

MINUTES OF THE SPECIAL MEETING OF THE BOARD OF TRUSTEES  
SOUTHERN ILLINOIS UNIVERSITY  
SEPTEMBER 12, 2012

Pursuant to notice, a special meeting was called by the Chair of the Board of Trustees of Southern Illinois University, and the meeting convened at 2:30 p.m., Wednesday, September 12, 2012, in the Special Events Room of Birger Hall, Southern Illinois University Edwardsville, Edwardsville, Illinois. The meeting was called to order by Vice Chair Hightower. The following members of the Board were present:

Dr. Ed Hightower, Vice Chair  
Mr. Mark Hinrichs, Secretary  
Mr. Jesse Cler  
Mr. David Hamilton  
Dr. Roger Herrin  
Hon. Don Lowery  
Dr. Donna Manering  
Ms. Marquita Wiley

The following member of the Board was absent:

Mr. John Simmons, Chair

The Executive Secretary reported and the Vice Chair determined that a quorum was physically present.

Also present for the duration of the meeting were Dr. Glenn Poshard, President; Dr. Rita Cheng, Chancellor, SIUC; Dr. Julie Furst-Bowe, Chancellor, SIUE; Dr. Duane Stucky, Executive Vice President for Financial and Administrative Affairs and Board Treasurer; Dr. Jeffrey C. McLellan, General Counsel; and Ms. Misty Whittington, Executive Secretary of the Board.

Chancellor Cheng presented enrollment information for the Carbondale campus. Dr. Charles Leonard, Visiting Professor, Paul Simon Public Policy Institute, SIUC, reviewed statewide survey results regarding Illinois higher education institution preferences. Trustee Herrin inquired about the time period SIUC's enrollment had been in decline. President Poshard noted that the enrollment had been in decline for 22 years. Ms. Katharine Suski, Director of Undergraduate Admissions, SIUC, reviewed recruitment strategies utilized by the campus. Trustees inquired about the use of student workers in recruiting and international student recruiters. Dr. George Vineyard, Interim Director of Institutional Research and Studies, SIUC, reviewed data collection and reporting for the campus. Dr. Mark Amos, Associate Provost and Dean of the University College, SIUC, reviewed University College student success and retention. Dr. John Nicklow, Provost and Vice Chancellor of Academic Affairs, SIUC, reviewed accreditation review visits to ensure program quality, priorities, and completion initiatives. Trustee Manering requested retention rates from the freshman to sophomore years. Chancellor Cheng responded that SIUC's rate was in the mid to high 60 percent. She noted that peer institutions had higher retention rates and she would like to see SIUC's retention rate in the mid 70 percent range. Trustee Manering requested the number of students who were taking 12 credit hours or more for fall 2012. Dr. Vineyard estimated 12,500 students were full-time. Trustee Hamilton noted that he received a number of services reported to be provided by SIUC's University College through his being active in Greek life, and he inquired whether the campus was supporting Greek life. Trustee Cler reported that Greek life at SIUC had grown 40 percent over the past four years.

Chancellor Furst-Bowe introduced Mr. Scott Belobrajdic, Assistant Vice Chancellor for Enrollment Management, SIUE, who presented enrollment information for the Edwardsville campus. Trustee Manering inquired whether SIUE's recruitment efforts mirrored SIUC's efforts. Mr. Belobrajdic confirmed that to be correct. Vice Chair Hightower noted that SIUE's partnerships with Edwardsville High School and Lewis and Clark Community College had greatly improved over the years, and the campus had become more successful in attracting African-American staff and students. He further noted that that had not always been the case for the campus, and the Board had seen great improvement over recent years. Trustee Manering requested SIUE's freshman to sophomore retention rate. Mr. Belobrajdic reported it to be 71 percent.

Dr. Brad Hewitt, Assistant Vice Chancellor for Athletic Development and Director of Athletics, SIUE, reviewed the Ohio Valley Conference Certification document with the Board that the Chair annually signs for SIU Edwardsville. In addition, he reviewed academic standing and diversity statistics of SIUE's student athletes. Vice Chair Hightower commended Dr. Hewitt and the SIUE Athletic Department for making such positive improvements in the SIUE Athletics Program.

The Board held a discussion regarding the 2013 Board meeting schedule. No changes were suggested for the draft schedule, and it was noted that the schedule would be placed on the next full Board meeting agenda for approval.

At 4:30 p.m., Trustee Cler moved that the Board go into closed session to consider pending, probable or imminent court proceedings against or on behalf of the Board; information regarding appointment, employment, compensation, discipline, performance or dismissal of specific employees of the public body; purchases of real

estate; and minutes of meetings lawfully closed under the Open Meetings Act. The relevant sections of the Open Meetings Act Statute that allow for the closed session are 5 ILCS 120/2(c) (1), (5), (11), (21). The motion was duly seconded by Trustee Hamilton. Student Trustee opinion was as follows: aye, Mr. Jesse Cler; nay, none. The motion carried by the following recorded vote: aye, Mr. David Hamilton, Dr. Ed Hightower, Mr. Mark Hinrichs, Hon. Don Lowery, Dr. Donna Manering; Ms. Marquita Wiley; nay, none. Dr. Roger Herrin was out of the room at the time the vote was taken.

At 5:36 p.m., a motion was made by Trustee Manering and seconded by Trustee Cler to adjourn the meeting. The motion passed by a voice vote. No action was requested or taken.

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Mark Hinrichs, Secretary

MINUTES OF THE MEETING OF THE BOARD OF TRUSTEES  
SOUTHERN ILLINOIS UNIVERSITY  
SEPTEMBER 13, 2012

The regular meeting of the Board of Trustees of Southern Illinois University convened on Thursday, September 13, 2012, at 10:57 a.m. in the Conference Center of the Morris University Center, Southern Illinois University Edwardsville, Edwardsville, Illinois. The meeting was called to order by Vice Chair Hightower. The following members of the Board were present:

Dr. Ed Hightower, Vice Chair  
Mr. Mark Hinrichs, Secretary  
Mr. Jesse Cler  
Mr. David Hamilton  
Dr. Roger Herrin  
Hon. Don Lowery  
Dr. Donna Manering  
Ms. Marquita Wiley

The following member of the Board was absent:

Mr. John Simmons, Chair

Executive Officers present were:

Dr. Glenn Poshard, President, SIU  
Dr. Rita Cheng, Chancellor, SIU Carbondale  
Dr. Julie Furst-Bowe, Chancellor, SIU Edwardsville  
Dr. Jeffrey C. McLellan, General Counsel  
Dr. Paul Sarvela, Vice President for Academic Affairs  
Dr. Duane Stucky, Senior Vice President for Financial and Administrative Affairs and Board Treasurer

Also present was Ms. Misty Whittington, Executive Secretary of the Board.

NOTE: Copies of all background documents furnished to the Board in connection with the following matters have been placed on file in the Office of the Board of Trustees.

Vice Chair Hightower led the group in the Pledge of Allegiance.

The Executive Secretary reported and Vice Chair Hightower determined that a quorum was physically present.

Vice Chair Hightower recognized newly elected and returning constituency representatives.

Secretary Hinrichs moved that the Minutes of the Meetings held July 11, 12, and 19, 2012, be approved. Trustee Manering seconded the motion, and the minutes were approved unanimously by voice vote.

Under Executive Officer Reports, President Poshard reviewed the University's FY12 balance due from the state was \$54 million and to date for FY13 the balance due was \$43 million. The President commended Senior Vice President for Financial and Administrative Affairs and Board Treasurer Duane Stucky and Vice President for Academic Affairs Paul Sarvela for their leadership and diligent work over the past several years during such serious times.

The President advised the Board about the possibility of automatic sequestration at the federal level which would be across-the-board cuts if Congress did not agree on a deficit reduction agreement. The result could mean to the University the loss of millions of dollars of research monies, grants and contracts from the federal government as well as diminishment of the federal student aid programs.

President Poshard reviewed some important pieces of legislation at the state level that could have a great effect on the University. One piece of legislation eliminates the General Assembly scholarships after September 1, 2012. It also creates a tuition and fee waiver task force to conduct a review and evaluation of the tuition and

fee waiver programs offered by public institutions of higher education as well as the findings and recommendations made by the Board of Higher Education in its tuition and fee waiver report. In addition, a new law adds personnel of institutions of higher education to the list of mandated reporters which means anyone that observes or suspects child abuse must report it to the appropriate authorities. Another piece of legislation amends the Open Meetings Act. It provides that any required agenda must be sufficiently descriptive to give the public reasonable notice of the items that will be considered or will be the subject of final action at the meeting. It requires the public body conducting a public meeting to ensure that at least one copy of any requested notice and agenda for the meeting is continuously available for public review during the entire 48-hour period. The President went on to say that another important piece of legislation provides for an exception to the requirement that the dormitories of all post-secondary educational institutions have fire sprinkler systems by 2013, and the deadline was extended to December 31, 2014. In addition, there is now a law that provides that charges for the services of an external hiring search firm may not be paid from any source of funds of the university, except in the hiring of the president or the chancellor and for other positions if the president of the university and the board of trustees demonstrate a justifiable need for guidance from a search firm.

The President further reported that the University Religious Observances Act was passed which provides that any student in an institution of higher learning, other than a religious or denominational institution of higher learning, who is unable because of his or her religious beliefs to attend classes or to participate in any examination, study, or work requirement on a particular day shall be excused from any

such examination, study, or work requirement and shall be provided with an opportunity to make up the examination, study, or work requirement that he or she may have missed without penalty. He went on to say that a law was passed that requires election authorities with universities to conduct early voting in high traffic areas at the universities. Lastly, a law was passed that requires the Illinois Student Assistance Commission to convene a task force to deliberate options for the adoption of new rules for the Monetary Award Program with the goal being to improve the outcomes for students who receive the awards.

Vice Chair Hightower noted that it was a daunting task for the University to address its obligations while facing such financial challenges. He offered praise and support from the Board to President Poshard and his staff to get through such difficult times.

Dr. Rita Cheng, Chancellor, SIU Carbondale, noted that she had provided an update to the Board on SIUC's enrollment challenges on the prior day, and she was appreciative of input received from the Board and guidance of the President. Further, the Chancellor provided brief highlights from the following written report provided to the Board:



SOUTHERN ILLINOIS UNIVERSITY CARBONDALE  
CHANCELLOR'S REPORT

New Student Welcome

New students and their families were welcomed to campus with four days of fun and informative activities called Saluki Startup just before the start of the new semester. The goal was to make new students feel that they are part of and connected to the campus community. Of particular importance was an afternoon that students spent with faculty, staff, advisors and current students learning about expectations in their respective colleges. Those messages were central to the New Student Convocation held the same evening.

Enrollment

Overall enrollment this fall fell by 4.9 percent. That was expected based on smaller junior and senior classes that reflect past enrollment declines, and the dramatic 13.5 percent drop in transfer students. Many community colleges, which have historically been an important source of students for SIU Carbondale, have had enrollment declines in the last couple of years.

Freshman enrollment dipped for the first time in five semesters. It is important to note that in the two years prior to 2010, enrollment among new first-time students fell by 12.9 percent. Since 2010, the number of new first-time students has increased by 2.6 percent. This fall, six of eight colleges recorded increases in enrollment of new first-time students.

The trend across the state is lower enrollments this fall. Preliminary data shows that total enrollment at Illinois State is down 2.8 percent, and freshman enrollment is off 6.2 percent. At Eastern Illinois, total enrollment is down nearly 7 percent, with freshman enrollment down 9 percent. And at Western Illinois, overall enrollment is down nearly 2.8 percent, with freshman enrollment off by 8.8 percent.

Research

Scholars and researchers attracted \$8.2 million in grants and contracts during August, bringing the fiscal year total to \$13.7 million. That compares to \$11.7 million at the same time a year ago.

The awards included nearly \$650,000 from the National Science Foundation for the program known as IGERT. This is the third year for the program, which involves multidisciplinary team-based training in watershed science and policy for graduate students.

The University also received nearly \$200,000 for research into low-cost green technology to improve water quality in ecosystems affected by mining.

In addition, the Upward Bound program received \$382,500 from the U.S. Department of Education. This initiative will create a year-round academic environment that is responsive to specialized education needs of handicapped, low-income and minority students living in isolated areas of southern Illinois.

### Community Service

Students and faculty in the aviation flight program played an important role this summer in supporting the work of This Able Veteran. This local organization trains and provides service dogs to injured veterans. Part of the training for six veterans included 30-minute rides in our planes to help the service dogs and injured veterans feel comfortable while flying. The chancellor expressed her appreciation to students and faculty who assisted the veterans and the organization.

A new book produced by the School of Journalism chronicles the aftermath and recovery efforts from the Feb. 29 tornado that devastated two of local communities, Harrisburg and Ridgway. The book is titled "4:56 a.m. The Story Of The Feb. 29, 2012 Tornado." Photos in the book were captured by 16 photojournalism students. Journalism faculty and students presented the book to the Harrisburg community at a reception on Aug. 19. The books cost \$10 apiece, with proceeds going to local agencies that are assisting with the recovery. Well over \$10,000 has been raised over and above the cost of printing the book.

### Faculty Accomplishments

José R. Ruiz, a professor in the aviation management and flight program, just began a one-year term as president of the University Aviation Association. The organization, which has more than 525 members, serves as the "voice of collegiate aviation" to its members, industry, government and the public. Professor Ruiz is the second SIU Carbondale faculty member to serve as president in the organization's 65-year history. David A. NewMyer, professor and chair of aviation management and flight, was president in 2009-2010.

Terri Wilson, assistant professor in the Department of Educational Administration and Higher Education, has been named a 2012 National Academy of Education/Spencer Postdoctoral Fellow. The fellowship program provides support for early career scholars in important areas of education research through nonresidential postdoctoral fellowship awards. Professor Wilson is one of a select group of 20 scholars to receive the 2012 award.

Two members of the radiologic sciences faculty have earned recognition for a peer-reviewed article on treating breast cancer patients. Scott Collins, an associate professor and program director, and Richard McKinnies, an assistant professor in radiation therapy, recently earned the 2012 Harold Silverman Distinguished Author Award from the American Society of Radiologic Technologists. The award recognizes the best peer-reviewed articles published in society journals.

The chancellor informed the Board of an award she received during last month's annual convention of the American Accounting Association. Dr. Cheng received the "Enduring Lifetime Contribution Award" from the Government and Nonprofit Section of the association. The award recognizes "outstanding contributions to the field of government and nonprofit accounting research and education." She is the 15th individual and the only woman to receive the award.

### Alumni

Two aviation alumni will be part of the famed Blue Angels flight demonstration team for the next two show seasons. Navy Lt. Ryan Chamberlain, who graduated in 2003, and Navy Lt. Cmdr. Declan Hartney, who earned his degree in 2000 through SIU Carbondale's off-campus program, are part of the elite team that represents the U. S. Navy and the U. S. Marine Corps. Ryan will serve as a pilot beginning as part of the 2013 flying team, and Declan is pre-selected as the maintenance officer for the team for the 2014 season.

Dr. Julie Furst-Bowe, Chancellor, SIU Edwardsville, noted that she and staff also provided extensive enrollment information to the Board on the prior day, and she provided a few highlights from the following written report provided to the Board:

SOUTHERN ILLINOIS UNIVERSITY EDWARDSVILLE  
CHANCELLOR'S REPORT

SIUE Enrollment Update

Preliminary census figures indicate that a record number of 2,075 first time freshmen have enrolled at SIUE for the fall. The mean ACT of 22.8 represents a .4 increase over last year and equals the record high set in 2006 and 2009. New graduate students are up 2% or 9 students over last year and 1,221 new transfers, just 11 fewer than last year, have enrolled for fall. Overall enrollment has reached 14,055 students, surpassing the 14,000 mark for the third time in University history, although that total trails last year's record enrollment by 180 students or 1.3%.

Overall graduate enrollment is down by 4% or 97 students. The programs with the largest declines include unclassified or undecided graduate students (down 31 individuals or 21%).

Undergraduate enrollment is down .76% or 87 students. The majority of the decline comes largely from undeclared upperclassmen (down by 496 or 10% over last year).

The University received a record 17,060 total applications for the fall term, including 10,600 for the freshman class and 3,507 for new transfers. The new freshman class includes approximately 550 merit and need-based scholarship recipients with an average ACT of 27. Approximately one third of the admitted class has indicated an interest in pre-professional health (Pre-Med, Pre-Dental, Pre Vet, Pre-Pharm) or Nursing.

Enrollment from traditional SIUE feeder high schools and the local region remains strong as local enrollment is up 5% for new freshmen.

Academic Awards and Achievements

SIUE has, once again, been named by Washington Monthly among the Top 50 master's granting private and public colleges and universities in the nation for Social Mobility, Research and Service. For the third consecutive year, the University has been cited by the publication for "contributions to the public good."

For the ninth consecutive year, U.S.News & World Report has listed SIUE among the best Regional Universities Midwest (#49, up from #51 last year) and as one of the top 15 public universities in that category (#11).

Dr. Therese Poirier, SIUE School of Pharmacy, was appointed to the Editorial Advisory Board of the American Journal of Pharmaceutical Education, the premier pharmacy education journal in the country.

For the Spring 2012 Prairie State Achievement Examination for Grade 11, the SIUE Charter School significantly outperformed the other schools in District 189. For Reading, 23% more Charter School students met standards than did their counterparts in District 189. For Mathematics and Science, the percentages were 18.3% and 16.4%, respectively. In addition, all of the Charter School students' average component tests scores for Reading, Mathematics, Science and English were higher than the District 189 component test scores.

### Foundation

The SIUE Foundation has been particularly active with planned gifts and has received several in the \$100,000 plus range. Two planned gifts have been received from current faculty members, one for the soccer program and another for a Chancellor's Scholarship. Also received was an outright gift of \$100,000 from a faculty member for the biology program. In addition, three planned gifts of \$100,000 or more were realized over the summer: one for a jazz trumpet scholarship; one for scholarships in SIUE's special education program; and another for Lovejoy Library.

### Student Life

SIUE recently welcomed over 2,000 freshmen during the inaugural SIUE Experience orientation program. We had record attendance at Convocation, Freshman First Night, and the Cougar Kick-Off spirit tailgate at Korte Stadium. We also held SIUE's first-ever freshman class service project with over 500 students putting citizenship into action in East St. Louis, Edwardsville, and St. Louis. Most impressive were the 65 upper class Cougar Guides who volunteered to engage new students in conversations about the SIUE values and their roles as members of this community.

University Housing welcomed over 1,500 freshman residents with over 550 upper-class volunteers called Movers and Shakers. This was the 18th year that the Movers and Shakers were dressed in orange shirts and were ready to assist the freshmen and their parents with moving students into the residence halls with a helping hand and a smile.

SIUE's traditional welcome to new and returning students was held the first two weeks of the semester. This year's celebration included both a department and office resource fair, a student organization activities fair, an ice cream social hosted by Edwardsville Mayor Gary Niebur and Chancellor Furst-Bowe, and the Thirteenth Annual Block Party in downtown Edwardsville. The Block Party is co-sponsored with the City and this year's 3,750 attendees were treated to food booths, novelty attractions, games, and live music.

SIUE's Homecoming activities will begin on Saturday, October 6 and will end on Sunday, October 14. This year's theme is "Let the Good Times Roar" and celebrations will feature the Cougar Men's Soccer game against Missouri State University, the Alumni Hall of Fame Dinner, and several student and alumni activities.

### Construction Update

Interior construction work continues on the new Science building. Most of the focus is on finish work, lab casework and fume hood installations. The University is working with the Capital Development Board on the final designs for the renovation of the existing Science building in order to begin preparation of the bid documents. The consulting architects submitted Dunham Hall window upgrade documents to the Illinois Capital Development Board for review. The final construction documents should be issued for bid in the fall. Contractors continue working on the site work for the Engineering Building Addition and will begin the vertical work soon. Construction work is nearly complete on the Charles and Mary Lukas Athletics Addition to the Vadalabene Center. Move-in is scheduled for next month. Work is also nearing completion on the new Art & Design Building with move-in scheduled for September. Renovation of the existing building is well underway and will continue in various phases through the summer of 2013. Construction is also continuing to progress on the Rendleman window replacement project and is expected to be complete in October.

On the Alton Campus, favorable bids were received for the new Multidisciplinary Laboratory (MDL) building attached to the existing MDL. The utility site work, in preparation for the construction phase of the project, is now complete. The construction phase of the project is expected to begin soon pending Board approval.

### NCAA Division I Transition

SIUE was notified of the NCAA's final decision on the University's Division I status following the NCAA Board meeting on August 2nd. SIUE may now compete at the Division I level in all sports and is eligible for post-season play.

### Athletics

The Ohio Valley Conference has launched the OVC Digital Network, a new and improved home for live streaming of athletic contests involving OVC member institutions. All events streamed by SIUE will be free to the public.

SIUE men's soccer opened the season 5-1 and has garnered national attention for its efforts. The team is listed No. 22 in both the NSCAA and the College Soccer News national polls. Soccer America ranks the Cougars at No. 25.

SIUE's Cheer and Dance squads recently returned from a successful NCA Cheer Camp at Northwest Missouri State University. Coached by Michelle Deets, both squads received bids to Nationals in Daytona Beach, Fla. It is the first time either squad has received a bid to Nationals.

Under the Application for Appeal item on the agenda, the Vice Chair reported that the Board of Trustees held discussion related to an appeal during the prior day's executive session meeting due Open Meetings Act and/or Family Educational Rights and Privacy Act requirements. He continued that this was the first meeting held ten days following the recommendation by President Poshard on the Application for Appeal. According to Article 6, Section 2 of the Bylaws of the Board of Trustees, if no motion to grant the Application for Appeal is approved, the Board of Trustees Bylaws provide that the Application for Appeal would be deemed to be denied and the action which was the subject of the grievance would stand. Hearing none, the Chair stated that the Application for Appeal was denied and the action which was the subject of the grievance would stand.

Vice Chair Hightower explained the procedures for the public comment and question portion of the Board's agenda.

Executive Secretary Whittington called on speaker Ms. Christi Turpin to make her presentation to the Board.

Ms. Turpin reviewed with the Board difficulties she had experienced with the Graduate School at SIUC in awarding her Ph. D. degree and outside agencies she had contacted to investigate the matter.

Vice Chair Hightower explained the procedure for the Board's omnibus motion and read the listing of items proposed for the omnibus motion as follows:

REPORTS OF PURCHASE ORDERS AND CONTRACTS,  
JUNE AND JULY 2012, SIUC AND SIUE

In accordance with 3 Bylaws 1 and 5 Policies of the Board C, summary reports of purchase orders and contracts awarded during the months of June and July 2012 were mailed to the members of the Board in advance of this meeting, copies were placed on file in the Office of the Board of Trustees, and these reports are hereby submitted for information and entry upon the minutes of the Board with respect to the actions of the Executive Committee.

CHANGES IN FACULTY-ADMINISTRATIVE PAYROLL – SIUC

The following changes in faculty-administrative payroll are submitted to the Board of Trustees for ratification in accordance with the Board Policy on Personnel Approval (2 Policies of the Board B). Additional detailed information is on file in the Office of the Chancellor. Where appropriate, salary is reported on a monthly basis and on either an academic year (AY) or fiscal year (FY) basis.

- A. Continuing Appointment (If the person previously had a University appointment, it is so noted. Otherwise, the person is a new University employee.)

	<u>Name</u>	<u>Rank/Title</u>	<u>Department</u>	<u>Effective Date</u>	<u>Salary</u>
1.	Algee, Karen P.	Instructor	Office of Teacher Education	08/16/2012	\$ 3,315.00/mo \$ 29,835.00/FY
2.	Alongi, Beth A.	Assistant Director, Marketing* (previously Publicity Promotion Specialist)	Student Center (previously Student Health Services)	08/13/2012	\$ 4,333.34/mo \$ 52,000.08/FY
3.	Boyles, Justin G.	Assistant Professor (75%)/ Assistant Professor (25%)	Cooperative Wildlife Research Laboratory/ Zoology	07/01/2012	\$ 5,917.00/mo \$ 53,253.00/AY
4.	Budzban, Gregory M.	Chair (100%)/ Professor (0%)** (previously Interim Chair/Professor (0%))	Mathematics	06/01/2012	\$ 11,273.00/mo \$135,276.00/FY



5.	Calvert, Brenda F.	Senior Lecturer	Office of Teacher Education	08/16/2012	\$ 3,747.00/mo \$ 33,723.00/AY
6.	Camero, Jennifer P.	Assistant Professor of Law	School of Law	08/16/2012	\$ 9,000.00/mo \$ 81,000.00/AY
7.	Chen, Da	Assistant Professor (75%)/ Assistant Professor (25%)	Cooperative Wildlife Research Laboratory/ Zoology	07/01/2012	\$ 5,667.00/mo \$ 51,003.00/AY
8.	Chitambar, Eric A.	Assistant Professor	Physics	08/16/2012	\$ 7,555.00/mo \$ 67,995.00/AY
9.	Cormier, Holly C.	Director** (previously Counseling Psychologist)	Clinical Center (previously Counseling Center)	07/01/2012	\$ 6,667.00/mo \$ 80,004.00/FY
10.	Drake, Chad E.	Assistant Professor	Psychology	08/16/2012	\$ 7,334.00/mo \$ 66,006.00/AY
11.	Erbes, John F.	Assistant Professor of Law (previously Interim Director)	School of Law	07/01/2012	\$ 9,691.00/mo \$116,292.00/FY
12.	Funk, Jill M.	Senior Lecturer (previously Assistant Instructor)	Curriculum and Instruction	08/16/2012	\$ 3,053.00/mo \$ 27,477.00/AY
13.	Glance, Dorea E.	Assistant Professor	Educational Psychology and Special Education	08/16/2012	\$ 6,000.00/mo \$ 54,000.00/AY
14.	Han, Dong	Assistant Professor	School of Journalism	08/16/2012	\$ 6,556.00/mo \$ 59,004.00/AY
15.	Hibdon, Julie A.	Assistant Professor	Department of Criminology and Criminal Justice	08/16/2012	\$ 6,889.00/mo \$ 62,001.00/AY
16.	Kertz, Sarah J.	Assistant Professor	Psychology	08/16/2012	\$ 7,334.00/mo \$ 66,006.00/AY
17.	Kirgan, Belinda L.	Instructor	Office of Teacher Education	08/16/2012	\$ 3,531.00/mo \$ 31,779.00/AY

18.	Koehler, Michael	Assistant Professor of Law	School of Law	08/16/2012	\$ 9,000.00/mo \$ 81,000.00/AY
19.	Land, Tao L.	Assistant Athletic Director	Intercollegiate Athletics	07/01/2012	\$ 4,242.00/mo \$ 50,904.00/FY
20.	Macias, Steven J.	Assistant Professor	School of Law	08/16/2012	\$ 9,000.00/mo \$ 81,000.00/AY
21.	Pardieck, Andrew M.	Assistant Professor of Law	School of Law	08/16/2012	\$ 9,000.00/mo \$ 81,000.00/AY
22.	Schoof, Justin T.	Chair	Geography and Environmental Resources	07/01/2012	\$ 8,289.00/mo \$ 99,468.00/FY
23.	Taylor, Kenya D.	Coordinator	Undergraduate Admissions	07/01/2012	\$ 3,167.00/mo \$ 38,004.00/FY
24.	Taylor, Laura K.	Assistant Director	Alumni Services	07/01/2012	\$ 3,174.00/mo \$ 38,088.00/FY
25.	Walker, Rebecca A.	Assistant Professor	Speech Communication	08/16/2012	\$ 6,223.00/mo \$ 56,007.00/AY
26.	Wilson, Gnita S.	Assistant Instructor (Supervising Teacher)	Head Start	08/16/2012	\$ 3,101.00/mo \$ 27,909.00/AY
27.	Worthen, Douglas E.	Assistant Professor	School of Music	08/16/2012	\$ 6,000.00/mo \$ 54,000.00/AY
28.	Zhao, Wani	Assistant Professor	Finance	08/16/212	\$ 19,222.00/mo \$172,998.00/AY

\* Change from Civil Service position to Administrative Professional position

\*\*Promotion of Administrative Professional

B. Leaves of Absence With Pay –

	<u>Name</u>	<u>Type of Leave</u>	<u>Department</u>	<u>% of Leave</u>	<u>Date</u>
1.	Hughes, Harry R.	Sabbatical	Mathematics	100	01/01/2013-05/15/2013
	Purpose: Professor Hughes will use his sabbatical leave to further develop the theory of stochastic optimization using variational methods to obtain analytical and numerical solutions of both anticipating and non-anticipating types. This research will be used to simulate results and problems in other disciplines, such as physical and biological sciences, engineering, economics, and mathematical finance. Dr. Hughes intends to disseminate the results through articles in professional journals and at professional meetings. He will also explore external-funding opportunities related to his research and findings.				
2.	Hundley, Joseph A.	Professional	Mathematics	100%	01/01/2013-05/15/2013
	Purpose: Professor Hundley intends to use his professional leave to participate as a Research Fellow and be in residence for the entire semester at the Institute for Computational and Experimental Research in Mathematics in Providence, R.I. They are having a Semester Program on “Automorphic Forms, Combinatorial Representation Theory, and Multiple Dirichlet Series.”				
3.	Xu, Jianhong	Sabbatical	Mathematics	100	01/01/2013-05/15/2013
	Purpose: Professor Xu will use his sabbatical leave to conduct research on the Markov chain network model and its applications to realistic complex networks, as well as matrix theory and numerical computation and simulation. He will also develop collaborative research opportunities with faculty at Washington State University. Dr. Xu intends to publish his research in peer-reviewed journals and at presentations at national or international conferences. He will also explore external-funding opportunities related to his research and findings.				

C. Awards of Tenure – None to be reported.D. Promotions – None to be reported.

The following changes in faculty-administrative payroll at the School of Medicine/Springfield campus are submitted to the Board of Trustees for ratification in accordance with the Board Policy on Personnel Approval (2 Policies of the Board B). Additional detailed information is on file in the Office of the Dean and Provost. Where appropriate, salary is reported on a monthly basis and on either an academic year (AY) or fiscal year (FY) basis.

A. Continuing Appointment –

	<u>Name</u>	<u>Rank/Title</u>	<u>Department</u>	<u>Effective Date</u>	<u>Salary</u>
1.	Adams, Kristal	Clinical Research Coordinator*	Research and Faculty Affairs	07/01/2012	\$ 3,166.67/mo \$ 38,000.04/FY
2.	Aggarwal, Vinod	Associate Chief Information Office	SIU Healthcare	09/17/2012	\$ 9,945.00/mo \$119,340.00/FY
3.	Borders, Shelda	Assistant Professor of Clinical Psychiatry*	Psychiatry	07/01/2012	\$ 4,236.25/mo \$ 50,835.00/FY
4.	Brown, Eric	Chief Medical Officer	SIU Healthcare	07/16/2012	\$ 10,000.00/mo \$120,000.00/FY
5.	Buettner, Carol	Regulatory Coordinator	Research and Faculty Affairs	07/01/2012	\$ 4,041.67/mo \$ 48,500.04/FY
6.	Bullard, Julie	Clinical Research Coordinator*	Research and Faculty Affairs	07/01/2012	\$ 4,236.25/mo \$ 50,835.00/FY
7.	Damarin, Gregory	Director of Security	Capital Planning and Service Operations	07/16/2012	\$ 6,648.67/mo \$ 79,784.04/FY
8.	El-Zawahry, Ahmed	Assistant Professor	Surgery/Urology	08/27/2012	\$ 14,583.33/mo \$174,999.96/FY
9.	Gilchrist, James	Professor and Chair	Neurology	11/19/2012	\$ 29,166.67/mo \$350,000.04/FY
10.	Hansen, Jeanne	Licensed Clinical Social Worker*	Psychiatry	07/01/2012	\$ 3,558.45/mo \$ 42,701.40/FY

11.	Heffren-Malott, Trisha	Director, Community Support Network*	Psychiatry	07/01/2012	\$ 5,083.33/mo \$ 60,999.96/FY
12.	Hinz, Karen	Clinical Informatics Nurse Specialist*	SIU Healthcare Information Systems	07/01/2012	\$ 4,270.14/mo \$ 51,241.68/FY
13.	Hopkins-Price, Patricia	Research Assistant Professor*	Internal Medicine	07/01/2012	\$ 5,716.84/mo \$ 68,602.08/FY
14.	Javadi, Fariba	Assistant Professor of Clinical Internal Medicine	Internal Medicine	07/30/2012	\$ 14,583.34/mo \$175,000.08/FY
15.	Kelnhofer-Trammell, Rita	Research Associate Professor*	Internal Medicine	07/01/2012	\$ 7,815.22/mo \$ 93,782.64/FY
16.	Klos, Patricia	Certified Nurse Practitioner*	Surgery	07/01/2012	\$ 6,778.00/mo \$ 81,336.00/FY
17.	Lacy, Patricia	Physician Assistant-Certified*	Surgery	07/01/2012	\$ 7,083.34/mo \$ 85,000.08/FY
18.	Lokaitis, Barbara	Senior Clinical Research Coordinator*	Research and Faculty Affairs	07/01/2012	\$ 4,166.67/mo \$ 50,000.04/FY
19.	Miedwig, Lori	Clinical Research Coordinator*	Research and Faculty Affairs	07/01/2012	\$ 3,389.00/mo \$ 40,668.00/FY
20.	Moose, Helen	Curriculum Development Specialist	Education and Curriculum	08/20/2012	\$ 5,979.92/mo \$ 71,759.04/FY
21.	Neumeister, Michael	Professor and Chair	Surgery	09/01/2012	\$ 62,500.00/mo \$750,000.00/FY
22.	Peng, Yen-Yi	Assistant Professor of Clinical Neurology*	Neurology	07/01/2012	\$ 5,525.00/mo \$ 66,300.00/FY
23.	Rea, Joanna	Assistant Professor of Clinical Internal Medicine	Internal Medicine	07/02/2012	\$ 5,833.34/mo \$ 70,000.08/FY

24.	Saliminejad, Mehrdad	Assistant Professor of Clinical Internal Medicine	Internal Medicine	07/30/2012	\$ 20,833.34/mo \$250,000.08/FY
25.	Salman, Anoosh	Assistant Professor of Clinical Psychiatry	Psychiatry	07/01/2012	\$ 13,333.33/mo \$159,999.96/FY
26.	Shrestha, Santosh	Assistant Professor of Clinical Psychiatry*	Psychiatry	07/01/2012	\$ 11,161.58/mo \$133,938.96/FY
27.	Takahaski, Ayame	Assistant Professor of Clinical Psychiatry*	Psychiatry	07/01/2012	\$ 10,417.41/mo \$125,008.92/FY
28.	Torry, Donald	Professor and Chair	Medical Microbiology Immunology and Cell Biology	07/01/2012	\$ 16,500.00/mo \$198,000.00/FY
29.	Tyagi, Isha	Assistant Professor of Clinical Internal Medicine*	Internal Medicine	07/01/2012	\$ 3,125.00/mo \$ 37,500.00/FY
30.	Unal, Elizabeth	Assistant Professor of OB/GYN	OB/GYN/Maternal Fetal Medicine	09/10/2012	\$ 20,833.34/mo \$250,000.08/FY
31.	Whitaker, Tiffany	Senior Clinical Research Coordinator*	Research and Faculty Affairs	07/01/2012	\$ 3,982.08/mo \$ 47,784.96/FY
32.	Yochum, Andrew	Assistant Professor of Clinical Family and Community Medicine	Family and Community Medicine/Carbondale	07/30/2012	\$ 7,500.00/mo \$ 90,000.00/FY
33.	Yu, Siegfried	Assistant Professor of Clinical Internal Medicine*	Internal Medicine	07/01/2012	\$ 9,666.67/mo \$116,000.04/FY
34.	Yuan, Rong	Assistant Professor	Internal Medicine	08/13/2012	\$ 8,333.34/mo \$100,000.08/FY

35.	Zahnd, Whitney	Clinical Research Specialist*	Research and Faculty Affairs	07/01/2012	\$ 3,050.00/mo \$ 36,600.00/FY
36.	Zahrn, Virginia	Licensed Clinical Social Worker*	Internal Medicine	07/01/2012	\$ 3,927.00/mo \$ 47,124.00/FY

\*Change from term to continuing

B. Leaves of Absence with Pay – None to be reported.

C. Awards of Tenure –

	<u>Name</u>	<u>Title on Effective Date of Tenure</u>	<u>Department</u>	<u>Effective Date</u>
1.	Gilchrist, James	Professor	Neurology	11/19/2012

D. Promotions – None to be reported.

### CHANGES IN FACULTY-ADMINISTRATIVE PAYROLL – SIUE

The following changes in faculty-administrative payroll are submitted to the Board of Trustees for ratification in accordance with the Board Policy on Personnel Approval (2 Policies of the Board B). Additional detailed information is on file in the Office of the Chancellor. Where appropriate, salary is reported on a monthly basis and on either an academic year (AY) or fiscal year (FY) basis.

A. Continuing Appointment (If the person previously had a University appointment, it is so noted. Otherwise, the person is a new University employee.)

	<u>Name</u>	<u>Rank/Title</u>	<u>Department</u>	<u>Effective Date</u>	<u>Salary</u>
1.	Arceneaux, Christopher	Admissions Counselor	Admissions	08/06/12	\$2,333.34/mo \$28,000.08/FY
2.	Brugam, Richard	Distinguishe d Research Professor (previously Professor)	Biological Sciences	08/16/12	\$10,972.84/mo \$98,755.56/AY
3.	Bell-Scriber, Marietta	Associate Professor	School of Nursing	08/16/12	\$9,333.32/mo \$83,999.88/AY

4.	Buckley, Phillip	Assistant Professor	Educational Leadership	08/16/12	\$5,778.00/mo \$52,002.00/AY
5.	Carter, Kimberly	Assistant Professor	Social Work	08/16/12	\$5,777.78/mo \$52,000.02/AY
6.	Clark-Langston, Shrylene	Assistant Director	University Services to East St. Louis	08/01/12	\$6,666.68/mo \$80,000.16/FY
7.	Crk, Igor	Assistant Professor	Computer Science	08/16/12	\$8,150.00/mo \$73,350.00/AY
8.	Darabi, Jafar	Associate Professor	Mechanical Engineering	08/16/12	\$9,200.00/mo \$82,800.00/AY
9.	Ercal, Gunes	Assistant Professor	Computer Science	08/16/12	\$8,150.00/mo \$73,350.00/AY
10.	Fatima, Saba	Assistant Professor	Philosophy	08/16/12	\$5,400.00/mo \$48,600.00/AY
11.	Frierdich, Daniel	Marketing Specialist	Marketing & Communication	07/16/12	\$3,484.76/mo \$41,817.12/FY
12.	Holt, Janet	Executive Director	IERC	08/01/12	\$10,416.66/mo \$124,999.92/FY
13.	Huang, Jianwei	Assistant Professor	Civil Engineering	08/16/12	\$7,778.00/mo \$70,002/AY
14.	Huyck, Nancy	Assistant Professor	PAPA	08/16/12	\$5,444.46/mo \$49,000.14/AY
15.	Jacks, Tim	Assistant Professor	CMIS	08/16/12	\$11,612.00/mo \$104,508/AY
16.	Jones, Michael	Academic Adviser	SOAR	08/14/12	\$2,995.50/mo \$35,946.00/FY
17.	Kim, Sungho	Assistant Professor	Management & Marketing	08/16/12	\$11,334.00/mo \$102,006.00/AY
18.	Klopfenstein, Marie	Assistant Professor	SECD	08/16/12	\$6,000.00/mo \$54,000.00/AY
19.	Lewis, LaCheryl	Academic Adviser	OCECA	07/16/12	\$2,995.50/mo \$35,946.00/FY
20.	Lyman, Elizabeth	Assistant Professor	Theater & Dance	08/16/12	\$5,750.00/mo \$51,750.00/AY



21.	McKenney, Mark	Assistant Professor	Computer Science	08/16/12	\$8,150.00/mo \$81,500.00/AY
22.	Osouli, Abdolreza	Assistant Professor	Civil Engineering	08/16/12	\$7,778.00/mo \$70,002.00/AY
23.	Parmenter, Danielle	Marketing Specialist	Marketing & Communications	07/01/12	\$3,484.76/mo \$41,817.12/FY
24.	Pineda, Kris	Assistant Professor	Music	08/16/12	\$4,444.50/mo \$40,000.50/AY
25.	Schaefer, Zachary	Assistant Professor	Speech Communication	08/16/12	\$5,444.46/mo \$49,000.14/AY
26.	Swagler, Jason	Assistant Professor	Music	08/16/12	\$4,600.00/mo \$41,400.00/AY
27.	Swanke, Jayme	Assistant Professor	Social Work	08/16/12	\$5,777.78/mo \$52,000.02/AY
28.	Thomas, Kevin	Director	Retention	07/09/12	\$5,833.24/mo \$70,000.08/FY
29.	Traynor, Kelly	Academic Adviser	Athletics	07/23/12	\$2,995.50/mo \$35,946.00/FY
30.	Welter, Michelle	Associate Director (previously Assistant Director)	Kimmel Leadership Center	07/01/12	\$4,351.50/mo \$52,218.00/FY
31.	Vardanyan, Karen	Assistant Professor	Physics	08/16/12	\$5,825.00/mo \$52,425.00/AY
32.	Weissinger, Sandra	Assistant Professor	Sociology & Criminal Justice	08/16/12	\$5,222.24/mo \$47,000.16/AY

C. Awards of Tenure - none

D. Awards of Promotion - none

CHANGE IN FACULTY-ADMINISTRATIVE PAYROLL-  
OFFICE OF THE PRESIDENT AND UNIVERSITY-WIDE SERVICES

The following change in faculty-administrative payroll is submitted to the Board of Trustees for ratification in accordance with the Board Policy on Personnel Approval (2 Policies of the Board B). Additional detailed information is on file in the Office of the President. Where appropriate, salary is reported on a monthly basis and on either an academic year (AY) or fiscal year (FY) basis.

- A. Continuing Appointment (If the person previously had a University appointment, it is so noted. Otherwise, the person is a new University employee.)

	<u>Name</u>	<u>Rank/Title</u>	<u>Department</u>	<u>Effective Date</u>	<u>Salary</u>
1.	McCarty, Douglas J.	Associate General Counsel	General Counsel	09/17/12	\$8,500.00/mo. \$102,000.00/FY

OPERATING AND CAPITAL RAMP GUIDELINES  
AND  
EXECUTIVE SUMMARY: RESOURCE ALLOCATION AND MANAGEMENT  
PROGRAM (RAMP) PLANNING, OPERATIONS, AND CAPITAL BUDGET  
REQUEST, FISCAL YEAR 2014

In consultation with the Chancellors, the President recommends the following guidelines for use in the development of the Resource Allocation and Management Program (RAMP) for Fiscal Year 2014:

Operating RAMP Guidelines

1. The salary increase request is made in accordance with the IBHE's salary increase initiative. The request provides funds for an average inflationary salary increase for faculty and staff.
2. Inflation projections for general price increases will be made using Consumer Price Index (CPI) and Higher Education Price Index (HEPI).
3. University cost experience and written comments from suppliers and local utility companies will be used for cost projections on library materials and utilities.

4. New requests for operation and maintenance funds will be made to support new facilities on Southern Illinois University campuses including unfunded requests over the past two years.
5. The deferred maintenance request is based on one-third of SIU's unmet annual maintenance needs for state funded facilities.
6. Funding will be requested for the combination of new programs, program priorities, and support functions as New and Expanded Program Requests (NEPRs).

#### Capital RAMP Guidelines

Projects proposed for the campuses will be developed recognizing the need to upgrade existing buildings, replace obsolete structures, repair structural and utility support systems, and make campus site improvements consistent with each campus facility master plan.

In developing the campus requests into priority listings by categories, the following project priority considerations will be recognized:

- A. Projects to prevent future potential disruption in routine operations.
- B. Projects to make remodeling and infrastructure improvements that maintain and protect the State's investment in educational facilities.
- C. Projects to provide equipment to complete state funded facilities.
- D. Projects for which planning funds have previously been appropriated.
- E. Projects providing for accessibility for the handicapped and for rehabilitation, remodeling, and reassignment of existing facilities, with special consideration given when preservation of the facility is a factor.
- F. Projects to address new facility needs for instructional, public service, and research program activities that meet University priorities and state needs.

Based on these guidelines, the following request has been prepared:

## Executive Summary: RAMP Planning, Operations, and Capital Budget Request, FY 2014

This request identifies the priorities, plans, and program and related resource needs, including operations and capital, of Southern Illinois University. The documents were prepared in accordance with University planning processes and with guidelines established by the Illinois Board of Higher Education (IBHE). The documents provide planning statements, including statements of mission, focus, and priorities; new program requests; and program priorities requests for SIUC, including the School of Medicine, SIUE, and the SIU Administration.

The request for operating funds is displayed in three categories in Table 1: Planning RAMP; Inflationary Needs; and Increase in Operations and Maintenance (O&M) of Buildings.

### Planning RAMP

Total resource requirements for FY 2014 Program requests amount to \$17,034,971 or a 4.1 percent increase. This includes \$14,117,071 for Carbondale (including the School of Medicine); \$2,902,000 for Edwardsville; and \$15,900 for the SIU Administration.

The FY 2014 Program Priority Requests for Southern Illinois University Carbondale include (1) Recruit, Retain, and Reward Critical Faculty and staff (including the School of Medicine); (2) Simmons Cancer Institute at SIU; (3) University College; (4) Center for Teaching Excellence; (5) Distance Education and Off Campus Initiative; (6) Recruit and Retain Students of Color; (7) Enhancement of Teaching and Learning in STEM and Recruitment and Retention of High-Quality Graduate Students.

The FY 2014 Program Priority Requests for Southern Illinois University Edwardsville include (1) Retaining Critical Faculty and Staff; (2) School of Pharmacy Operations; and (3) Enhancing Campus-Wide Retention.

The FY 2014 Program Priority Request for the SIU Administration includes Recruit, Retain, and Reward Critical Faculty and Staff.

The FY 2014 RAMP program funding requests are for initiatives that reflect the goals and aspirations of SIU and its respective constituency groups and are consistent with and reinforce the goals and objectives of The Illinois Public Agenda for College and Career Success. The above requests were submitted in RAMP 2013 but were not funded. Because they remain a high priority for the University, they are being resubmitted for RAMP 2014.

### Inflationary Adjustment

The total request for various inflationary adjustments equals \$12,595,800, representing a 3.0 percent increase in the operating budget.

### Increase in O&M of Buildings

The increase requested in O&M of Buildings consists of two components:

O&M of Buildings gives the projected cost of operating and maintaining buildings that will be added by the end of FY 2013 and the costs of buildings added during the past for which operating funds were not received.

Deferred Maintenance gives the projected need for addressing deferred maintenance prescribed by IBHE guidelines.

### Grand Total Increase

The total FY 2014 Operating budget request amounts to \$452,497,000 or an 8.7 percent increase over FY 2013 appropriation and income fund budget. This includes \$239,386,800 for Carbondale; \$53,912,100 for the School of Medicine; \$157,279,400 for Edwardsville; and \$1,918,700 for the SIU Administration.

### Capital RAMP

The total request for FY 2014 Capital budget request amounts to \$163,367,981 and is summarized in attached Table 2.

The regular capital list has been prioritized into a University-wide list presented for the consideration and approval of the Board and for submission to the Illinois Board of Higher Education and totals \$134.9 million.

Capital renewal projects requested for FY 2014 total \$24,590,881 at SIUC and \$3,900,000 at SIUE. Capital renewal projects are generally of lesser size and scope than regular capital projects. These projects involve minor remodeling of facilities to repair buildings; to upgrade electrical, mechanical, roofing, and plumbing systems; and to address deferred maintenance, safety, and accessibility code requirements.

### Rationale for Adoption

The RAMP 2014 Planning, Operating, and Capital Budget Requests are required by the IBHE for communicating the University's planning and budget decisions and resource requirements for Fiscal Year 2014. Acceptance by the IBHE requires approval by the SIU Board of Trustees.

### Considerations Against Adoption

None are known to exist.

### Constituency Involvement

Various constituency groups from the campuses have been involved in the review and recommendation of these requests. This includes the Faculty Senate and Graduate Council at SIUC, the Executive Committee at the School of Medicine, and the Faculty Senate, Graduate Council, and University Planning and Budget Council at SIUE. In addition, all requests have been reviewed and approved by the campus Chancellors and received the concurrence of the President.

### Resolution

BE IT RESOLVED, By the Board of Trustees of Southern Illinois University in regular meeting assembled, That the Operating and Capital RAMP Guidelines for Fiscal Year 2014 as described herein are approved, and That, subject to the authority reserved to this Board to make such modifications, changes, or refinements herein as it deems appropriate in reviewing RAMP documents, the RAMP Planning, Operations, and Capital Documents for Fiscal Year 2014 for Southern Illinois University Carbondale (including the School of Medicine), Southern Illinois University Edwardsville, and Southern Illinois University Administration, be and are hereby approved as presented to the Board this date.

BE IT FURTHER RESOLVED, That the President shall take appropriate steps to accomplish filing of the materials approved therein with the Illinois Board of Higher Education in accordance with the policies of Southern Illinois University.

**Table 1**

**Southern Illinois University  
Fiscal Year 2014 Operating Requests**

(\$ in thousands)

	<b>President's Office</b>	<b>SIUC</b>	<b>School of Medicine</b>	<b>SIUE</b>	<b>Total</b>
<b>FY 2013 EXPENDITURE BASE BUDGET</b>	\$1,846.3	\$219,034.4	\$48,716.2	\$146,603.6	\$416,200.5
<b>RECOMMENDED ADJUSTMENTS</b>					
<b>Planning RAMP</b>					
Retaining Critical Faculty and Staff (1%) <sup>1</sup>	15.9	1,659.7	1,026.8	1,109.0	3,811.4
Other Program Requests (NEPR)	<u>0.0</u>	<u>8,842.6</u>	<u>2,588.0</u>	<u>1,793.0</u>	<u>13,223.6</u>
<b>Total Planning RAMP</b>	\$15.9	\$10,502.3	\$3,614.8	\$2,902.0	\$17,035.0
	0.9%	4.8%	7.4%	2.0%	4.1%
<b>Inflationary Adjustments</b>					
General Salary Increases (3%) <sup>1</sup>	\$47.8	\$4,812.1	\$1,165.9	\$3,327.0	\$9,352.8
Social Security/Medicare (3%)	0.7	60.4	15.2	63.3	139.6
General Price Increases (3%)	8.0	1,035.4	191.4	811.4	2,046.2
Utility Price Increases (2%)	0.0	203.8	38.6	71.4	313.8
Library Materials Increases (8%)	0.0	540.9	68.4	134.1	743.4
Cost Recovery Program Adjustment	0.0	0.0	0.0	0.0	0.0
Cost Recovery Overhead Adjustment	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>
<b>Total Inflationary Adjustments</b>	\$56.5	\$6,652.6	\$1,479.5	\$4,407.2	\$12,595.8
	3.1%	3.0%	3.0%	3.0%	3.0%
<b>Increase in O &amp; M of Buildings</b>					
O and M of Buildings	0.0	1,545.7	0.0	3,252.9	4,798.6
Deferred Maintenance (3%)	<u>0.0</u>	<u>1,651.8</u>	<u>101.6</u>	<u>113.7</u>	<u>1,867.1</u>
	\$0.0	\$3,197.5	\$101.6	\$3,366.6	\$6,665.7
	0.0%	1.5%	0.2%	2.3%	1.6%
<b>GRAND TOTAL INCREASE</b>	<b>\$72.4</b>	<b>\$20,352.4</b>	<b>\$5,195.9</b>	<b>\$10,675.8</b>	<b>\$36,296.5</b>
Percent Increase	3.9%	9.3%	10.7%	7.3%	8.7%
<b>TOTAL FY 2014 OPERATING REQUESTS</b>	<b><u>\$1,918.7</u></b>	<b><u>\$239,386.8</u></b>	<b><u>\$53,912.1</u></b>	<b><u>\$157,279.4</u></b>	<b><u>\$452,497.0</u></b>

<sup>1</sup> SIU's salary increase request for FY 2014 includes a 3% general salary increase pool and an additional 1% increase to address salary needs to retain critical faculty and staff. The university must provide an additional 1% increase with institutional funds to bring the total salary increase to the total salary pool to 5%.

**Table 2**

**Summary of Fiscal Year 2014  
SIU Capital Requests**

<u>Rank</u>	<u>Classification/Project/Budget/Category</u>	<u>Type of Request</u>	<u>Request Estimated Cost</u>	<u>Total Est. Cost</u>
<b>Regular Capital Projects</b>				
1	Communications Renovate/Addition - SIUC	Construction	68,966,400	73,221,800
2	Allied Health Building - SIUE	Planning	8,298,000	93,100,000
3	Agricultural Sciences Renovation/Addition - SIUC	Planning	6,125,800	79,036,700
4	Lovejoy Library Replacement - SIUE	Planning	7,393,000	83,100,000
5	Health Life Safety/Neckers Renovation & Addition - SIUC	Planning	6,588,200	85,065,000
6	Alton Dental Facilities Consolidation - SIUE	Planning	7,393,000	79,400,000
7	General Core Curriculum Classroom Building - SIUC	Planning	4,137,000	53,641,200
8	University Event Center - SIUE	Planning	7,091,000	79,700,000
9	Interdisciplinary Research Laboratory - SIUC	Planning	2,135,200	27,776,500
10	Research and Education Facility - SOM-SIUC	Planning/Land	11,502,400	127,668,147
11	Quigley Hall Renovation/Addition - SIUC	Planning	<u>5,247,100</u>	<u>67,671,800</u>
	<b>Total All 11 Priorities</b>		\$134,877,100	\$849,381,147
<b>Capital Renewal Projects</b>				
	Woody Hall Upgrades - Mechanical Electrical Plumbing- SIUC		\$3,700,000	
	Electrical Feeder Replacement/Upgrade to 12kV - SIUC		1,625,000	
	Roof Renovation - SIUC		1,050,000	
	Replace Fire Alarms - General Campus - SIUC		1,000,000	
	Pulliam Industrial Education Electrical Upgrades-SIUC		625,000	
	Chilled Water Line Replacement - SIUC		750,000	
	Campus Water Line Replacement - SIUC		800,000	
	Classroom/Lab Renovations - SIUC		1,500,000	
	Steam Tunnel Structural Repairs - SIUC		800,000	
	Engineering Complex HVAC - SIUC		1,625,000	
	Campus Sidewalk Repairs - SIUC		800,000	
	Coal Storage and Handling System - SIUC		1,800,000	
	Information Technology Infrastructure - SIUC		1,500,000	
	Health Life Safety Improvements - SIUC		1,500,000	
	Neckers Lab Renovations - SOM (Carbondale)		2,330,385	
	Medical Instruction Facility - Air Handling/Emergency Power System - SOM		1,833,346	
	Transdisciplinary Research Core Facility Renovation- SOM		<u>1,352,150</u>	
	<b>Total Carbondale</b>		\$24,590,881	
	Re-wire Peck Hall - SIUE		\$1,300,000	
	Re-wire Lovejoy Library - SIUE		1,300,000	
	Re-wire Vadalabene Center - SIUE		<u>1,300,000</u>	
	<b>Total Edwardsville</b>		\$3,900,000	
	<b>Total Capital Renewal Projects</b>		\$28,490,881	
	<b>Grand Total Capital Requests for FY 2014</b>		<b>\$163,367,981</b>	

Source: FY 14 Capital RAMP



## SALARY INCREASE PLAN FOR FISCAL YEAR 2013

### Summary

This matter presents for Board approval a salary increase plan for Southern Illinois University Edwardsville, Southern Illinois University Carbondale and the Office of the President for Fiscal Year 2013. The plan sets forth general policies and parameters within which employee salary increases may be granted.

### Rationale for Adoption

Board policy requires the annual approval of a salary increase plan. This plan does not set forth specific salary recommendations for each employee, but rather establishes the general parameters for the distribution of salary increase funds. In addition, this plan does not address changes in salaries established through collective bargaining.

Adequate salaries for our faculty and staff continue to be a high priority for the University. Under this plan, an amount may be distributed to provide to eligible employees an average salary increase pool of up to 2.5 percent to Southern Illinois University Edwardsville and up to 1.0 percent to Southern Illinois University Carbondale and the Office of the President for FY13 effective July 1, 2012. All increases will be implemented under guidelines and eligibility requirements issued by the President.

### Considerations Against Adoption

None are known to exist.

### Constituency Involvement

The plan is consistent with the discussions the Chancellors have had with constituency leaders.

### Resolution

BE IT RESOLVED, By the Board of Trustees of Southern Illinois University in regular meeting assembled, That the President is hereby authorized to grant an amount providing an average salary increase pool of up to 2.5 percent to Southern Illinois University Edwardsville and up to 1.0 percent to Southern Illinois University Carbondale and the Office of the President faculty, administrative and professional staff, and civil service employees who are not represented by a recognized bargaining agent. Salary increases will be effective July 1, 2012.

BE IT FURTHER RESOLVED, That the President of Southern Illinois University be and is hereby authorized to issue guidelines and eligibility requirements for Fiscal Year 2013 salary increases for employees who are not represented by a recognized bargaining agent.

BE IT FURTHER RESOLVED, That the President of Southern Illinois University be and is hereby authorized to take whatever action may be required in the execution of this resolution in accordance with established policies and procedures.

### APPROVAL OF FISCAL YEAR 2013 OPERATING AND CAPITAL BUDGETS

#### Summary

This matter submits for approval the annual operating and capital budgets and related plans for Southern Illinois University for Fiscal Year 2013. The budget document includes all operating funds, both appropriated and nonappropriated, and provides information on contingency plans and capital budget information.

The Fiscal Year 2013 operating budget totals approximately \$881 million and the capital budget totals about \$237 million. The budget reflects the final actions of the Governor and the Illinois General Assembly on the Fiscal Year 2013 state general funds budget.

Resources have been directed in support of the University's highest priorities, maintaining ongoing operations, and ensuring the long-term adequacy and integrity of institutional assets.

The campuses have projected the following changes in revenue and commitments in its General Operating fund.

#### SIUC:

##### Projected Revenue Changes:

Increase in Tuition Revenue	\$ 1.0 million
Decrease in General Fund Revenue	(\$ 9.4) million
Excellence in Academic Medicine	
Funding Elimination (SOM)	<u>(\$ 2.5) million</u>
Total	<u>(\$10.9) million</u>

##### Changes in Commitments:

Salary Increases of 1% (FY12 & FY13)	\$ 3.3 million
Faculty Promotions & Wages (FY12 & FY13)	\$ 1.1 million
Scholarship/Financial Aid Assistance	\$ 0.7 million
Campus Budget Reductions	<u>(\$16.0) million</u>
Total	<u>(\$10.9) million</u>

SIUE:

## Projected Revenue Changes:

Increase in Tuition Revenue	\$3.97 million
Decrease in General Fund Revenue	(\$3.82) million
Use of Prior Year Program	
Development/Reserve Fund	\$1.77 million
FY12 Non-Recurring Income Fund Cash	
Carryover/Savings From Hiring Freeze	<u>\$2.18 million</u>
Total	\$4.10 million (0.1% increase)

## Changes in Commitments:

Salary & Wage Increases	\$2.73 million
Medicare Costs	\$0.06 million
Scholarships/Financial Aid Assistance	\$0.73 million
Program Initiatives as Endorsed by	
Chancellor and UPBC	<u>\$0.58 million</u>
Total	\$4.10 million

Within the attached budget document:

Highest Priorities articulate funding priorities by each campus in support of University priorities, the Illinois Public Agenda, and campus-specific long term strategic plans. Priority plans include commitments from all fund groups. All amounts invested are new or internally reallocated funds.

Budget Tables provide totals for all current funds by line items and major fund groups (Table A). Supporting schedules provide detail budget revenues within each major fund group (Table B) and budget expenditures by major functional categories (Table C). Budget information is also presented separately for each major organizational decision center (Table D), as well as plans that have been made for fiscal contingencies (Table E).

Capital Tables. Table F provides summary information on regular capital projects and other capital expenditures planned for FY 2013. Also included is a long-term list of future capital projects. Table G provides capital projects for new building and additions accompanied by some artistic renderings. Table H shows campus investment into preserving existing facilities. The University has a fiduciary responsibility to properly maintain its facilities and maximize their existing life. Hence, the University had established a guideline requiring the investment of two percent of the replacement value of plant on facilities improvement annually. Table I provides information on capital reserves the University had established in compliance with Legislative Audit Commission Guidelines, which acknowledged the need for universities

to have long-range planning for large and/or extraordinary capital expenditures. The maximum threshold allowed within each capital reserve is shown on the table.

#### Rationale for Adoption

The annual budget is a management tool for planning, funding priorities, communicating direction, and monitoring revenue and expenditures. In May 2012, this Board approved budget and planning guidelines recommended by the President for the University. The budget and related plans were developed in accordance with the guidelines.

#### Considerations Against Adoption

None are known to exist.

#### Constituency Involvement

Development and implementation of the budgeting procedures involved constituencies and many individuals at all levels within the University.

#### Resolution

BE IT RESOLVED, By the Board of Trustees of Southern Illinois University in regular meeting assembled, That the Southern Illinois University FY 2013 Operating and Capital Budgets, as presented in the budget document are hereby approved.

PROJECT AND BUDGET APPROVAL:  
ELECTRICAL DISTRIBUTION UPGRADES,  
CARBONDALE CAMPUS, SIUC

#### Summary

This matter seeks project and budget approval for the Carbondale campus electrical distribution system upgrades. Estimated cost for this project is \$5,000,000.

#### Rationale for Adoption

This project will replace the electrical feeders and associated equipment in the campus distribution system that is at risk of failure due to deterioration and includes the purchase of a new 12.5kV source for installation at the east campus substation. Replacement feeders and equipment will be sized to convert the electrical distribution from 5kV to 12.5kV, which will greatly improve the efficiency and reliability of the system and result in significant long-term savings in the purchase of electricity. It is anticipated

the design will be completed through in-house resources and the work will be competitively bid.

The estimated cost of this project is \$5,000,000 and the work will be funded from external and/or internal financing as determined by the Board Treasurer. The debt payment will be repaid from the Facilities Maintenance Fee.

The Chancellor, SIUC, and the Vice Chancellor for Administration and Finance have recommended this matter to the President.

Considerations Against Adoption

University officers are aware of none.

Resolution

BE IT RESOLVED, By the Board of Trustees of Southern Illinois University in regular meeting assembled, That:

- (1) Project and budget approval for the electrical distribution system upgrades, Carbondale Campus, SIUC, at an estimated cost of \$5,000,000 be and is hereby approved.
- (2) The Board of Trustees hereby authorizes the purchase of goods and services required to complete this project.
- (3) Funding for this work will come from external and/or internal financing as determined by the Board Treasurer. The debt payment will be repaid from the Facilities Maintenance Fee.
- (4) The President of Southern Illinois University be and is hereby authorized to take whatever action may be required in the execution of this resolution in accordance with established policies and procedures.

SUPPLEMENTAL REQUISITION APPROVAL:  
STUDENT SERVICES BUILDING PLUMBING CONTRACT,  
CARBONDALE CAMPUS, SIUC

Summary

This matter seeks approval for a supplemental requisition for the plumbing contract work at the Student Services Building as required by, 5 Policies of the Board C, 3 b.

Rationale for Adoption

At the December 9, 2010, Board of Trustees meeting, project and budget approval was received for construction of the Student Services Building. A revised project budget, estimated at \$36,600,000, was approved and the construction contracts were awarded at the December 8, 2011, meeting. At this meeting, the plumbing contract was awarded to Southern Illinois Piping Contractors for the original amount of \$634,444.

During the excavation, modifications to the existing 14" water main and the 8" water line were required due to unforeseen conditions. Additional modifications were also required for storm and sanitary sewer piping. This supplemental requisition also includes revisions to work associated with changes to the 4<sup>th</sup> floor design requested by the University. In total, these change orders increase the contract award by \$175,000 bringing the total award to \$809,444.

The Chancellor, SIUC, and the Vice Chancellor for Administration and Finance have recommended this matter to the President.

Considerations Against Adoption

University officers are aware of none.

Resolution

BE IT RESOLVED, By the Board of Trustees of Southern Illinois University in regular meeting assembled, That:

(1) The supplemental requisition for Southern Illinois Piping Contractors, Carbondale, IL, in the amount of \$175,000 be and is hereby approved.

(2) The President of Southern Illinois University be and is hereby authorized to take whatever action may be required in the execution of this resolution in accordance with established policies and procedures.

PRELIMINARY PLANNING APPROVAL:  
ENERGY CONSERVATION PROJECTS,  
CARBONDALE CAMPUS, SIUC

Summary

This matter seeks preliminary planning approval for energy conservation projects.

Rationale for Adoption

Preliminary planning is required to analyze proposals from multiple energy efficiency service companies (ESCO) for energy saving projects in various buildings and areas across campus. The University plans to access the ESCO(s) through an Interagency Agreement with the Illinois Finance Authority (IFA). The IFA selected certain ESCO(s) to provide professional services related to energy efficiency projects for State and local governmental agencies.

The ESCO(s) will conduct energy audits and provide an assessment of how each facility or area uses energy and manages energy costs. Based on the recommendation of the ESCO(s), a project scope and budget will be developed for each project. Project and budget approval and the award of construction contracts will require further Board approval. Possible locations for the first phase of this project include Morris Library, Altgeld Hall, Lawson Hall, Anthony Hall, Agriculture Building and general campus lighting.

The work will be funded from external and/or internal financing as determined by the Board Treasurer. The debt payment will be repaid from utility cost savings and, if necessary, the Facilities Maintenance Fee.

The Chancellor, SIUC, and the Vice Chancellor for Administration and Finance have recommended this matter to the President.

Considerations Against Adoption

University officers are aware of none.

Resolution

BE IT RESOLVED, By the Board of Trustees of Southern Illinois University in regular meeting assembled, That:

(1) Preliminary planning for energy conservation projects, Carbondale Campus, SIUC, be and is hereby approved.

(2) Solicitation of multiple energy efficiency service companies is hereby approved.

(3) The Board of Trustees hereby authorizes the purchase of goods and services required to complete planning.

(4) Funding for this work will come from external and/or internal financing as determined by the Board Treasurer. The debt payment will be repaid from utility cost savings and, if necessary, the Facilities Maintenance Fee.

(5) The President of Southern Illinois University be and is hereby authorized to take whatever action may be required in the execution of this resolution in accordance with established policies and procedures.

APPROVAL TO ACQUIRE REAL ESTATE:  
908 N. BOND STREET,  
SPRINGFIELD MEDICAL CAMPUS, SIUC

Summary

This matter seeks approval to acquire real property located at 908 N. Bond Street, Springfield, Illinois, for future development of the Springfield campus.

Rationale for Adoption

The Board of Trustees has reviewed the School of Medicine's plan to acquire some properties adjacent to the main campus to facilitate future campus development at Springfield. The property identified in this matter is located in that area. The owner has agreed to sell the property for \$49,000.00. Funding for this project will come from non-appropriated funds available to the School of Medicine.

The purchase does not require approval by the Illinois Board of Higher Education.

The Chancellor, SIUC, and the Dean and Provost of the School of Medicine have recommended this matter to the President.

Considerations Against Adoption

University officers are aware of none.



Resolution

BE IT RESOLVED, By the Board of Trustees of Southern Illinois University in regular meeting assembled, That:

(1) The request to purchase the property at 908 N. Bond Street, Springfield, Illinois, be and is hereby approved at a total cost of \$49,500.00.

(2) Funding for this purchase shall be from non-appropriated sources.

(3) The President of Southern Illinois University be and is hereby authorized to take whatever action may be required in the execution of this resolution in accordance with established policies and procedures.

APPROVAL TO ACQUIRE REAL ESTATE:  
301 W. CALHOUN AVENUE,  
SPRINGFIELD MEDICAL CAMPUS, SIUC

Summary

This matter seeks approval to acquire real property located at 301 W. Calhoun Avenue, Springfield, Illinois, for future development of the Springfield campus.

Rationale for Adoption

The Board of Trustees has reviewed the School of Medicine's plan to acquire some properties adjacent to the main campus to facilitate future campus development at Springfield. The property identified in this matter is located in that area. The owner has agreed to sell the property for \$89,000.00. Funding for this project will come from non-appropriated funds available to the School of Medicine.

The purchase does not require approval by the Illinois Board of Higher Education.

The Chancellor, SIUC, and the Dean and Provost of the School of Medicine have recommended this matter to the President.

Considerations Against Adoption

University officers are aware of none.

Resolution

BE IT RESOLVED, By the Board of Trustees of Southern Illinois University in regular meeting assembled, That:

- (1) The request to purchase the property at 301 W. Calhoun Avenue, Springfield, Illinois, be and is hereby approved at a total cost of \$89,500.00.
- (2) Funding for this purchase shall be from non-appropriated sources.

The President of Southern Illinois University be and is hereby authorized to take whatever action may be required in the execution of this resolution in accordance with established policies and procedures.

APPROVAL TO ACQUIRE REAL ESTATE:  
1017 N. RUTLEDGE STREET,  
SPRINGFIELD MEDICAL CAMPUS, SIUC

Summary

This matter seeks approval to acquire real property located at 1017 N. Rutledge Street, Springfield, Illinois, for future development of the Springfield campus.

Rationale for Adoption

The Board of Trustees has reviewed the School of Medicine's plan to acquire some properties adjacent to the main campus to facilitate future campus development at Springfield. The property identified in this matter is located in that area. The owner has agreed to sell the property for \$65,000.00. Funding for this project will come from non-appropriated funds available to the School of Medicine.

The purchase does not require approval by the Illinois Board of Higher Education.

The Chancellor, SIUC, and the Dean and Provost of the School of Medicine have recommended this matter to the President.

Considerations Against Adoption

University officers are aware of none.

Resolution

BE IT RESOLVED, By the Board of Trustees of Southern Illinois University in regular meeting assembled, That:

(1) The request to purchase the property at 1017 N. Rutledge, Springfield, Illinois, be and is hereby approved at a total cost of \$65,500.00.

(2) Funding for this purchase shall be from non-appropriated sources.

(3) The President of Southern Illinois University be and is hereby authorized to take whatever action may be required in the execution of this resolution in accordance with established policies and procedures.

APPROVAL TO ACQUIRE REAL ESTATE:  
1023 N. RUTLEDGE STREET,  
SPRINGFIELD MEDICAL CAMPUS, SIUC

Summary

This matter seeks approval to acquire real property located at 1023 N. Rutledge Street, Springfield, Illinois, for future development of the Springfield campus.

Rationale for Adoption

The Board of Trustees has reviewed the School of Medicine's plan to acquire some properties adjacent to the main campus to facilitate future campus development at Springfield. The property identified in this matter is located in that area. The owner has agreed to sell the property for \$52,000.00. Funding for this project will come from non-appropriated funds available to the School of Medicine.

The purchase does not require approval by the Illinois Board of Higher Education.

The Chancellor, SIUC, and the Dean and Provost of the School of Medicine have recommended this matter to the President.

Considerations Against Adoption

University officers are aware of none.

Resolution

BE IT RESOLVED, By the Board of Trustees of Southern Illinois University in regular meeting assembled, That:

(1) The request to purchase the property at 1023 N. Rutledge, Springfield, Illinois, be and is hereby approved at a total cost of \$52,500.00.

(2) Funding for this purchase shall be from non-appropriated sources.

(3) The President of Southern Illinois University be and is hereby authorized to take whatever action may be required in the execution of this resolution in accordance with established policies and procedures.

PLANNING APPROVAL AND APPROVAL OF PURCHASE: UTILITY SYSTEM FOR ELECTRICAL DISTRIBUTION, SIUE

Summary

Ameren Illinois has notified SIUE that they intend to terminate the agreement under which electrical service is provided to the Edwardsville campus. This matter seeks approval to acquire the system from Ameren. The University will then become responsible for operation, maintenance, and repair of the system. The cost of the acquisition is expected to be \$600,000 and will be funded by the Facilities Fee. This matter also seeks approval to develop plans and cost estimates to replace and upgrade system components to improve reliability, operations, and capacity.

Rationale for Adoption

Under a long-term utility agreement dating from the original construction of the campus, the utility company, Ameren Illinois, owns and operates the electrical components of the Edwardsville campus electrical distribution system. Ameren owns and maintains the wire, the switches, and the transformers for each building. The University owns the power conduits and manholes. Under the current agreement, Ameren treats the entire campus as one single, large customer at an advantageous rate to the University. This rate is only for the distribution of electrical power, as the University purchases electricity separately through a consortium with other universities.

As allowed by the agreement, Ameren Illinois served notice of their intent to terminate the agreement as of January 2014. With this termination two alternatives were evaluated:

a. Continue Ameren Illinois ownership: Ameren would separately meter each University facility and charge varying, higher rates based on the established tariff for the varying electrical loads of each facility. The annual cost to the University would increase by approximately \$700,000 per year. Under Ameren ownership, the company will likely continue to repair and replace major components upon failures and outages, with the associated disruption of University operations.

b. University ownership: Acquire from Ameren the system at an estimated cost of \$600,000. Under this option, the University will operate, maintain, and repair the system on a deliberate, planned basis with a combination of in-house forces and outside contractors, as is presently done with the other campus utility systems (i.e. water, sewer, chilled water, and natural gas). Acquisition will also allow system modifications to be accomplished by the University so as to continue to be metered and billed by Ameren as a single, large customer at a more advantageous rate. The acquisition would be funded by the Facilities Fee.

System repairs and modifications will be necessary and will require further Board action once alternatives are evaluated. The University will use its on-call engineering firms to help determine alternative scopes and costs of the work. The engineers and University personnel will evaluate options for replacements and/or upgrades of system components for presentation to the Board. The on-call engineers were selected using the Qualifications Based Selection (QBS) process.

The Chancellor, SIUE, has recommended this matter to the President.

#### Considerations Against Adoption

University officials are aware of none.

#### Resolution

BE IT RESOLVED, By the Board of Trustees of Southern Illinois University, That:

(1) Development of plans and cost estimates for replacements and/or upgrades of the Edwardsville Campus Electrical Distribution System, be and is hereby approved.

(2) The acquisition of the Edwardsville Campus Electrical Distribution System, at an estimated cost of \$600,000, be and is hereby approved.

(3) The acquisition will be funded from the Facilities Fee.

(4) BE IT FURTHER RESOLVED, That the President of Southern Illinois University be and is hereby authorized to take all action required in the execution of this resolution in accordance with established policies and procedures.

APPROVAL OF PURCHASE: VOICE AND DATA SUPPORT AND MAINTENANCE,  
SIUE

Summary

This matter would grant approval to award the purchase of voice and data support and maintenance services for SIUE. The estimated six-year cost for these services is \$1.6 million. The actual payment will be based on the annual need for the services. The purchase will be funded by University Operating funds.

Rationale for Adoption

Through a Request for Proposal process, the University recommends Carousel Industries to provide telephone, network, and voicemail maintenance and support services to its three primary campuses in Edwardsville, Alton, and East St. Louis, Illinois. All three campuses include multiple buildings that are interconnected by various copper and fiber optic cables. It also includes an upgrade to the telephone system to keep software and hardware up to date. The initial two-year contract is renewable for up to a total of six years.

The Chancellor, SIUE, has recommended this matter to the President.

Considerations Against Adoption

University officials are aware of none.

Resolution

BE IT RESOLVED, By the Board of Trustees of Southern Illinois University, That:

(1) The two-year contract to purchase voice and data support and maintenance services, SIUE, be and is hereby awarded to Carousel Industries, Fishers, IN, at a cost of approximately \$533,000 with an option to renew for up to four additional years.

(2) The contract will be funded from University Operating funds.

(3) The President of Southern Illinois University be and is hereby authorized to take all action required in the execution of this resolution in accordance with established policies and procedures.

PROPOSED REVISIONS TO THE BOARD OF TRUSTEES POLICY  
ON INTERNAL AUDIT AND OFFICE STRUCTURE  
[AMENDMENTS TO 5 POLICIES OF THE BOARD E]

Summary

This matter seeks approval by the SIU Board of Trustees to revise the Board of Trustees Policy regarding Internal Audit (5 Policies E) and makes an organizational change within the Office of Audits.

Rationale for Adoption

The responsibility for University Wide compliance and ethics functions has been combined into the Office of Internal Audit, thereby requiring a title change to this office. The new office name for these combined functions is the Office of Internal Audit, Compliance and Ethics. Kim Labonte will remain the Executive Director of Audits. Brenda Martin, currently the Assistant Director of Audits, is being assigned as the Executive Director of Compliance and Ethics and will report functionally to Dr. Poshard. This structural change is necessary for the Internal Audit function to remain free of operational duties, while allowing these functions to continue to share resources. The revisions to the Policy on Internal Audit reflect this change.

Consideration Against Adoption

None. Compliance with the Standards is mandatory, and the current Policy does not include certain required statements covered by the Standards.

Resolution

BE IT RESOLVED, By the Board of Trustees of Southern Illinois University, in regular meeting assembled, That:

The proposed revisions to the Board of Trustees Policy on Internal Audit are hereby adopted, and the newly adopted Policy shall replace the current Policy of the Board.

### E. *Internal Audit Policy*

1. The President, as the chief executive officer of Southern Illinois University, is responsible for the development and implementation of a program of internal audit.
2. The President will promulgate guidelines which give direction to the overall internal audit function of the University; these guidelines, as they are developed and amended, will be transmitted to members of the Board of Trustees.
3. Internal Audit Charter: This charter identifies the purpose, authority, and responsibility of the Internal Audit ~~function Office~~ at Southern Illinois University. The Internal Audit function resides within the Office of Internal Audit, Compliance and Ethics.
  - a. Purpose: The Internal Audit ~~function office~~ was established within Southern Illinois University to conduct assurance reviews of operations and procedures and to report findings and recommendations to the institution's administration and to the Board of Trustees. All Internal Audit endeavors are to be conducted in accordance with applicable law, institutional objectives and policies, as well as professional ethics and standards. Specifically, activities of the Internal Audit ~~function Office~~ will be carried out in accordance with the mandatory nature of the Definition of Internal Auditing, the Code of Ethics, and the Standards established by the Institute of Internal Auditors (International Standards for the Professional Practice of Internal Auditing (Standards)). The Internal Audit ~~function Office~~ may report that its operations are conducted in conformance with the International Standards for the Professional Practice of Internal Auditing, only if the results of the quality assurance and improvement program support the statement. (11/09/06, 04/14/11, 9/13/12)
  - b. Authority
    1. The Internal Audit ~~function Office~~ reports administratively to the President, whose authority as chief executive officer is sufficient to assure a broad range of audit coverage and adequate consideration of effective action on internal audit findings and recommendations. The Internal Audit ~~function Office~~ has an independent, functional responsibility to the Audit Committee of the Board of Trustees for reporting on the adequacy and effectiveness of internal controls. (5/14/98, 3/13/03, 9/11/08, 9/13/12)
    2. While the Internal Audit ~~function Office~~ is an integral part of Southern Illinois University and functions in accordance with the policies established by the President and the Board of Trustees, it is essential for the internal audit activity to be independent of the activities audited. To enhance and ensure this independence, and with strict accountability for safekeeping and confidentiality, internal audit staff are authorized unlimited access to all records, personnel, and physical properties which the Executive Director of Audits has determined to be relevant to the performance of assigned audits. Consulting services may be performed, if conducted in accordance with the applicable Standards. (11/09/06, 04/14/11, 3/22/12, 9/13/12)
    3. In performing its work, the Internal Audit ~~function Office~~ shall assert no direct responsibility nor authority over activities reviewed. Therefore, its appraisal of activities does not relieve other persons in the organization of any responsibilities assigned to them. Furthermore, when assisting



management in establishing or improving risk management processes, internal auditors must refrain from assuming any management responsibility by actually managing risks. (04/14/11, [9/13/12](#))

c. Responsibility

1. The Internal Audit ~~function Office~~ is responsible for providing Southern Illinois University's administrators and Board members with information about the adequacy and the effectiveness of its system of internal controls and quality of operating performance. To accomplish this responsibility, all institutional activities are subject to audit. ([9/13/12](#))
2. The scope of internal auditing encompasses examining and evaluating the adequacy and effectiveness of Southern Illinois University's systems of internal control and the quality of operating performance against established standards in carrying out assigned responsibilities. Areas of review include (04/14/11):
  - a. reliability and integrity of financial and operating information;
  - b. compliance with policies, plans, procedures, laws, and regulations;
  - c. safeguarding assets;
  - d. effectiveness and efficiency of operations and programs;
  - e. accomplishment of institutional goals and objectives;
  - f. evaluation of the potential for the occurrence of fraud and how the organization manages fraud risk;
  - g. assessment of whether the Information Technology governance supports the University's strategies and objectives; and
  - h. evaluate the effectiveness and contribute to the improvement of the risk management process.
3. The Executive Director of Audits is generally responsible for the administration of this policy and for functionally directing and effectively managing the internal audit activities throughout Southern Illinois University. Specifically, the Executive Director of Audits (04/14/11):
  - a. is responsible for communicating directly and interacting with the Audit Committee regarding the results of activity of the Internal Audit ~~function Office~~. The Chief Audit Executive must report periodically to senior management and the Audit Committee on the internal audit activity's purpose, authority, responsibility, and performance relative to its plan. Reporting must also include significant risk exposures and control issues, including fraud risk, governance issues, and other matters needed or required by the Audit Committee or senior management. ([9/13/12](#))
  - b. must develop and maintain a quality assurance and improvement program that covers all aspects of the internal audit activity. The results of external assessments must be reported to senior management and the Audit Committee.
  - c. must have direct and unrestricted access to senior management and the Audit Committee, and must identify and consider the expectations of senior management, the board and other stakeholders for internal audit opinions and other conclusions. When an overall opinion is issued, it must take into account the expectations of senior management, the board, and other stakeholders and must be supported by sufficient, reliable, relevant, and useful information.
4. Southern Illinois University administrators are responsible for providing internal auditors with timely access to records, personnel, and physical

properties which the Executive Director of Audits has determined to be relevant and for making sure that prompt, pertinent, and comprehensive responses are made to audit recommendations.

Trustee Manering sought clarification regarding Board Agenda Item F, page 19 for Perkins Loan Servicing at SIUC. Vice Chancellor for Administration and Finance Kevin Bame, SIUC, responded that the campus used an outside service to administer the Perkins Loan Program and the amount indicated was the firm's fee related to a ten-year agreement. Dr. Manering inquired whether that was a service that could be performed by SIU employees. Mr. Bame stated that this work had been contracted out for a number of years and that they were more efficient with their specialization.

Trustee Manering inquired about Board Agenda Item F, page 18, for legislative consulting services. She asked if the consulting service was taking the place of the position at the University that is currently unfilled to perform legislative work. President Poshard confirmed that it had for the past year but noted that the firm had been hired by the University for a number of years to track pertinent state legislation. The President added that, although he was not absolutely sure, almost every public university in Illinois used such services in Springfield due to the volume of bills to be tracked.

Trustee Herrin inquired whether the Purchase Orders and Contracts for SIUC and SIUE were for receipt of, notification, or for approval by the Board. Vice Chair Hightower clarified that those were for receipt by the Board.

Secretary Hinrichs moved the receipt of Reports of Purchase Orders and Contracts, June and July 2012, SIUC and SIUE; the ratification of Changes in Faculty-Administrative Payroll for SIUC, SIUE, Office of the President and University-Wide Services; approval of Operating and Capital RAMP Guidelines and Executive Summary: Resource Allocation and Management Program (RAMP) Planning, Operations, and Capital Budget Request, Fiscal Year 2014; Salary Increase Plan for Fiscal Year 2013; Approval of Fiscal Year 2013 Operating and Capital Budgets; Project and Budget Approval: Electrical Distribution Upgrades, Carbondale Campus, SIUC; Supplemental Requisition Approval: Student Services Building Plumbing Contract, Carbondale Campus, SIUC; Preliminary Planning Approval: Energy Conservation Projects, Carbondale Campus, SIUC; Approval to Acquire Real Estate: 908 N. Bond Street, Springfield Medical Campus, SIUC; Approval to Acquire Real Estate: 301 W. Calhoun Avenue, Springfield Medical Campus, SIUC; Approval to Acquire Real Estate: 1017 N. Rutledge Street, Springfield Medical Campus, SIUC; Approval to Acquire Real Estate: 1023 N. Rutledge Street, Springfield Medical Campus, SIUC; Planning Approval and Approval of Purchase: Utility System for Electrical Distribution, SIUE; Approval of Purchase: Voice and Data Support and Maintenance, SIUE; and Proposed Revisions to the Board of Trustees Policy on Internal Audit and Office Structure [Amendments to 5 Policies of the Board E]. The motion was duly seconded by Trustee Hamilton. Student Trustee opinion regarding the motion was as follows: aye, Mr. Jesse Cler; nay, none. The motion carried by the following recorded vote: aye, Mr. David Hamilton, Dr. Roger Herrin, Dr. Ed Hightower, Mr. Mark Hinrichs, Hon. Don Lowery, Dr. Donna Manering, Ms. Marquita Wiley; nay, none.

Vice Chair Hightower stated that the following matter had been submitted to the Board as a Current and Pending item: Award of Contracts: School of Dental Medicine Multi-Discipline Laboratory, SIUE.

Trustee Lowery made a motion that the Board consider the matter. The motion was duly seconded by Trustee Herrin. Student Trustee opinion regarding the motion was as follows: aye, Mr. Jesse Cler; nay, none. The motion carried by the following recorded vote: aye, Mr. David Hamilton, Dr. Roger Herrin, Dr. Ed Hightower, Mr. Mark Hinrichs, Hon. Don Lowery, Dr. Donna Manering, Ms. Marquita Wiley; nay, none.

The following Current and Pending item was presented to the Board:

AWARD OF CONTRACTS: SCHOOL OF DENTAL MEDICINE MULTI-DISCIPLINE  
LABORATORY, SIUE

Summary

This matter approves award of construction contracts in the amount of \$6,043,350 to construct the Multi-Discipline Laboratory (MDL) for dental students on the Alton campus.

Rationale for Adoption

On December 8, 2011, the SIU Board of Trustees approved the project and budget for the School of Dental Medicine Multi-Discipline Laboratory on the Alton campus. The project would construct a new dental lab building. The contracts proposed for award would construct the building. The bids for the site work to support the building construction were approved by the Executive Committee in April 2012.

The approved budget for the project is \$9,500,000 and will be funded from University Plant funds, a \$4.1 million grant from the Illinois Department of Commerce and Economic Opportunity, donated funds, and equipment use fees. The bids received for the project are favorable and the award of contracts are now requested. A summary of bids received is attached for review.

The Chancellor, the Interim Provost and Vice Chancellor for Academic Affairs, and the Vice Chancellor for Administration, SIUE, have recommended this matter to the President.

Considerations Against Adoption

University officials are aware of none.

Resolution

BE IT RESOLVED, By the Board of Trustees of Southern Illinois University, That:

(1) The contracts to perform construction work required for the capital project, School of Dental Medicine Multi-Discipline Laboratory, SIUE, be and are hereby awarded to the following:

- |     |  |             |
|-----|--|-------------|
| (a) | <u>General Work</u><br>Poettker Construction Company, Breese, IL           | \$4,019,000 |
| (b) | <u>Electrical Work</u><br>Camp Electric & Heating Co., Inc., Alton, IL     | \$696,310   |
| (c) | <u>Heating Work</u><br>Amsco Mechanical Inc, Granite City, IL              | \$387,000   |
| (d) | <u>Plumbing Work</u><br>France Mechanical Corporation, Edwardsville, IL    | \$585,700   |
| (e) | <u>Ventilation Work</u><br>France Mechanical Corporation, Edwardsville, IL | \$297,000   |
| (f) | <u>Fire Protection Work</u><br>Boyer Fire Protection, Belleville, IL       | \$58,340    |

(2) The President of Southern Illinois University be and is hereby authorized to take all action required in the execution of this resolution in accordance with established policies and procedures.

Trustee Lowery made a motion that the Board approve the matter. The motion was duly seconded by Trustee Manering. Student Trustee opinion regarding the motion was as follows: aye, Mr. Jesse Cler; nay, none. The motion carried by the following recorded vote: aye, Mr. David Hamilton, Dr. Roger Herrin, Dr. Ed Hightower, Mr. Mark Hinrichs, Hon. Don Lowery, Dr. Donna Manering, Ms. Marquita Wiley; nay, none.

The following matter was presented as a notice item to the Board in accordance with I Bylaws of the Board 5: Notice of Proposed Alternate Tuition Rate: High Achievers Program, SIUC [Addition to 4 Policies of the Board Appendix A 1. Note 1].

Vice Chair Hightower adjourned the meeting at 11:25 a.m.

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Misty Whittington, Executive Secretary