

MINUTES OF THE SPECIAL MEETING OF THE BOARD OF TRUSTEES  
SOUTHERN ILLINOIS UNIVERSITY  
NOVEMBER 7, 2012

Pursuant to notice, a special meeting was called by the Chair of the Board of Trustees of Southern Illinois University, and the meeting convened at 3:38 p.m., Wednesday, November 7, 2012, in the Board Room of Birger Hall, Southern Illinois University Edwardsville, Edwardsville, Illinois. The meeting was called to order by Vice Chair Hightower. The following members of the Board were present:

Dr. Ed Hightower, Vice Chair  
Mr. Mark Hinrichs, Secretary  
Mr. Jesse Cler  
Mr. David Hamilton  
Dr. Roger Herrin  
Dr. Donna Manering  
Ms. Marquita Wiley

The following members of the Board were absent:

Mr. John Simmons, Chair  
Hon. Don Lowery

The Executive Secretary reported and the Vice Chair determined that a quorum was physically present.

Also present for the duration of the meeting were Dr. Glenn Poshard, President; Dr. Rita Cheng, Chancellor, SIUC; Dr. Jeffrey C. McLellan, General Counsel; and Ms. Misty Whittington, Executive Secretary of the Board. Dr. Duane Stucky, Executive Vice President for Financial and Administrative Affairs and Board Treasurer was present for a portion of the meeting.

President Poshard reviewed with the Board Article II, Statutes of the SIU Board of Trustees, Section 5. as it related to the evaluation of the President. President Poshard provided highlights of goals of the current year and progress made. Trustees Hamilton and Manering sought clarification on some goals and inquired about the need of adding to established goals. A discussion followed among the trustees regarding the establishment of goals process and the list of goals for the current year.

President Poshard reviewed with the Board what was anticipated in the upcoming legislative session regarding pension and healthcare reform.

The Board discussed the proposed Code of Ethics and Code of Conduct Policies. President Poshard reviewed proposed additional language to be added to the Code of Conduct that had previously been distributed. The Board members were in agreement to add the proposed language. Trustee Herrin reported on information he gathered from two Illinois universities and the Association of Governing Boards, and he expressed concerns regarding the proposed policies. President Poshard noted that the proposed policies were final products of the efforts over a two-year period of approximately 100 people on the campuses who considered a number of other university policies.

The Board discussed the proposed Search Firm Procurement Policy. Trustee Herrin expressed concerns that the proposed policy did not comply with the requirements of the Public Act that prompted the proposed policy's creation. General Counsel McLellan provided the opinion that the proposed policy would comply with the Public Act. He further offered that in conversations he had with the general counsels at

other Illinois public universities, there was general agreement with the approach taken in the proposed policy.

At 4:50 p.m., Trustee Hamilton moved that the Board go into closed session to consider pending, probable or imminent court proceedings against or on behalf of the Board; information regarding appointment, employment, compensation, discipline, performance or dismissal of specific employees of the public body; and minutes of meetings lawfully closed under the Open Meetings Act. The relevant sections of the Open Meetings Act Statute that allow for the closed session are 5 ILCS 120/2(c) (1), (11), (21). The motion was duly seconded by Trustee Cler. Student Trustee opinion was as follows: aye, Mr. Jesse Cler; nay, none. The motion carried by the following recorded vote: aye, Mr. David Hamilton; Dr. Roger Herrin; Dr. Ed Hightower; Mr. Mark Hinrichs; Dr. Donna Manering; Ms. Marquita Wiley; nay, none.

At 5:10 p.m., a motion was made by Trustee Hamilton and seconded by Trustee Wiley to adjourn the meeting. The motion passed by a voice vote. No action was requested or taken.

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Mark Hinrichs, Secretary

MINUTES OF THE MEETING OF THE BOARD OF TRUSTEES  
SOUTHERN ILLINOIS UNIVERSITY  
NOVEMBER 8, 2012

The regular meeting of the Board of Trustees of Southern Illinois University convened on Thursday, November 8, 2012, at 10:20 a.m. in the Conference Center of the Morris University Center, Southern Illinois University Edwardsville, Edwardsville, Illinois. The meeting was called to order by Vice Chair Hightower. The following members of the Board were present:

Dr. Ed Hightower, Vice Chair  
Mr. Mark Hinrichs, Secretary  
Mr. Jesse Cler  
Mr. David Hamilton  
Dr. Roger Herrin  
Dr. Donna Manering  
Ms. Marquita Wiley

The following members of the Board were absent:

Mr. John Simmons, Chair  
Hon. Don Lowery

Executive Officers present were:

Dr. Glenn Poshard, President, SIU  
Dr. Rita Cheng, Chancellor, SIU Carbondale  
Dr. Julie Furst-Bowe, Chancellor, SIU Edwardsville  
Dr. Jeffrey C. McLellan, General Counsel  
Dr. Paul Sarvela, Vice President for Academic Affairs  
Dr. Duane Stucky, Senior Vice President for Financial and Administrative Affairs and Board Treasurer

Also present was Ms. Misty Whittington, Executive Secretary of the Board.

NOTE: Copies of all background documents furnished to the Board in connection with the following matters have been placed on file in the Office of the Board of Trustees.

Vice Chair Hightower led the group in the Pledge of Allegiance.

The Executive Secretary reported and Vice Chair Hightower determined that a quorum was physically present.

Trustee Wiley moved that the Minutes of the Meetings held September 12 and 13, 2012, be approved. Secretary Hinrichs seconded the motion, and the minutes were approved unanimously by voice vote.

Under Executive Officer Reports, President Poshard provided a PowerPoint presentation to the Board. He reviewed factors affecting the University's financial standing. He reported that the state owed the University \$9.4 million for FY12 and \$98 million for FY13 to date. The President reviewed that the University had recently undergone a rating review by Moody's and Standard and Poor's and that it was rated A2 Stable and A+ Stable, respectively. He noted the ratings given by the two major agencies were outstanding, and he and staff expected that the University would fare well in its next bond sale. The President reviewed a tuition and fees comparison for Illinois public universities noting that SIU Carbondale and Edwardsville had the lowest increases in tuition and fees over the last five years. The President reviewed anticipated pension reform and health benefits expected at the state level. He noted that University Presidents and Chancellors made an offer to the Governor's Office and to the legislature for the universities to assume over a six-year period a one percent increase in the universities' participation in picking up proposed increases for its employees rather than the employees having to assume that burden. Under some earlier proposals, the individuals would see their contribution raised approximately three percent or more. The President reviewed the health care reform component being

discussed was that it would require the employee to choose between receiving the three percent cost of living adjustment or the state health care plan. Lastly, the President reviewed overall enrollment comparisons for state universities which is also a factor for SIU's overall financial condition.

Vice Chair Hightower thanked President Poshard for the lead role he had taken on over the last few years in participating in pension and health care reform discussions with the Governor's Office and the state legislature.

Vice Chair Hightower noted that he appreciated Chancellor Cheng's efforts in trying to increase student enrollment at the Carbondale campus and he recognized that it was a challenge and one that would take some time to turn around.

Trustee Hamilton inquired of President Poshard about the differentiation of monies owed the Carbondale and Edwardsville campuses.

President Poshard responded that the monies owed were on a proportional basis with the appropriation to the Carbondale campus being approximately 60 percent and the appropriation to the Edwardsville campus being approximately 40 percent. He added that some reimbursement had to do with getting vouchers turned in to the state in a timely fashion.

Dr. Rita Cheng, Chancellor, SIU Carbondale provided highlights from the following written report provided to the Board:

SOUTHERN ILLINOIS UNIVERSITY CARBONDALE  
CHANCELLOR'S REPORT

University of Havana MOU

Chancellor Cheng discussed being part of the SIU delegation that visited Cuba September 24-29 that resulted in a memorandum of understanding with the University of Havana. The chancellor indicated that the people with whom the delegation met with are very interested in creating new educational opportunities for their students and for ours, and in conducting joint research.

Transportation Education Center

The grand opening of the Transportation Education Center on October 26 was very successful, and the chancellor expressed her appreciation to the Board for its support of the project. It will greatly enhance teaching and learning, research, and student recruitment in the automotive and aviation programs. The new center is expected to create economic development opportunities for the region. Chairman Simmons and President Poshard participated in the grand opening, and Trustee Hinrichs, Trustee Herrin and Trustee Cler also attended.

Student Achievements

The Flying Salukis won the regional championship at Indiana State University for the fifth time in six years, which qualifies it for next May's national competition. Senior Courtney Copping was the first woman to earn top-scoring contestant and top pilot honors in the history of the regional competition.

The Debate Team also is enjoying a great deal of success this fall. After winning every national-qualification tournament it entered this semester, SIU Carbondale has the top-ranked team in the nation. In the last tournament of the semester, which drew teams from 40 universities, SIU Carbondale debaters finished first and second.

National Honors

SIU Carbondale's efforts to help student veterans and active-duty military personnel continue to earn national honors. For the fourth consecutive year, G.I. Jobs magazine named SIU Carbondale a "Military Friendly School" for 2013.

The women's volleyball team has earned the NCAA Public Recognition Award. The award recognizes teams with an NCAA Division I Academic Progress Rate in the top 10 percent of all squads in their respective sports.

Andrey Soares, assistant professor of Information Systems Technologies, also has earned national recognition. He is one of 10 professors nationwide to receive a \$10,000 grant from IBM to implement Watson-based curriculums that mix business and technical skills. Watson is the artificial intelligence technology developed by IBM. Other winners are from such institutions as Carnegie Mellon University, Notre Dame, and Northwestern, just to name a few.

### Research

Very promising research is under way at the School of Medicine. Dr. Kathleen Campbell, a research scientist and inventor, has received a Department of Defense grant and FDA approval for clinical trials of a drug discovered in her laboratory that can prevent and treat noise-induced hearing loss. This is a break-through that could help thousands of military men and women and millions of others who suffer from this form of hearing loss.

For the first three months of this fiscal year, SIU Carbondale researchers and scholars have generated \$18.7 million in research and training awards. That total includes \$228,000 for the McNair Scholars Program. The U.S. Department of Education recently approved a five-year continuation of SIU Carbondale's program, which prepares underrepresented students to pursue graduate degrees. This is particularly significant, because with reduced federal funding, only 149 of 369 applications for McNair Scholars funding were approved.

### Campus Improvements

Six teaching laboratories in three buildings, as well as 12 research labs, are receiving upgrades this year. Also, the McLafferty Annex, which has been used for library storage, will be converted into interdisciplinary research space. All shelving and books will be moved to Morris Library by December, and a task force is working with the Physical Plant Division to establish a plan for the McLafferty Annex space. An aggressive schedule of classroom improvements is continuing. Upgrades are planned to 61 classrooms, including furniture and technology, painting, enhanced handicapped accessibility, and new flooring and lighting.

Dr. Julie Furst-Bowe, Chancellor, SIU Edwardsville, provided highlights from the following written report provided to the Board:



SOUTHERN ILLINOIS UNIVERSITY EDWARDSVILLE  
CHANCELLOR'S REPORT

SIUE Enrollment Update

Spring 2013

Registration for the spring term has been open for two weeks. Only graduate students, seniors, and juniors have had the opportunity to enroll for spring. Total spring enrollment trails same date last year by 60 students. New spring Graduate School applications are 99 students ahead of same date last year and spring International applications are up 178 students over one year ago.

Fall 2013

Although we are still very early in the process, admitted freshmen applications for fall 2013 are running 87 students ahead of last year. The average ACT for the entire admitted freshman pool is 23.97 or one half point higher than same date last year. Fall transfer applications are running 2% ahead of last year.

Academic Awards and Achievements

The pass rates on the Board Exams for the 2012 graduating class of the SIUE School of Pharmacy are as follows:

- North American Pharmacist Licensure Examination – 98.61% (the national pass rate was 95.21%).
- Multistate Pharmacy Jurisprudence Examination – 96.43% (the national pass rate was 92.76%).

The School has exceeded the national pass rate in both exams every year since the first class graduated in 2009. To be eligible to practice pharmacy, a candidate must pass both exams.

SIUE's College of Arts and Sciences is leading a partnership initiative between SIUE and the University of Havana in Cuba through the SIUE Cuban & Caribbean Center. The institutions will collaborate on a variety of exchanges, including courses from a number of fields, research, academic projects and opportunities for guest scholar visits. An initial travel study opportunity will be offered for SIUE and SIUC students in June 2013.

Postmedieval: a journal of medieval cultural studies, a scholarly journal co-edited by English Associate Professor Dr. Eileen Joy, won the Association of Learned and Professional Society Publishers' Award for Best New Journal of 2012. This is the

journal's second award in just two years. In 2011, the journal won the PROSE Award for Best New Journal in the category of Humanities and Social Sciences.

As part of a special collaborative effort with the School of Education, nine secondary education students are working at the East St. Louis Center's Charter High School (CHS) on Wednesday afternoons to engage CHS students in the "EStL Charter HS Game Lab." Through this unique partnership, CHS students learn computational thinking and STEM content through a curriculum utilizing Kudo, a tool to build video games. The GameLab was established in September with six SIUE teacher candidates in secondary education working to help 10 CHS students learn how to design and program games. The group has plans to Skype with a game designer from the University of Georgia in early November.

In the School of Dental Medicine, Drs. Gary Fischer and Dean Drake were inducted as Fellows into the International College of Dentists on October 19, 2012.

The School of Engineering has surpassed its undergraduate enrollment record for the fourth year in a row. Undergraduate enrollment for Fall 2012 has reached 1,088 which is a 28% increase from 2008. The School has also recently signed a 2+2 Program agreement with Lewis & Clark Community College allowing students to spend the first two years at the community college followed by two years at SIUE culminating in a Bachelor of Science degree in engineering.

In September, the School of Nursing was one of three schools in the state of Illinois to receive a \$700,000 competitive training grant to be distributed over two years to approximately 80 family nurse practitioner students in the master's program; each student who applies will receive approximately \$4,000 per year.

The School of Business has been recognized for the seventh consecutive year by Princeton Review as one of their "Best 296 Business Schools" for 2013.

### Chancellor's Address

On October 16, Chancellor Furst-Bowe gave her first Chancellor's Address to a full house in the Meridian Ballroom. This year's address was titled, "Shaping the Future of SIUE." In it, the Chancellor highlighted institutional successes of the past year and outlined a number of initiatives that will be implemented in the months to come.

### SIUE Day

The seventh annual SIUE Day community breakfast was held on October 18th in the Vadalabene Center. SIUE Day was designed for the local business community to network, partner and strengthen their relationship with the University. More than 250 local businesses participated – the event's largest attendance to date! Attendees

enjoyed hearing from Chancellor Dr. Julie Furst-Bowe and touring the new Lukas Athletics Annex.

### Early Voting and Veteran's Tribute

SIUE offered an early voting polling place for Madison County residents from October 22nd-November 3rd in the Morris University Center (MUC). Voters included a number of students, as well as University employees and citizens from the community at large for a total of 1,229 voters. From October 29th-November 1st, the MUC hosted a very moving exhibit, titled "Veterans of Foreign Wars," in the building's art gallery, including living veterans as presenters. The exhibit was sponsored by the SIUE ROTC, Peace and International Studies Department, Department of Political Science, Office of Educational Outreach and the SIUE Office of Veterans Coordination.

### Student Life

University Housing, in conjunction with Saint Louis University, hosted the ACPA Residential Curriculum Institute in mid-October. During the Institute, SIUE Housing was able to showcase its well-developed Curriculum for Engagement and Learning that connects the SIUE mission, culture and theory into the living experience. SIUE University Housing has become a national model for residential curriculum and was recognized this week by the Great Lakes Association of College and University Housing Officers with the large school President's Institutional Award.

SIUE's Homecoming activities were held on Monday, October 8 and ended on Sunday, October 14. This year's theme was "Let the Good Times Roar! Homecoming 2012." The celebration featured the Cougar Men's Soccer game against Missouri State University, the Alumni Hall of Fame Dinner, and several student, alumni, and departmental activities.

Family Weekend was held October 19 - 21 and 1,916 people registered to attend, 157 more than last year; there were 450 families represented.

The International Student Council and the Campus Activities Board sponsored International Night on Saturday, November 3 in the Morris University Center's Meridian Ballroom. The evening included a buffet dinner prepared by international students followed by musical and dance performances.

Health Service continues its partnership with the School of Pharmacy to provide over 1,700 flu vaccines to faculty, staff, students, and retirees this fall. Vaccine clinics were conducted at the main campus, the School of Pharmacy and at the School of Dental Medicine. Health Service will schedule additional vaccinations through appointments in the clinic.

### Strategic Plan

SIUE's Strategic Plan, developed in 2002 following the University's enrollment in the Academic Quality Improvement Program (AQIP) of the North Central Association, is now due for an overall review and update to ensure that current and future planning will continue to enhance the University's contributions to higher education in Southern Illinois. Paul Rose, Associate Professor and Chair, Department of Psychology, has been selected as the chair of the Strategic Planning Update Committee, a team of individuals representing all areas of the University. The team has been meeting regularly since early October.

### Construction Update

Interior construction work continues on the new Science building. The finish site work is nearly complete. The University is working with the Capital Development Board (CDB) on the final designs for the renovation of the existing Science building in order to begin preparation of the bid documents. Final bid specifications for the Dunham Hall window project have been approved by the CDB and bids are expected in December. If the bids are awardable, work on this energy saving project should begin in the spring.

Construction cranes are back on campus as the contractor has begun installing steel on the Engineering Building Addition and will begin installing the skin to the building very soon.

Work on the Charles and Mary Lukas Athletics Addition to the Vadalabene Center is now complete and Intercollegiate Athletics has moved into the first floor.

Work is also nearing completion on the new Art & Design Building. Painting and Drawing faculty and students have moved into the building and are already enjoying their new space. The other disciplines will move in sometime in late December. Renovation of the existing building is well underway and will continue in various phases through the summer of 2013.

Construction is very nearly complete on the Rendleman Hall window replacement project and, on the Alton Campus, construction has begun on the new Multidisciplinary Laboratory (MDL) building. That project should continue through fall of next year.

### Athletics

Ryan Bauer, a key member of the SIUE men's soccer team, was named to the Capital One Academic All-District 5 men's soccer team. Bauer leads the 2012 Cougars with five assists this season. Bauer, who holds a 3.9 grade point average majoring in physics at SIUE, will be placed on the national ballot to determine who will be named Capital One Academic All-Americans.

The SIUE men's soccer team, under the direction of Head Coach Kevin Kalish, earned the No. 2 seed in the 2012 Missouri Valley Conference Men's Soccer Tournament to be held at Shea Stadium in Peoria Nov. 7-11. The Cougars earned a spot in the semifinals of the tournament by winning four of their last five matches. SIUE plays Nov. 9 against the winner of the Bradley and Central Arkansas quarterfinal game.

Head Coach Derek Burton and the SIUE women's soccer team advanced to the semifinals of the Ohio Valley Conference Championships. The sixth-seeded Cougars lost in the semifinals 1-0 to Austin Peay. Four SIUE women's soccer players earned All-Ohio Valley Conference second team honors. Freshman goalkeeper Jennifer Pelley (St. Charles, Mo.), freshman midfielder Cassidy Sherman (Algonquin, Ill.), junior back Kourtney Price (Eureka, Mo.) and sophomore forward Michelle Auer (St. Louis, Mo.) were named to the second team. Pelley and Sherman also were named to the OVC's All-Newcomer team.

The SIUE men's cross country team finished sixth at the program's first-ever Ohio Valley Conference Championships and Clint Kliem produced the fastest time ever for a freshman at SIUE. The SIUE women's cross country team finished ninth at the OVC Championships. Kirby Hale produced the second-fastest 5K time for a freshman in program history.

Vice Chair Hightower acknowledged Chancellor Furst-Bowe for her aggressive schedule in getting out in the community, and he thanked her for her efforts.

Vice Chair Hightower explained the procedures for the public comment and question portion of the Board's agenda.

Executive Secretary Whittington reported that no speakers had signed the speaker roster.

Vice Chair Hightower explained the procedure for the Board's omnibus motion and read the listing of items proposed for the omnibus motion as follows:

REPORTS OF PURCHASE ORDERS AND CONTRACTS,  
AUGUST AND SEPTEMBER 2012, SIUC AND SIUE

In accordance with 3 Bylaws 1 and 5 Policies of the Board C, summary reports of purchase orders and contracts awarded during the months of August and September 2012 were mailed to the members of the Board in advance of this meeting, copies were placed on file in the Office of the Board of Trustees, and these reports are hereby submitted for information and entry upon the minutes of the Board with respect to the actions of the Executive Committee.

CHANGES IN FACULTY-ADMINISTRATIVE PAYROLL – SIUC

The following changes in faculty-administrative payroll are submitted to the Board of Trustees for ratification in accordance with the Board Policy on Personnel Approval (2 Policies of the Board B). Additional detailed information is on file in the Office of the Chancellor. Where appropriate, salary is reported on a monthly basis and on either an academic year (AY) or fiscal year (FY) basis.

A. Continuing Appointment (If the person previously had a University appointment, it is so noted. Otherwise, the person is a new University employee.)

	<u>Name</u>	<u>Rank/Title</u>	<u>Department</u>	<u>Effective Date</u>	<u>Salary</u>
1.	Anastasiou, Dimitrios	Assistant Professor	Educational Psychology and Special Education	08/16/2012	\$ 6,300.00/mo \$ 56,700.00/AY
2.	Barnett, Cheryl	International Recruitment Coordinator	International Programs and Services	08/24/2012	\$ 2,600.00/mo \$31,200.00/FY
3.	Blackwood, Scott W.	Assistant Professor	English	08/16/2012	\$ 6,667.00/mo \$ 60,003.00/AY
4.	Byfield, Lavern G.	Assistant Professor	Curriculum and Instruction	08/16/2012	\$ 5,900.00/mo \$ 53,100.00/AY
5.	Dai, Ye	Assistant Professor	Management	08/16/2012	\$ 13,500.00/mo \$121,500.00/AY
6.	DiLalla, David	Associate Provost for Academic Administration (50%)	Office of the Provost for Academic Administration	10/10/2012	\$12,163.00/mo \$72,978.00/FY

7.	Jacobs, Jennifer A.	Senior Lecturer (50%)	Curriculum and Instruction	08/16/2012	\$ 1,961.00/mo \$ 17,649.00/AY
8.	Jarvis, Cheryl Burke	Professor and Chair	Marketing	10/01/2012	\$ 15,586.00/mo \$187,032.00/FY
9.	Juntunen, Jacob M.	Assistant Professor	Theater	08/16/2012	\$ 5,778.00/mo \$ 52,002.00/AY
10.	Kelley, Richard P.R.	Assistant Professor	School of Music	08/16/2012	\$ 5,834.00/mo \$ 52,506.00/AY
11.	McKinney, Noel A.	Assistant Instructor	Head Start	08/16/2012	\$ 2,911.00/mo \$ 26,199.00/AY
12.	Miller, Kim H.	Associate Professor	Health Education and Recreation	08/16/2012	\$ 6,500.00/mo \$ 58,500.00/AY
13.	Nichols, Jane L.	Assistant Professor	Rehabilitation Institute	08/16/2012	\$ 6,100.00/mo \$ 54,900.00/AY
14.	Nojournian, Mehrdad	Assistant Professor	Computer Science	08/16/2012	\$ 8,889.00/mo \$ 80,001.00/AY
15.	Pleggenkuhle , Breanne R,	Assistant Professor	Department of Criminology and Criminal Justice	08/16/2012	\$ 6,778.00/mo \$ 61,002.00/AY
16.	Riffle, Abigail E.	Assistant Instructor	Head Start	08/16/2012	\$ 3,090.00/mo \$ 27,810.00/AY
17.	Saleeby, Patricia M.	Assistant Professor	School of Social Work	08/16/2012	\$ 6,400.00/mo \$ 57,600.00/AY
18.	Shaffer, Jon L.	Director of University Housing	University Housing	08/16/2012	\$ 8,750.00/mo \$105,000.00/FY
19.	Wendel, Christina M.	Counseling Psychologist	Counseling Center	08/16/2012	\$ 4,333.33/mo \$ 51,996.00/FY

B. Leaves of Absence With Pay – None to be reported.

C. Awards of Tenure – None to be reported.

D. Promotions – None to be reported.

The following changes in faculty-administrative payroll at the School of Medicine/Springfield campus are submitted to the Board of Trustees for ratification in accordance with the Board Policy on Personnel Approval (2 Policies of the Board B). Additional detailed information is on file in the Office of the Dean and Provost. Where appropriate, salary is reported on a monthly basis and on either an academic year (AY) or fiscal year (FY) basis.

A. Continuing Appointment –

<u>Name</u>	<u>Rank/Title</u>	<u>Department</u>	<u>Effective Date</u>	<u>Salary</u>
1. Herrold, Linda	Instructor (50%)/ Assistant Dean for Student Affairs(50%)*	MEDPREP	09/01/2012	\$ 5,352.04/mo \$ 64,224.48/FY
2. Huang, Anthony	Assistant Professor	Anatomy	09/17/2012	\$ 6,500.00/mo \$ 78,000.00/FY
3. Jones, Kathleen	Instructor	MEDPREP	09/01/2012	\$ 4,300.46/mo \$ 51,605.52/FY
4. Mamillapalli, Chaitanya	Assistant Professor of Clinical Internal Medicine	Internal Medicine	09/30/2012	\$ 7,083.33/mo \$ 84,999.96/FY
5. Ramadugu, Rajeev	Assistant Professor of Clinical Internal Medicine	Internal Medicine	11/26/2012	\$ 10,625.00/mo \$127,500.00/FY
6. Raymer, Angela	Instructor*	Physiology	08/01/2012	\$ 4,178.27/mo \$ 50,139.24/FY
7. Szary, Barbara	Instructor*	MEDPREP	09/01/2012	\$ 4,746.83/mo \$ 56,961.96/FY
8. Zaczek, Denise	Instructor*	Physiology	08/01/2012	\$ 4,432.90/mo \$ 53,194.80/FY

\*Change from term to continuing

B. Leaves of Absence with Pay – None to be reported.

C. Awards of Tenure – None to be reported.

D. Promotions – None to be reported.



CHANGES IN FACULTY-ADMINISTRATIVE PAYROLL – SIUE

The following changes in faculty-administrative payroll are submitted to the Board of Trustees for ratification in accordance with the Board Policy on Personnel Approval (2 Policies of the Board B). Additional detailed information is on file in the Office of the Chancellor. Where appropriate, salary is reported on a monthly basis and on either an academic year (AY) or fiscal year (FY) basis.

A. Continuing Appointment (If the person previously had a University appointment, it is so noted. Otherwise, the person is a new University employee.)

	<u>Name</u>	<u>Rank/Title</u>	<u>Department</u>	<u>Effective Date</u>	<u>Salary</u>
1.	Adkins, Kevin	Sustainability Specialist (previously: Acting Sustainability Specialist)	Administration	09/01/12	\$3,666.68/mo \$44,000.16/FY
2.	Foster, John	Assistant Professor	PAPA	10/01/12	\$5,760.00/mo \$51,840.00/FY
3.	Moreton, Elizabeth	Assistant Professor	Lovejoy Library	09/10/12	\$3,541.68/mo \$42,500.16/FY
4.	Rice, James B.	Marketing Specialist	Marketing & Communications	09/19/12	\$3,484.76/mo \$41,817.12/FY
6.	Sutters, Justin	Assistant Professor	Art & Design	09/01/2012	\$5,400.00/mo \$48,600.00/FY
7.	Williams, Shelley	Coordinator (previously: Academic Adviser)	School of Business	07/25/12	\$4,096.86/mo \$49,162.32/FY

B. Awards of Tenure-

C. Awards of Promotion-

SCHEDULE OF MEETINGS OF THE BOARD OF TRUSTEES FOR 2013

As a traditional practice and for convenience in meeting certain provisions of the Open Meetings Act, Board meetings have been scheduled on an annual basis. Custom has called for scheduling alternate meetings on alternate campuses of the University. Approval is requested for the schedule listed below:

February 28	Southern Illinois University Edwardsville
May 9	Southern Illinois University Carbondale
July 11	Southern Illinois University Carbondale School of Medicine, Springfield
September 12	Southern Illinois University Carbondale
November 14	Southern Illinois University Edwardsville
December 12	Southern Illinois University Carbondale

The following schedule reflects deadlines and mailing dates for the 2013 Board of Trustees meetings:

<u>Deadline Dates for Receipt of Proposed Agenda Items</u>	<u>2013 Mailing Dates Agenda and Matters</u>	<u>2013 Meeting Dates Board of Trustees</u>
Monday, February 4	Friday, February 15	SIUE –February 28
Monday, April 15	Friday, April 26	SIUC – May 9
Monday, June 17	Friday, June 28	SOM - July 11
Monday, August 19	Friday, August 30	SIUC - September 12
Monday, October 21	Friday, November 1	SIUE - November 14
Monday, November 18	Tuesday, November 26	SIUC – December 12

PROPOSED BOARD OF TRUSTEES CODE OF ETHICS  
AND CODE OF CONDUCT POLICIES  
[AMENDMENT OF 7 POLICIES OF THE BOARD]

Summary

This matter seeks approval by the SIU Board of Trustees for a Code of Ethics and a Code of Conduct. These policy additions will serve as guides for all members of the University community with respect to their decisions, activities, and conduct as representatives of the University.

Rationale for Adoption

Upon recommendation of the Board of Trustees and as stated in the President's Goals, the University is in the process of establishing and implementing an effective Compliance and Risk Management Program. Establishing an environment of ethical behavior and engraining ethical behavior in the daily activities at the University is at the heart of a successful Compliance and Risk Management Program. The proposed Code of Ethics and Code of Conduct documents are intended to be one of the first steps toward meeting the goal of establishing and implementing an effective Compliance and Risk Management Program.

The Office of General Counsel, the Board Treasurer, and the Audit Compliance and Risk Committee have reviewed and endorse the proposed Code of Ethics and Code of Conduct.

Considerations Against Adoption

University officers are aware of none.

Constituency Involvement

The SIUE Faculty Senate and Staff Senate and the SIUC Administrative Professional Council, Civil Service Council, Faculty Senate and Graduate Council were provided draft Code of Conduct and Code of Ethics documents for review and comment. All of the comments were considered and many were incorporated into the final document.

Resolution

BE IT RESOLVED, By the Board of Trustees of Southern Illinois University in regular meeting assembled, That:

(1) 7 Policies of the Board is hereby amended with the addition of the attached Code of Ethics as a new Section I and the attached Code of Conduct as a new Section J.

(2) The President of Southern Illinois University be and is hereby authorized to take whatever action may be required in the execution of this resolution as applicable to the employees of the SIU system.

**SOUTHERN ILLINOIS UNIVERSITY  
CODE OF ETHICS**

This Code of Ethics applies to all Community members which includes: a) the members of the Board of Trustees; and b) all employees, including faculty, staff and student employees.

In carrying out Southern Illinois University's teaching, research, public service, and patient care missions, members of the University community are committed to maintaining high ethical standards, striving for excellence, and complying with relevant laws and regulations. The Code of Ethics forms the ethical principles that will guide all members of the University community in all decisions and activities.

These principles are:

**Respect.** Community members will nurture a climate of care, concern, fairness, and civility towards others while recognizing and embracing each individual's dignity, freedom and diversity.

**Honesty and Integrity.** Community members will act and communicate truthfully. They will make decisions based on the greater good, conducting themselves free of personal conflicts of interest or appearances of impropriety and self-dealing.

**Cooperation and Communication.** Community members will work together to support the institutional missions. Respecting confidentiality requirements, they will share information with stakeholders regarding the process used in developing policies and making decisions for the University.

**Stewardship.** Community members will use University resources in a wise and prudent manner in order to achieve the teaching, research, public service and patient care missions. They will not use University resources for personal benefit or gain. They will protect the integrity and security of confidential, proprietary and private information such as student and patient records.

**Continuous Improvement.** Community members will conduct University affairs diligently, exercising professional care and striving to meet the high expectations they have set for themselves as well as the expectations of those they serve.

**Responsibility.** Community members will be trustworthy and responsible for their conduct, decisions and obligations and will comply with all applicable laws, regulations, policies and procedures.

**Accountability and Transparency.** Community members will maintain accurate financial records and distribute them in a timely and transparent fashion.

**Reporting Violations.** Community members will report conduct in violation of these principles to appropriate authorities. Retaliatory action may not be taken against a Community member for reporting violations.

The Southern Illinois University Code of Conduct is based on these guiding principles. All members of the University community should integrate the Code of Ethics' principles and the Code of Conduct's standards into their daily University activities.

Nothing in this Code of Ethics nor related policies limits or alters the obligations of officials and employees to comply with the relevant provisions of the State Officials and Employees Ethics Act (5 ILCS 430/1 et seq.) ("Ethics Act").

#### Attribution

Many major universities have adopted excellent Codes of Ethics. This document was adapted from codes developed by Radford University and Washington University in St. Louis.

## **SOUTHERN ILLINOIS UNIVERSITY CODE OF CONDUCT**

### **1. INTRODUCTION AND PURPOSE**

- a)** Introduction - The University values respect, integrity, honesty, cooperation, communication, stewardship, continuous improvement, responsibility, accountability and transparency and strives for excellence in carrying out its teaching, research, public service, and patient care mission. The standards of conduct in this Code, supported through policies, procedures, and workplace rules, provide guidance for making decisions and memorialize the University's commitment to responsible behavior.
  
- b)** Applicability - This Code applies to the following members of the Southern Illinois University community: a) the members of the Board of Trustees; and b) all employees, including faculty, staff and student employees. The Code refers to all these persons as "members of the University community" or "community members."
  
- c)** Purpose – This Code is a shared statement of commitment to uphold ethical, professional and legal standards. All community members must comply with the relevant policies, standards, laws and regulations that guide their work. Each community member is accountable for his/her own actions and, as members of the University community, are collectively accountable for upholding these standards of behavior and for compliance with applicable laws and policies.
  
- d)** Violations – This Code requires that suspected violations of applicable standards, policies, laws or regulations be brought to the attention of the appropriate office. Confirmed violations will result in appropriate disciplinary action, up to and including termination from employment or other relationships with the University. In some circumstances, civil and criminal charges and penalties may apply.
  
- e)** Questions – Questions regarding the intent or applicability of this Code should be directed to the Senior Vice President for Financial and Administrative Affairs and Board Treasurer.

### **2. RESPONSIBILITY**

The Board of Trustees is responsible for promoting adherence to this Code of Conduct by its members. The President and each Chancellor is responsible for disseminating and promoting adherence to this Code of Conduct by all SIU employees. Each administrator, department head, and department chair

is responsible for promoting compliance with this Code and applicable standards, laws, policies, regulations and procedures; for informing employees of appropriate training opportunities; for ensuring that employees receive ongoing training; and for demonstrating compliance within their unit. Each employee is responsible for participating in training and education programs, referring to and complying with standards, laws, policies, regulations and procedures applicable to his or her work.

### **3. INTEGRITY AND ETHICAL CONDUCT**

Ethical conduct is a fundamental expectation for every community member. Community members are expected to work together to maintain the highest standards of quality and integrity in fulfilling the University mission. Community members are expected to conduct University business transactions with respect, honesty, accuracy and fairness. Each member is personally responsible for his/her own actions and should strive to communicate ethical standards of conduct through instruction and leading by example.

### **4. RESPECT AND FAIRNESS**

The University is committed to the principles of tolerance, diversity, and respect for differences. When dealing with others, community members are expected to be respectful, fair, civil, and truthful. The University prohibits discrimination and harassment and provides equal opportunities for community members and applicants.

### **5. CONFLICT OF INTEREST / CONFLICT OF COMMITMENT**

Community members are expected to conduct University business free of personal conflict of interest or appearances of impropriety and self-dealing. Community members with other professional or financial interests are expected to disclose them in compliance with applicable conflict of interest and conflict of commitment policies.

### **6. ACADEMIC FREEDOM AND RESPONSIBILITIES**

Creating an atmosphere conducive to providing a quality education is essential to achieving the University's mission. Community members are expected to promote academic freedom and meet academic responsibilities. This includes encouraging discussions of relevant matters and creative expression, seeking and stating the truth, respecting those with differing views, submitting knowledge and claims to peer review, and working together to foster the education of students.

**7. TEACHING AND RESEARCH**

University faculty and researchers have an ethical obligation to the University and to the larger global community as they seek knowledge and understanding. Community members are expected to propose, conduct, and report research and teaching with integrity and honesty. They should protect human subjects involved in research, the rights of individuals and University intellectual property, and they should treat animals humanely. Community members should ensure the originality of their work and provide credit for the ideas of others upon which their work is built, be responsible for the accuracy and fairness of information published, and fully assign authorship credit.

**8. FINANCIAL REPORTING**

University accounts, financial reports, tax returns, expense reimbursements, time sheets and other documents, including those submitted to government agencies, should be accurate, clear, complete and transparent. Community members should follow University policies and procedures and sound financial practices. Community members are expected to exercise responsible fiscal management and use strong internal controls.

**9. PROTECT AND PRESERVE UNIVERSITY RESOURCES**

The University is dedicated to responsible stewardship. Community members are to promote efficient operations and engage in appropriate accounting and monitoring of University resources. Community members are expected to prevent waste and abuse of University resources. University resources include, but are not limited to, property, equipment, vehicles, finances, materials, systems, data communication and networking services, procurement tools, and the time and effort of faculty, staff and students. University resources may not be used for personal gain and may not be used for personal use except in a manner that is consistent with University policies and procedures.

**10. COMPLIANCE WITH LAWS**

Members of the University community should conduct University business in compliance with applicable laws, regulations, and University policies and procedures. When questions arise pertaining to the interpretation or applicability of a policy, community members should contact the individual who has oversight of the policy.



## 11. **CONFIDENTIALITY AND PRIVACY**

Community members receive and generate on behalf of the University various types of confidential, proprietary and private information. Community members should understand and comply with federal laws, state laws, agreements with third parties, and University policies and procedures pertaining to the use, protection, disclosure, retention, and disposal of such information.

## 12. **REPORTING OF SUSPECTED VIOLATIONS**

- a) Reporting to Management – Members of the University community should report suspected violations of applicable laws, regulations, government contract and grant requirements and this Code to the Senior Vice President for Financial and Administrative Affairs and Board Treasurer, Ethics Officer, Compliance Officer, or other University official as designated within existing policies and procedures. The University complies with the Whistle Blower Protection Act (5 ILCS 430/15) and assures community members that possible violations can be reported without fear of retaliation.
- b) Other Reporting – Nothing in this Code of Conduct or related policies limits or alters the obligations of officials and employees to comply with the relevant provisions of the State Officials and Employees Ethics Act (5 ILCS 430/1 et seq.) (“Ethics Act”).
- c) Cooperation – Employees are expected to cooperate fully in the investigation of any misconduct.

### Attribution

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PROPOSED ALTERNATE TUITION RATE: HIGH ACHIEVERS PROGRAM, SIUC  
[ADDITION TO 4 POLICIES OF THE BOARD APPENDIX A 1. NOTE 1]

Summary

This matter would approve an alternate tuition rate of 1.0 times the current applicable in-state rate for newly entering freshman undergraduate students, regardless of residency status, who demonstrate high academic achievement on the ACT (or SAT) college entrance examination.

Rationale for Adoption

On September 20, 2007, the SIU Board of Trustees approved "Revision to Residency Status Policies" which allowed the President and Chancellors to charge, upon approval of the Board of Trustees, alternative tuition rates for special situations and/or special populations. This option permits the Chancellors to better manage their respective campuses and improves the recruitment of students who might not otherwise attend SIU.

SIU Carbondale proposes this alternative tuition rate in order to attract out-of-state students with high academic potential. This will promote a campus climate of academic excellence to the benefit of all SIU Carbondale students, as well as broaden the University's reach to geographic areas that have not been traditionally served by it.

The Chancellor, SIUC, and the Provost and Vice Chancellor for Academic Affairs have recommended this matter to the President.

Considerations Against Adoption

University Officers are aware of none.

Resolution

BE IT RESOLVED, By the Board of Trustees of Southern Illinois University in regular meeting assembled, That:

4 Policies of the Board Appendix A 1. Note 1, be amended to read as follows:

New entering and continuing non-resident tuition is 2.5 times the in-state rate for Undergraduate and Graduate students except for new entering Undergraduate and Graduate students from Missouri, Kentucky, Indiana, Tennessee, and Arkansas. Beginning Fall 2009, new entering non-resident students from MO, KY, IN, TN & AR pay an alternate tuition rate of 1.0 times the in-state rate for Undergraduate and Graduate students (excludes Professional Schools and Physician Assistant Studies). Also beginning Fall 2009, new first-time freshmen from the 34 southern most counties in Illinois who qualify for the Academic Competitiveness Grant will be given an alternate "Southern Stars" tuition rate of 0.8 times the applicable in-state undergraduate rate. Beginning Fall 2013, high-achieving non-resident new first-time freshmen will pay an alternate tuition rate of 1.0 the current in-state tuition.

#### APPROVAL OF SALARY AND APPOINTMENT OF PHYSICIAN, STUDENT HEALTH SERVICES, SIUC

##### Summary

The matter presents for approval the salary and appointment of the preferred candidate for the position of Physician, Student Health Services for the Carbondale campus.

##### Rationale for Adoption

Policies of the Board of Trustees require the approval of salary and appointment of professional staff who have a proposed salary of \$150,000 or more. This request follows a national search in which four finalist candidates were interviewed. The previous physician retired June 30<sup>th</sup>, 2012.

##### Considerations Against Adoption

None are known to exist.

##### Constituency Involvement

The Student Health Service clinical, counseling and administrative staff participated in the interview process.

Resolution

BE IT RESOLVED, By Board of Trustees of Southern Illinois University in regular meeting assembled, That Dr. Socorro Shelton is appointed to the position of Physician, Student Health Services with an annual salary of \$155,000 effective on or after December 1, 2012.

APPROVAL OF SALARY AND APPOINTMENT OF VICE CHANCELLOR,  
DEVELOPMENT AND ALUMNI RELATIONS, SIUC

Summary

The matter presents for approval the salary and appointment of the preferred candidate for the Vice Chancellor, Development and Alumni Relations for the Carbondale campus.

Rationale for Adoption

Policies of the Board of Trustees require the approval of salary and appointment of professional staff who have a proposed salary of \$150,000 or more. This request follows a national search in which eight qualified candidates were interviewed by campus officials, the executive boards of the Foundation Board and Alumni Association and other stakeholders. This critical leadership position serves as the chief development officer, responsible for leading and strengthening SIU Carbondale's development and alumni relations efforts in support of the University's commitment to quality academic programming, research and service.

Considerations Against Adoption

None are known to exist.

Constituency Involvement

Campus officials, members of the Foundation and Alumni Association executive boards and other stakeholders were afforded opportunities to participate in the interview process.

Resolution

BE IT RESOLVED, By Board of Trustees of Southern Illinois University in regular meeting assembled, That Mr. James G. Salmo is appointed to the position of Vice Chancellor, Development and Alumni relations with an annual salary of \$185,000, effective November 28, 2012.

APPROVAL OF APPOINTMENT OF DEAN OF  
THE SCHOOL OF DENTAL MEDICINE, SIUE

Summary

The matter presents for approval the salary and appointment of the preferred candidate for the critical position of Dean of the School of Dental Medicine at the Alton campus.

Rationale for Adoption

Board policy requires the approval of salary and appointment of professional staff members who have a proposed salary of \$150,000 or more. This request follows a national search in which four candidates (three external and one internal) were recommended by the search committee for telephone interviews. Three of the four candidates participated in campus-wide interviews. Searches for highly qualified Deans of Dental Schools are very competitive and the Edwardsville campus is fortunate to have found a preferred internal candidate - a professor and former Implant Clinic Director who has served as Associate Dean for seven years and as Interim Dean since June 2011. He possesses the range of experience in education, research, service and administration to guide the School of Dental Medicine at the Alton campus as it continues to excel, making this request a high priority recruitment goal.

Considerations Against Adoption

None are known to exist

Constituency Involvement

All constituencies of the Alton and Edwardsville campuses were afforded the opportunity to participate in the interview process through open public forums and through multiple group interviews.

Resolution

BE IT RESOLVED, By the Board of Trustees of Southern Illinois University in regular meeting assembled, That Dr. Bruce Rotter be appointed to the position of Dean of the School of Dental Medicine with an annual salary of \$ 268,271, effective November 9, 2012.

REVISED PROJECT AND BUDGET APPROVAL:  
RENOVATION OF PULLIAM HALL,  
CARBONDALE CAMPUS, SIUC

Summary

This matter seeks revised project and budget approval of the renovation of Pulliam Hall physical education area to include the replacement of the roof systems and the windows. The revised estimated cost for this project is now \$8,250,000.

Rationale for Adoption

The project to renovate Pulliam Hall and the Pulliam gym area was approved at the May 10, 2012, Board of Trustees meeting at an estimated cost of \$7,000,000. The original project scope included decommissioning the pools, installing a new floor, adding a new level in the gymnasium area, constructing new studio, classrooms and faculty offices and upgrades to the mechanical, electrical and plumbing systems.

During the detail design process, our project consultants recommended that the project include the replacement of the building's roof and windows. The windows are original to the building, which was constructed in 1954. The building's roof systems have outlived their useful life. The renovation project offers a prime opportunity to minimize the replacement costs of these items. The roof and window replacements will protect the new infrastructure and improve building energy efficiencies. The revision to the project scope and budget will increase the project budget by \$1,250,000. The revised project budget is now \$8,250,000.

The work would be funded from external and/or internal financing as determined by the Board Treasurer and the Facilities Maintenance Fee. The debt payment will be repaid from the Facilities Maintenance Fee.

The Chancellor, SIUC, and the Vice Chancellor for Administration and Finance have recommended this matter to the President.

Considerations Against Adoption

University officers are aware of none.

### Resolution

BE IT RESOLVED, By the Board of Trustees of Southern Illinois University in regular meeting assembled, That:

(1) The revised project and budget approval for the renovation of Pulliam Hall, Carbondale Campus, SIUC, to include the replacement of the roof systems and windows at a total estimated cost of \$8,250,000 be and is hereby approved.

(2) Funding for this work will come from external and/or internal financing as determined by the Board Treasurer and the Facilities Maintenance Fee. The debt payment will be repaid from the Facilities Maintenance Fee.

(3) The President of Southern Illinois University be and is hereby authorized to take whatever action may be required in the execution of this resolution in accordance with established policies and procedures.

REVISED PROJECT AND BUDGET APPROVAL:  
HVAC AND ENERGY CONSERVATION PROJECT,  
STUDENT RECREATION CENTER,  
CARBONDALE CAMPUS, SIUC

### Summary

This matter seeks revised project and budget approval for the HVAC and Energy Conservation project at the Student Recreation Center to include the replacement of the roof systems and building envelope improvements. The revised estimated cost for this project is now \$6,900,000.

### Rationale for Adoption

The project for the HVAC and energy conservation at the Student Recreation Center was approved by the Board of Trustees at their meeting on September 8, 2011. The original project scope included the replacement of HVAC equipment to provide energy reduction, improve energy efficiency and provide energy savings. Lighting enhancements and improvements in ventilation and air quality were included in the original project scope.

In finalizing the project specifications, it was determined that additional energy conservation enhancements should be included in the project. The building's roof was replaced at different times and the oldest roof sections have begun to fail. In addition, our external consultants have recommended the correction of certain building envelope deficiencies. It was determined that a partial roof replacement with enhanced roof insulation and the building envelope improvements will increase the building's

energy efficiency. Including the additional items in the project will increase the project budget to \$6,900,000.

Funding for this project will come from external and/or internal financing as determined by the Board Treasurer. The debt payment will be repaid with funds available to Recreational Sports and Services.

The Illinois Finance Authority has allocated Qualified Energy Conservation Bonds (QECCB) federal subsidiary allocation dollars to the University. It is expected that this entire project will qualify for financing through QECCB.

The Chancellor, SIUC, and the Vice Chancellor for Administration and Finance have recommended this matter to the President.

#### Considerations Against Adoption

University officials are aware of none.

#### Resolution

BE IT RESOLVED, By the Board of Trustees of Southern Illinois University in regular meeting assembled, That:

(1) The revised project and budget approval for the HVAC and Energy Conservation project at the Student Recreation Center on the Carbondale Campus, SIUC, to include the replacement of the roof systems and building envelope improvements at an estimated cost of \$6,900,000, be and is hereby approved.

(2) Funding for this project will come from external and/or internal financing as determined by the Board Treasurer. The debt payment will be repaid with funds available to Recreational Sports and Services.

(3) The President of Southern Illinois University be and is hereby authorized to take whatever action may be required in the execution of this resolution in accordance with established policies and procedures.



PLANNING APPROVAL: ENGINEERING BUILDING STUDENT PROJECT DESIGN  
AND FABRICATION WORKSHOP EXPANSION, SIUE

Summary

This matter seeks approval to develop plans and cost estimates to build a new Student Project Design and Fabrication Workshop onto the existing Engineering Building. The cost of the work will be finalized once a comprehensive cost estimate is determined. It is anticipated that the project would be funded from the University Plant funds.

Rationale for Adoption

Plans will look at designs and costs to build a new Student Project Design and Fabrication Workshop onto the existing Engineering Building. The addition would include workshop space for Engineering student design teams working on various projects used in academic competitions. Activities in the space would include the building of competition autos such as Baja, formula, and solar, and structures from steel, concrete, and other materials. The space will also be used for capstone senior design projects that require fabrication and assembly. The senior design projects are team projects and must be completed as part of the graduation requirement.

A Qualifications Based Selection (QBS) process will be used to select an architect consultant for the project. The consultant will help determine the extent and the estimated cost of the work. Final project and budget approval and award of contracts will require further Board action.

Considerations Against Adoption

University officials are aware of none.

Resolution

BE IT RESOLVED, By the Board of Trustees of Southern Illinois University in regular meeting assembled, That:

(1) Development of plans and cost estimates for the Engineering Building Student Project Design and Fabrication Workshop Expansion, SIUE, be and is hereby approved.

(2) The President of Southern Illinois University be and is hereby authorized to take all action required in the execution of this resolution in accordance with established policies and procedures.

PROJECT AND BUDGET APPROVAL: CHARLES AND MARY LUKAS ATHLETIC  
ANNEX BUILD OUT, SIUE

Summary

This matter seeks project and budget approval to build out the top and bottom floors of the Charles and Mary Lukas Athletic Annex on the Edwardsville campus. The estimated cost of the project is \$2,000,000 and would be funded from the Facilities Fee and University Plant Funds.

Rationale for Adoption

On July 12, 2012, the SIU Board of Trustees approved planning for the Charles and Mary Lukas Athletic Annex Build Out, SIUE. Plans were to look at designs and costs to finish building out the top and bottom floors of the Charles and Mary Lukas Athletic Annex on the Edwardsville campus. The bottom floor would accommodate a weight and fitness training area for Intercollegiate Athletics and the top floor would accommodate offices for an academic department(s) and a Special Events Center for Intercollegiate Athletics. Once the two floors are completed, space in the Vadalabene Center currently occupied by academic units will be available as swing space to support the renovations of the major buildings outlined in the Facilities Fee schedule.

The estimated cost of the project is \$2,000,000, of which, \$1,500,000 would be funded from the Facilities Fee and \$500,000 would be funded from University Plant Funds.

A Qualifications Based Selection (QBS) process was used to select Hurford Architects as the consultant for the design of the building. Final award of contracts will require further Board action.

Considerations Against Adoption

University officials are aware of none.

Resolution

BE IT RESOLVED, By the Board of Trustees of Southern Illinois University in regular meeting assembled, That:

(1) The capital project, Charles and Mary Lukas Athletic Annex Build Out, SIUE, be and is hereby approved at an estimated cost of \$2,000,000.

(2) The project will be funded from the Facilities Fee and University Plant Funds.

(3) The President of Southern Illinois University be and is hereby authorized to take all action required in the execution of this resolution in accordance with established policies and procedures.

### PROPOSAL TO NAME PHYSICAL COMPONENT: FULGINITI INDOOR, SIUE

#### Summary

This matter seeks approval to name the new Indoor Softball Practice Facility on the Edwardsville campus, Fulginiti Indoor.

#### Rationale for Adoption

In accordance with 6 Policies of the Board B(2)(a)(1), the Board of Trustees is requested to approve the naming of the Indoor Softball Practice Facility on the Edwardsville campus, Fulginiti Indoor, in order to recognize gifts and pledges from Cheri Fulginiti. The gifts were used to partially fund the completion of the new facility and will be used to purchase equipment for the softball team in the future. The Chancellor and the Vice Chancellor for University Relations, SIUE, have recommended this matter to the President.

#### Considerations Against Adoption

University officers are aware of none.

#### Constituency Involvement

The University Planning and Budget Council has reviewed this item and supports adoption.

#### Resolution

BE IT RESOLVED, By the Board of Trustees of Southern Illinois University in regular meeting assembled, That:

(1) The new Indoor Softball Practice Facility on the Edwardsville campus be and is hereby named Fulginiti Indoor.

(2) The President of Southern Illinois University be and is hereby authorized to take whatever action may be required in the execution of this resolution in accordance with established policies and procedures.

Secretary Hinrichs moved for approval of the receipt of Reports of Purchase Orders and Contracts, August and September 2012, SIUC and SIUE; the ratification of Changes in Faculty-Administrative Payroll for SIUC and SIUE; Schedule of Meetings of the Board of Trustees for 2013; Proposed Board of Trustees Code of Ethics and Code of Conduct Policies [Creation of 7 Policies of the Board I and J]; Proposed Alternate Tuition Rate: High Achievers Program, SIUC [Addition to 4 Policies of the Board Appendix A 1. Note 1]; Approval of Salary and Appointment of Physician, Student Health Services, SIUC; Approval of Salary and Appointment of Vice Chancellor, Development and Alumni Relations, SIUC; Approval of Appointment of Dean of the School of Dental Medicine, SIUE; Revised Project and Budget Approval: Renovation of Pulliam Hall, Carbondale Campus, SIUC; Revised Project and Budget Approval: HVAC and Energy Conservation Project, Student Recreation Center, Carbondale Campus, SIUC; Planning Approval: Engineering Building Student Project Design and Fabrication Workshop Expansion, SIUE; Project and Budget Approval: Charles and Mary Lukas Athletic Annex Build Out, SIUE; and Proposal to Name Physical Component: Fulginiti Indoor, SIUE. The motion was duly seconded by Trustee Wiley. Student Trustee opinion regarding the motion was as follows: aye, Mr. Jesse Cler; nay, none. The motion carried by the following recorded vote: aye, Mr. David Hamilton, Dr. Roger Herrin, Dr. Ed Hightower, Mr. Mark Hinrichs, Dr. Donna Manering, Ms. Marquita Wiley; nay, none.

The following matter was presented:

PROPOSED SEARCH FIRM PROCUREMENT POLICY  
[AMENDMENT TO 5 POLICIES OF THE BOARD SECTION C(3)]

Summary

This matter seeks approval by the SIU Board of Trustees to amend 5 Policies of the Board C(3) – Requisition-Purchasing of Goods and Services, Approval and Reporting Requirements - with the addition of a proposed Procurement of Search Firm Services section that establishes qualifying criteria and grants authority to the President to retain services of professional search firms.

Rationale for Adoption

The proposed policy complies with requirements of Public Act 097-0814, amending the Southern Illinois University Management Act at 110 ILCS 305/80, effective January 1, 2013.

Considerations Against Adoption

None.

Resolution

BE IT RESOLVED, By the Board of Trustees of Southern Illinois University in regular meeting assembled, That:

5 Policies of the Board C is hereby amended, effective January 1, 2013, with the addition of a new Section 3h as follows:

Section 3

h. Procurement of Search Firm Services

The services of an external hiring search firm shall be retained by the University only as specified in this policy. A search firm may be retained to assist the Board of Trustees in the recruitment, selection, and hiring of a President or Chancellor. For all other positions, the Board of Trustees authorizes the President to retain an external hiring search firm to assist in the recruitment, selection, and hiring when a justifiable need is established and approved by the President based on any of the following qualifying criteria.

1. The position to be filled is of such a specialized nature or scope that use of an external search firm provides a more cost effective use of University resources.
2. The position to be filled requires a level of professional search expertise exceeding that available internally to the University.
3. The position to be filled is of such a critical nature or scope that it must be filled immediately, time being of the essence.
4. The diversity of the applicant pool will be significantly enhanced by services provided by an external hiring search firm.

Secretary Hinrichs made a motion to approve the Proposed Search Firm Policy. The motion was duly seconded by Trustee Wiley.

Trustee Herrin expressed that he was opposed to the matter before the Board because he felt it did not comply with the requirements of the Public Act that prompted the proposed policy's creation. He expressed his view to be that the policy should be written so that the President would select an external search firm and recommend it to the Board of Trustees for approval rather than authorizing the President to retain the external search firm.

Vice Chair Hightower stated that Trustee Herrin's comments were duly noted. He reported that the item had been discussed during the prior day's executive session meeting of the Board of Trustees.

Trustee Manering inquired about the wording contained in the referenced Public Act and if it was the same wording as was in the Board matter.

Vice Chair Hightower noted that the Board General Counsel had said that the proposed policy and the wording were in compliance with the Public Act.

Trustee Hamilton commented that he approved of the wording in the proposed policy but observed that wording may need to be added or that it would be a

best practice to notify by email or letter to the Board before the final decision is made to hire a search firm.

Vice Chair Hightower reviewed that his experience after having served on the Board for a number of years was that the administration had done an outstanding job of communicating with the Board of Trustees. He closed debate on the motion and recommended that the Board vote on the item.

Student Trustee opinion was as follows: aye, Mr. Jesse Cler; nay, none. The motion carried by the following recorded vote: aye, Mr. David Hamilton; Dr. Ed Hightower; Mr. Mark Hinrichs; Dr. Donna Manering; Ms. Marquita Wiley; nay, Dr. Roger Herrin.

The following matter was presented:

AUTHORIZATION FOR THE SALE OF BONDS:  
“SERIES 2012B HOUSING AND AUXILIARY FACILITIES SYSTEM REVENUE BONDS” NEW PROJECT AND REFUNDING OF OUTSTANDING HOUSING AND AUXILIARY FACILITIES SYSTEM REVENUE BONDS

Summary

This matter requests Board authorization to: issue Housing and Auxiliary Facilities System Revenue Bonds to fund new money projects and to refund outstanding revenue bonds if the present value savings at time of sale warrants such refunding; authorize the distribution of the Preliminary and Final Official Statement; execute and deliver the Fifteenth Supplemental Bond Resolution, Bond Purchase Agreement, Continuing Disclosure Agreement, and Escrow Agreement; appoint the following: Bond Counsel, Managing Underwriters, Financial Advisor, and Bond Registrar and Paying Agent; and authorize the Board Treasurer and authorized officers to take actions necessary to complete the proposed bond sale.

Rationale for Adoption

The following projects have received approval from the Board, the Illinois Board of Higher Education, and the Illinois Finance Authority:

At its September 8, 2011, meeting, the Board approved the HVAC and Energy Conservation Project at the Carbondale campus, for a total estimated cost of \$4,000,000. This project will upgrade lighting and replace the existing HVAC systems serving the Student Recreation Center and improve energy efficiency in the facility. The HVAC system upgrade was approved by the Illinois Board of Higher Education on December 6, 2011. At this November 8, 2012, meeting, the Board considered a matter to expand the scope of the Energy Conservation Project to include the replacement of the roof systems and building envelope improvement at the Student Recreation Center at an additional cost of \$2,900,000. Total approved project cost is to be funded with the issuance of Housing and Auxiliary Facilities System Revenue Bonds with debt to be repaid using energy savings, student fees and other funds available to the Student Recreation Center.

At its December 13, 2011, meeting, the Illinois Finance Authority approved the transfer of allocation for bonding authority of Qualified Energy Conservation Bonds (QECB) by the Illinois Finance Authority to the Board of Trustees of Southern Illinois University in an aggregate principal amount not to exceed \$6,000,000. A request to increase the QECB allocation by an additional \$1,500,000 and to extend the date by which the Board must issue the QECBs to April 1, 2013, will be considered at the November 13, 2012, Illinois Finance Authority meeting. If the Student Recreation HVAC and Roof Energy Conservation Projects produce 20% energy savings and are financed using the QECB allocation, the University will be eligible to receive an interest subsidy payment from the United States Treasury.

At its March 22, 2012, meeting, the Board approved the Demolition of Allen, Boomer and Wright Halls for a total estimated cost of \$5,500,000. The project includes demolition, asbestos abatement and hazardous material removal and disposal, site material removal, utility disconnects, fill and grading and final seeding. Favorable bids were received and the estimated project cost was revised to \$3,500,000. Total revised project cost is to be funded with the issuance of Housing and Auxiliary Facilities System Revenue Bonds and debt to be repaid with SIUC Housing Operation Funds.

A preliminary review indicates that it may be desirable to refund all or a portion of Housing and Auxiliary Facilities System Revenue Bonds Series 2003A and Series 2004A. The outstanding principal balances of these bonds are \$6,710,000 for the Series 2003A Bonds and \$35,035,000 for the Series 2004A Bonds. Funding for the refunding will come from the sale of additional new Housing and Auxiliary Facilities System Revenue Bonds. Based on market conditions as of October 3, 2012, it is anticipated that \$6,435,000 of the Series 2003A Bonds and \$10,220,000 of the Series 2004A Bonds will be refunded. A refunding of these bonds would yield present value savings of approximately \$1.7 million or 10.7%. The Board Treasurer will assess market conditions at the time of sale and will adjust the refunding component accordingly.



Funding for the projects and the refunding will come from the sale of Housing and Auxiliary Facilities System Revenue Bonds in the estimated sum not to exceed \$55,000,000 (net of original issue discount, if any); such sum to include allowable amounts for the costs of issuance. Funds to repay the Housing and Auxiliary Facilities System Revenue Bonds will come from revenues of the Housing and Auxiliary Facilities System on a parity with existing Housing and Auxiliary Facilities System Revenue Bonds.

The Board Treasurer has recommended this matter to the President.

#### Considerations Against Adoption

University officers are aware of none.

#### Resolution

BE IT RESOLVED, By the Board of Trustees of Southern Illinois University in regular meeting assembled, That:

(1) It is hereby determined to be desirable that the Board of Trustees of Southern Illinois University (the "Board") authorize the issuance of its Southern Illinois University Housing and Auxiliary Facilities System Revenue Bonds, Series 2012B in two or more series (the "Bonds") in order to provide funds to: (a) evaluate, design and renovate the energy conservation infrastructure of the Student Recreation Center on the Carbondale campus including the replacement of HVAC systems, installation of new lighting, upgrades to the building automation systems and repairs or replacement of building envelope components and if approved, replace portions of the Student Recreation Center roof, add enhanced roof insulation, install energy efficient windows, and repair failing window seals (the "Energy Conservation Project"); (b) reimburse the Board for the cost of the demolition of the Triad housing which has been removed from the Housing and Auxiliary Facilities System in preparation for the construction of new System buildings (the "Demolition Project"); and (c) refund all or a portion of certain outstanding Housing and Auxiliary Facilities System Revenue Bonds, Series 2003A and Series 2004A (the "Series 2003A Bonds" and "Series 2004A Bonds").

(2) Pursuant to the University's existing contract, the firm of Chapman and Cutler LLP, Chicago, Illinois, be and is hereby retained as Bond Counsel to the Board for all legal matters incidental to the authorization and issuance of the Bonds.

(3) Pursuant to the University's existing agreement, the firm of Morgan Stanley & Co. LLC, New York, New York, be and is hereby retained as Managing Underwriter for the issuance of the Bonds, with fees for such services contingent upon the actual sale of the Bonds. The Treasurer is hereby authorized to retain such other managing underwriter in lieu of or in addition to Morgan Stanley and such other co-managing underwriters as he deems to be in the best interest of the Board.

(4) Pursuant to the University's existing contract, the firm of John S. Vincent and Company LLC, Chicago, Illinois, be and is hereby retained as Financial Advisor for the preparation of the Preliminary and Final Official Statement and other matters related to the sale.

(5) U.S. Bank National Association, Chicago, Illinois, be and is hereby authorized to serve as Bond Registrar and Paying Agent for the Bonds and as Escrow Trustee under the Escrow Agreement.

(6) The Treasurer of this Board is hereby authorized to enter into a Bond Purchase Agreement with the Managing Underwriter as representative of the Underwriters, for the sale of the Bonds in substantially the form which is before the Board at this meeting.<sup>1</sup>

(7) The Treasurer of this Board is hereby authorized to enter into an Escrow Agreement with the Escrow Trustee relating to the refunding of a portion of the Series 2003A and Series 2004A Bonds in substantially the form which is before the Board at this meeting.<sup>1</sup>

(8) The Board approves the preparation and distribution of a Preliminary Official Statement, Official Statement, and Continuing Disclosure Agreement as prepared by the Financial Advisor and as shall be approved by the Underwriter, Bond Counsel and Board Treasurer, and the execution of the Official Statement and Continuing Disclosure Agreement in substantially the forms which are before the Board at this meeting.<sup>1</sup>

(9) The Board hereby approves the Fifteenth Supplemental Bond Resolution, the Bond Purchase Agreement, and the Escrow Agreement, with such necessary changes as shall be approved by the Board Treasurer.<sup>1</sup>

(10) In order to provide for the refunding of a portion of the Board's outstanding Series 2003A and Series 2004A Bonds, the payment of the costs of the Energy Conservation Project, the Roof Project (if approved) and the Demolition Project, capitalized interest (if any), and the payment of costs of issuance, the Board authorizes the issuance of its Southern Illinois University Housing and Auxiliary Facilities System Revenue Bonds, Series 2012B, in two or more series, in an aggregate original principal amount not to exceed \$55,000,000 or \$12,000,000 if no refunding bonds are issued (in each case, net of original issue discount, if any) and to have a final maturity not later than April 1, 2036. The price at which the Bonds will be purchased by the Underwriters shall not be less than 97% (exclusive of original issue discount) and the true interest cost (TIC) of borrowing for the Tax-Exempt Bonds will not exceed 5.00% (or in the event the Bonds or a portion of the Bonds are issued as taxable basis in order to take

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<sup>1</sup> Copies are on file with the Executive Secretary of the Board of Trustees for recording.

advantage of certain credits that may be available from the United States Treasury, 7.00% per annum).

(11) The Board approves the refunding of all or a portion of the Series 2003A and Series 2004A Bonds. Such bonds shall be refunded only if the Board Treasurer determines such refunding to be in the best interest of the Board and if aggregate savings attributable to such refunding are at least 3% of the principal amount of the Series 2003A and Series 2004A Bonds refunded.

(12) In the event that the Managing Underwriter determines that the acquisition of bond insurance for all or a portion of the Series 2012B Bonds is economical, the Treasurer is hereby authorized to agree to such standard covenants with a bond insurer, which is deemed by the Treasurer to be in the best interest of the Board.

(13) The members, officers, agents and employees of this Board are hereby authorized and directed to do all such acts and to execute all such documents as may be necessary to carry out and comply with the provisions of these resolutions and with the acts and doings of the members, officers, agents, and employees of the Board which are in conformity with the intent and purposes of these Resolutions, whether heretofore or hereafter taken or done, shall be and are ratified, confirmed and approved.

Trustee Wiley made the motion to approve the item. The motion was duly seconded by Secretary Hinrichs. Student Trustee opinion was as follows: aye, Mr. Jesse Cler; nay, none. The motion carried by the following recorded vote: aye, Mr. David Hamilton; Dr. Roger Herrin; Dr. Ed Hightower; Mr. Mark Hinrichs; Dr. Donna Manering; Ms. Marquita Wiley; nay, none.

Vice Chair Hightower announced that the next Board of Trustees meeting would be held December 13, 2012, at SIU Carbondale.

Vice Chair Hightower adjourned the meeting at 11:00 a.m.

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Misty Whittington, Executive Secretary