,501 |
|----------|-----------|

(2) The President of Southern Illinois University be and is hereby authorized to take all action required in the execution of this resolution in accordance with established policies and procedures.

AWARD OF CONTRACTS: INFORMATION TECHNOLOGY SERVICES COMPUTER ROOM RENOVATION, SIUE

Summary

This matter approves award of construction contracts in the amount of \$908,500 to renovate the Information Technology Services Computer Room in the Basement of Dunham Hall on the Edwardsville campus.

Rationale for Adoption

On December 12, 2013, the SIU Board of Trustees approved the project and budget for Information Technology Services Computer Room Renovation, SIUE. The project will modify mechanical and electrical systems by replacing the heat exchangers, reconfiguring or replacing server racks, and replacing the electrical distribution and uninterruptible power supply (UPS) in the room.

The approved budget for the project is \$1,600,000 and will be funded from University Plant funds and Information Technology Services fees.

The bids received for the project are favorable and the award of contracts is now requested. A summary of bids received is attached for review. The Chancellor, the Provost and Vice Chancellor for Academic Affairs, and the Vice Chancellor for Administration, SIUE, have recommended this matter to the President.

Considerations Against Adoption

University officials are aware of none.

Resolution

BE IT RESOLVED, By the Board of Trustees of Southern Illinois University in regular meeting assembled, That:

(1) The contracts to perform construction work required for the Information Technology Services Computer Room Renovation, SIUE, be and are hereby awarded to the following:

a. Electric Contractor

Wissehr Electric, Inc., Belleville, IL

| | |
|--------------|-----------|
| Base Bid | \$611,100 |
| Alternate #1 | \$128,400 |

b. Heating Contractor

Amsco Mechanical, Inc., Granite City, IL

| | |
|--------------|-----------|
| Base Bid | \$155,000 |
| Alternate #1 | \$14,000 |

(2) The President of Southern Illinois University be and is hereby authorized to take all action required in the execution of this resolution in accordance with established policies and procedures.

RESOLUTION FOR A COLLABORATIVE CREATION OF A PLAN FOR
CONSIDERATION OF A GRANT FROM THE CLEAN COAL REVIEW BOARD

WHEREAS, the coal industry has been a critical component of the Illinois economy since the state's earliest days with historians tracing the origins of the state's commercial mining operations to Jackson County in the early 1800s; and

WHEREAS, for more than 30 years SIU Carbondale's Coal Research Center has advanced the coal industry through meaningful programs on coal research, education, and service that fosters efforts to improve the efficiency, safety and environmental impact of coal mining and coal use; and

WHEREAS, the Clean Coal Review Board was established to oversee the development and implementation of clean coal programs and projects funded by a \$25 million grant to the University from Commonwealth Edison; and

WHEREAS, the Clean Coal Review Board has expressed an interest in exploring the potential to make a significant investment of its remaining grant funds to support a University-led initiative that will make a substantial impact on coal and energy education and research; and

WHEREAS, the University embraces the Clean Coal Review Board's interest in supporting and expanding our institution's work on coal and energy research through working with key stakeholders—faculty, researchers, administrators, students and industry and community partners—to develop and finalize a plan for submission to the Clean Coal Review Board for consideration of funding;

NOW, THEREFORE, BE IT RESOLVED, By the Board of Trustees in regular meeting assembled, That the development of such a plan resulting in a formal request of funding from the Clean Coal Review Board is hereby supported; and

BE IT FURTHER RESOLVED, That the President of Southern Illinois University be and is hereby authorized to take whatever action may be required in the execution of this resolution in accordance with established policies and procedures.

Vice Chair Manering moved the approval of the receipt of Reports of Purchase Orders and Contracts, April and May 2014, SIUC and SIUE, and Information Report: Approval of New Programs, Reasonable and Moderate Extensions, Approval of Off-Campus Program Locations, and Academic Program Eliminations and Suspensions, July 1, 2013, through June 30, 2014; the ratification of Changes in Faculty-Administrative Payroll for SIUC and SIUE; Proposed Replacement of the Board of Trustees 5 Policies J Electronic Information Systems Privacy Issues and Statement of Ethics and K Information Technology: Operations Policy at Southern Illinois University [Replacement of 5 Policies of the Board J and K]; Proposed Revisions to 5 Policies of the Board G (Travel Regulations); Approval of Purchase: Investment Management Services, Southern Illinois University; Reorganization of Office of Institutional Diversity

and Inclusion From Office of Provost and Vice Chancellor for Academic Affairs to Office of the Chancellor, SIUE; Reorganization of East St. Louis Center From Office of the Provost and Vice Chancellor for Academic Affairs to Office of the Vice Chancellor for Administration, SIUE; Award of Contracts: High Service Water Pumping Station, SIUE; Award of Contracts: Information Technology Services Computer Room Renovation, SIUE; and Resolution for a Collaborative Creation of a Plan for Consideration of a Grant From the Clean Coal Review Board. The motion was duly seconded by Trustee Sambursky. The motion carried by the following recorded vote: aye, Dr. Roger Herrin, Hon. Don Lowery, Dr. Donna Manering, Mr. Joel Sambursky, Maj. Gen. Randal Thomas, Ms. Marquita Wiley; nay, none.

The following item was presented:

APPOINTMENT OF INTERIM CHANCELLOR,
SOUTHERN ILLINOIS UNIVERSITY CARBONDALE

Summary

The matter presents for approval the appointment of an Interim Chancellor for Southern Illinois University Carbondale.

Rationale for Adoption

This request follows the appointment of Dr. Paul D. Sarvela as Acting Chancellor of Southern Illinois University Carbondale during a July 8, 2014, special meeting of the SIU Board of Trustees. President Randy J. Dunn recommends Dr. Sarvela to replace Rita Cheng, who is leaving for Northern Arizona University. This position provides the necessary leadership for Southern Illinois University Carbondale until the Board completes a search for a permanent Chancellor.

Considerations Against Adoption

None are known to exist.

Constituency Involvement

Appropriate University and System administrators were consulted in this decision.

Resolution

BE IT RESOLVED, By the Board of Trustees of Southern Illinois University in regular meeting assembled, That Dr. Paul D. Sarvela is appointed to the position of Interim Chancellor of Southern Illinois University Carbondale with an annual salary of \$267,000, effective July 25, 2014, to serve at the pleasure of the President and the Board.

Trustee Sambursky moved approval of the item. The motion was duly seconded by Trustee Wiley. The motion carried by the following recorded vote: aye, Dr. Roger Herrin, Hon. Don Lowery, Dr. Donna Manering, Mr. Joel Sambursky, Maj. Gen. Randal Thomas, Ms. Marquita Wiley; nay, none.

Chair Thomas announced that the next regularly scheduled meeting of the Board would be held September 11, 2014, at SIU Edwardsville. He explained that there would be a news conference held at the Board table immediately following the meeting of the Members of the Corporation of University Park Board.

Trustee Herrin made a motion to adjourn the meeting. Trustee Wiley seconded the motion. The motion passed by voice vote, and the meeting adjourned at 10:44 a.m.

Misty Whittington, Executive Secretary